

## Assignment 6

### Model Answers

#### Exercise 1

Let  $P = \{t \mid t \text{ is a term and, for all substitutions } \sigma, \text{ if } \text{FV}(t) \cap \text{dom}(\sigma) = \emptyset, \text{ then } t\sigma = t\}$ . We use structural induction to show that, for all terms  $t$ ,  $P(t)$ .

**(Unit Constant)** We must show  $P(\text{unit})$ . Suppose  $\sigma$  is a substitution and  $\text{FV}(\text{unit}) \cap \text{dom}(\sigma) = \emptyset$ . We have that  $\text{unit}\sigma = \text{unit}$ .

**(Pairing)** Suppose  $t_1$  and  $t_2$  are terms, and assume the inductive hypothesis:  $P(t_1)$  and  $P(t_2)$ . We must show that  $P((t_1, t_2))$ . Suppose  $\sigma$  is a substitution and  $\text{FV}((t_1, t_2)) \cap \text{dom}(\sigma) = \emptyset$ . Because  $\text{FV}((t_1, t_2)) = \text{FV}(t_1) \cup \text{FV}(t_2)$ , it follows that  $\text{FV}(t_1) \cap \text{dom}(\sigma) = \emptyset$  and  $\text{FV}(t_2) \cap \text{dom}(\sigma) = \emptyset$ . Thus, by the inductive hypothesis, we have that  $t_1\sigma = t_1$  and  $t_2\sigma = t_2$ , so that  $(t_1, t_2)\sigma = (t_1\sigma, t_2\sigma) = (t_1, t_2)$ .

**(First Projection)** Similar to pairing, but slightly simpler.

**(Second Projection)** Similar to pairing, but slightly simpler.

**(Variable)** Suppose  $x$  is a variable. We must show  $P(x)$ . Suppose  $\sigma$  is a substitution and  $\text{FV}(x) \cap \text{dom}(\sigma) = \emptyset$ . Since  $\text{FV}(x) = \{x\}$ , this means that  $x \notin \text{dom}(\sigma)$ . Thus  $x\sigma = x$ .

**(Abstraction)** Suppose  $x$  is a variable,  $T$  is a type, and  $t$  is a term, and assume the inductive hypothesis:  $P(t)$ . We must show  $P(\lambda x : T. t)$ . Suppose  $\sigma$  is a substitution and  $\text{FV}(\lambda x : T. t) \cap \text{dom}(\sigma) = \emptyset$ . We must show that  $(\lambda x : T. t)\sigma = \lambda x : T. t$ . Because  $(\lambda x : T. t)\sigma = \lambda x : T. t(\sigma/\{x\})$ , it will suffice to show that  $t(\sigma/\{x\}) = t$ . Thus, by the inductive hypothesis, it will suffice to show that  $\text{FV}(t) \cap \text{dom}(\sigma/\{x\}) = \emptyset$ . Since  $\text{dom}(\sigma/\{x\}) = \text{dom}(\sigma) \setminus \{x\}$ , we must show that  $\text{FV}(t) \cap (\text{dom}(\sigma) \setminus \{x\}) = \emptyset$ . Suppose, toward a contradiction, that  $z \in \text{FV}(t)$  and  $z \in \text{dom}(\sigma) \setminus \{x\}$ . Thus  $z \in \text{dom}(\sigma)$  and  $z \neq x$ . Since  $z \in \text{FV}(t)$  and  $z \neq x$ , we have that  $z \in \text{FV}(t) \setminus \{x\} = \text{FV}(\lambda x : T. t)$ . Hence  $z \in \text{FV}(\lambda x : T. t)$  and  $z \in \text{dom}(\sigma)$ , contradicting our assumption that  $\text{FV}(\lambda x : T. t) \cap \text{dom}(\sigma) = \emptyset$ . Thus  $\text{FV}(t) \cap (\text{dom}(\sigma) \setminus \{x\}) = \emptyset$ , as required.

**(Application)** Similar to pairing.

#### Exercise 2

##### Lemma 2.1

For all sets  $A$ ,  $B$  and  $C$ ,  $(A \setminus C) \cup (B \setminus C) = (A \cup B) \setminus C$ .

**Proof.** Suppose  $A$ ,  $B$  and  $C$  are sets. We must show that  $(A \setminus C) \cup (B \setminus C) \subseteq (A \cup B) \setminus C \subseteq (A \setminus C) \cup (B \setminus C)$ .

- $((A \setminus C) \cup (B \setminus C) \subseteq (A \cup B) \setminus C)$  Suppose  $x \in (A \setminus C) \cup (B \setminus C)$ . There are two cases to consider.

- Suppose  $x \in A \setminus C$ , so that  $x \in A$  and  $x \notin C$ . Thus  $x \in A \cup B$  and  $x \notin C$ , so that  $x \in (A \cup B) \setminus C$ .
- Suppose  $x \in B \setminus C$ , so that  $x \in B$  and  $x \notin C$ . Thus  $x \in A \cup B$  and  $x \notin C$ , so that  $x \in (A \cup B) \setminus C$ .
- $((A \cup B) \setminus C \subseteq (A \setminus C) \cup (B \setminus C))$  Suppose  $x \in (A \cup B) \setminus C$ . Thus  $x \in A \cup B$  and  $x \notin C$ . Thus there are two cases to consider.
  - Suppose  $x \in A$ . Thus  $x \in A$  and  $x \notin C$ , so that  $x \in (A \setminus C) \subseteq (A \setminus C) \cup (B \setminus C)$ .
  - Suppose  $x \in B$ . Thus  $x \in B$  and  $x \notin C$ , so that  $x \in (B \setminus C) \subseteq (A \setminus C) \cup (B \setminus C)$ .

□

Let  $P = \{t \mid t \text{ is a term and, for all substitutions } \sigma, \text{FV}(t\sigma) = \text{FV}(t) \setminus \text{dom}(\sigma)\}$ . We use structural induction to show that, for all terms  $t$ ,  $P(t)$ .

**(Unit Constant)** We must show  $P(\text{unit})$ . Suppose  $\sigma$  is a substitution. We have that  $\text{FV}(\text{unit}\sigma) = \text{FV}(\text{unit}) = \emptyset = \emptyset \setminus \text{dom}(\sigma) = \text{FV}(\text{unit}) \setminus \text{dom}(\sigma)$ .

**(Pairing)** Suppose  $t_1$  and  $t_2$  are terms, and assume the inductive hypothesis:  $P(t_1)$  and  $P(t_2)$ . We must show that  $P((t_1, t_2))$ . Suppose  $\sigma$  is a substitution. We must show that  $\text{FV}((t_1, t_2)\sigma) = \text{FV}((t_1, t_2)) \setminus \text{dom}(\sigma)$ . By the inductive hypothesis and Lemma 2.1, we have that

$$\begin{aligned}
\text{FV}((t_1, t_2)\sigma) &= \text{FV}((t_1\sigma, t_2\sigma)) = \text{FV}(t_1\sigma) \cup \text{FV}(t_2\sigma) \\
&= (\text{FV}(t_1) \setminus \text{dom}(\sigma)) \cup (\text{FV}(t_2) \setminus \text{dom}(\sigma)) \\
&= (\text{FV}(t_1) \cup \text{FV}(t_2)) \setminus \text{dom}(\sigma) \\
&= \text{FV}((t_1, t_2)) \setminus \text{dom}(\sigma).
\end{aligned}$$

**(First Projection)** Similar to pairing, but simpler.

**(Second Projection)** Similar to pairing, but simpler.

**(Variable)** Suppose  $x$  is a variable. We must show that  $P(x)$ . Suppose  $\sigma$  is a substitution. We must show that  $\text{FV}(x\sigma) = \text{FV}(x) \setminus \text{dom}(\sigma)$ . There are two cases to consider.

- Suppose  $x \in \text{dom}(\sigma)$ . Then  $\text{FV}(x\sigma) = \text{FV}(\sigma(x)) = \emptyset = \{x\} \setminus \text{dom}(\sigma) = \text{FV}(x) \setminus \text{dom}(\sigma)$ .
- Suppose  $x \notin \text{dom}(\sigma)$ . Then  $\text{FV}(x\sigma) = \text{FV}(x) = \{x\} = \{x\} \setminus \text{dom}(\sigma) = \text{FV}(x) \setminus \text{dom}(\sigma)$ .

**(Abstraction)** Suppose  $x$  is a variable,  $T$  is a type, and  $t$  is a term, and assume the inductive hypothesis:  $P(t)$ . We must show that  $P(\lambda x : T. t)$ . Suppose  $\sigma$  is a substitution. We must show that  $\text{FV}((\lambda x : T. t)\sigma) = \text{FV}(\lambda x : T. t) \setminus \text{dom}(\sigma)$ . By the inductive hypothesis, we have that

$$\begin{aligned}
\text{FV}((\lambda x : T. t)\sigma) &= \text{FV}(\lambda x : T. t(\sigma/\{x\})) \\
&= \text{FV}(t(\sigma/\{x\})) \setminus \{x\} \\
&= (\text{FV}(t) \setminus \text{dom}(\sigma/\{x\})) \setminus \{x\}
\end{aligned}$$

$$= (\mathbf{FV}(t) \setminus (\mathbf{dom}(\sigma) \setminus \{x\})) \setminus \{x\}.$$

And  $\mathbf{FV}(\lambda x : T. t) \setminus \mathbf{dom}(\sigma) = (\mathbf{FV}(t) \setminus \{x\}) \setminus \mathbf{dom}(\sigma)$ , so it will suffice to show that

$$(\mathbf{FV}(t) \setminus (\mathbf{dom}(\sigma) \setminus \{x\})) \setminus \{x\} = (\mathbf{FV}(t) \setminus \{x\}) \setminus \mathbf{dom}(\sigma).$$

There are two inclusions to show.

- Suppose  $w \in (\mathbf{FV}(t) \setminus (\mathbf{dom}(\sigma) \setminus \{x\})) \setminus \{x\}$ . Thus  $w \in \mathbf{FV}(t) \setminus (\mathbf{dom}(\sigma) \setminus \{x\})$  and  $w \neq x$ , so that  $w \in \mathbf{FV}(t)$  and  $w \notin \mathbf{dom}(\sigma) \setminus \{x\}$ . Hence  $w \notin \mathbf{dom}(\sigma)$ . Because  $w \in \mathbf{FV}(t)$  and  $w \neq x$ , we have that  $w \in \mathbf{FV}(t) \setminus \{x\}$ . Thus  $w \in (\mathbf{FV}(t) \setminus \{x\}) \setminus \mathbf{dom}(\sigma)$ .
- Suppose  $w \in (\mathbf{FV}(t) \setminus \{x\}) \setminus \mathbf{dom}(\sigma)$ . Thus  $w \in \mathbf{FV}(t) \setminus \{x\}$  and  $w \notin \mathbf{dom}(\sigma)$ , so that  $w \in \mathbf{FV}(t)$  and  $w \neq x$ . Because  $w \notin \mathbf{dom}(\sigma)$ , we have that  $w \notin \mathbf{dom}(\sigma) \setminus \{x\}$ . Since  $w \in \mathbf{FV}(t)$  and  $w \notin \mathbf{dom}(\sigma) \setminus \{x\}$ , it follows that  $w \in \mathbf{FV}(t) \setminus (\mathbf{dom}(\sigma) \setminus \{x\})$ . And  $w \neq x$ , and thus  $w \in (\mathbf{FV}(t) \setminus (\mathbf{dom}(\sigma) \setminus \{x\})) \setminus \{x\}$ .

**(Application)** Similar to pairing.

### Exercise 3

#### Lemma 3.1

For all sets  $A$ ,  $B$  and  $C$ ,  $A \setminus (B \setminus C) = (A \setminus B) \cup (A \cap C)$ .

**Proof.** Suppose  $A$ ,  $B$  and  $C$  are sets. It will suffice to show that  $A \setminus (B \setminus C) \subseteq (A \setminus B) \cup (A \cap C) \subseteq A \setminus (B \setminus C)$ .

- $(A \setminus (B \setminus C) \subseteq (A \setminus B) \cup (A \cap C))$  Suppose  $x \in A \setminus (B \setminus C)$ , so that  $x \in A$  and  $x \notin B \setminus C$ . There are two subcases to consider.
  - Suppose  $x \in C$ . Thus  $x \in A$  and  $x \in C$ , so that  $x \in A \cap C \subseteq (A \setminus B) \cup (A \cap C)$ .
  - Suppose  $x \notin C$ . Because  $x \notin B \setminus C$ , it follows that  $x \notin B$ . Thus  $x \in A$  and  $x \notin B$ , so that  $x \in A \setminus B \subseteq (A \setminus B) \cup (A \cap C)$ .
- $((A \setminus B) \cup (A \cap C) \subseteq A \setminus (B \setminus C))$  Suppose  $x \in (A \setminus B) \cup (A \cap C)$ . There are two cases to consider.
  - Suppose  $x \in A \setminus B$ . Thus  $x \in A$  and  $x \notin B$ , so that  $x \notin B \setminus C$ . Hence  $x \in A \setminus (B \setminus C)$ .
  - Suppose  $x \in A \cap C$ . Thus  $x \in A$  and  $x \in C$ , so that  $x \notin B \setminus C$ . Hence  $x \in A \setminus (B \setminus C)$ .

□

Let  $P = \{(\Gamma, t, T) \mid \Gamma \text{ is a context, } t \text{ is a term, } T \text{ is a type and, for all substitutions } \sigma, \text{ if, for all } x \in \mathbf{dom}(\Gamma) \cap \mathbf{dom}(\sigma), \emptyset \vdash \sigma(x) : \Gamma(x), \text{ then } \Gamma/\mathbf{dom}(\sigma) \vdash t \sigma : T\}$ . We use induction on the typing relation to prove that, for all contexts  $\Gamma$ , terms  $t$  and types  $T$ , if  $\Gamma \vdash t : T$ , then  $P(\Gamma, t, T)$ .

**(T-Unit)** Suppose  $\Gamma$  is a context. We must show that  $P(\Gamma, \mathbf{unit}, \mathbf{Unit})$ . Suppose  $\sigma$  is a substitution, and for all  $x \in \mathbf{dom}(\Gamma) \cap \mathbf{dom}(\sigma)$ ,  $\emptyset \vdash \sigma(x) : \Gamma(x)$ . We must show that  $\Gamma/\mathbf{dom}(\sigma) \vdash \mathbf{unit} \sigma : \mathbf{Unit}$ . And this follows by (T-Unit), since  $\mathbf{unit} \sigma = \mathbf{unit}$ .

**(T-Pair)** Suppose  $\Gamma$  is a context,  $t_1$  and  $t_2$  are terms,  $T_1$  and  $T_2$  are types,  $\Gamma \vdash t_1 : T_1$  and  $\Gamma \vdash t_2 : T_2$ , and assume the inductive hypothesis,  $P(\Gamma, t_1, T_1)$  and  $P(\Gamma, t_2, T_2)$ . We must show that  $P(\Gamma, (t_1, t_2), T_1 \times T_2)$ . Suppose  $\sigma$  is a substitution, and for all  $x \in \text{dom}(\Gamma) \cap \text{dom}(\sigma)$ ,  $\emptyset \vdash \sigma(x) : \Gamma(x)$ . We must show that  $\Gamma/\text{dom}(\sigma) \vdash (t_1, t_2)\sigma : T_1 \times T_2$ . Because of  $P(\Gamma, t_1, T_1)$ , we have that  $\Gamma/\text{dom}(\sigma) \vdash t_1\sigma : T_1$ , and because of  $P(\Gamma, t_2, T_2)$ , we have that  $\Gamma/\text{dom}(\sigma) \vdash t_2\sigma : T_2$ . Thus  $\Gamma/\text{dom}(\sigma) \vdash (t_1\sigma, t_2\sigma) : T_1 \times T_2$ , by (T-Pair). But  $(t_1, t_2)\sigma = (t_1\sigma, t_2\sigma)$ , and so  $\Gamma/\text{dom}(\sigma) \vdash (t_1, t_2)\sigma : T_1 \times T_2$ .

**(T-Fst)** Similar to (T-Pair), but slightly simpler.

**(T-Snd)** Similar to (T-Pair), but slightly simpler.

**(T-Var)** Suppose  $\Gamma$  is a context,  $x$  is a variable,  $T$  is a type and  $(x, T) \in \Gamma$ . We must show that  $P(\Gamma, x, T)$ . Suppose  $\sigma$  is a substitution, and for all  $x \in \text{dom}(\Gamma) \cap \text{dom}(\sigma)$ ,  $\emptyset \vdash \sigma(x) : \Gamma(x)$ . We must show that  $\Gamma/\text{dom}(\sigma) \vdash x\sigma : T$ . There are two cases to consider.

- Suppose  $x \in \text{dom}(\sigma)$ . Since  $(x, T) \in \Gamma$ , we have that  $x \in \text{dom}(\Gamma) \cap \text{dom}(\sigma)$ . Thus by our assumption we have that  $\emptyset \vdash \sigma(x) : \Gamma(x)$ . But  $\sigma(x) = x\sigma$  and  $\Gamma(x) = T$ , so that  $\emptyset \vdash x\sigma : T$ . Thus the weakening lemma tells us that  $\Gamma/\text{dom}(\sigma) \vdash x\sigma : T$ .
- Suppose  $x \notin \text{dom}(\sigma)$ . Then  $x\sigma = x$ , so we must show that  $\Gamma/\text{dom}(\sigma) \vdash x : T$ . By (T-Var), it will suffice to show that  $(x, T) \in \Gamma/\text{dom}(\sigma)$ . Since  $\text{dom}(\Gamma/\text{dom}(\sigma)) = \text{dom}(\Gamma) \setminus \text{dom}(\sigma)$ ,  $x \in \text{dom}(\Gamma)$  and  $x \notin \text{dom}(\sigma)$ , we have that  $x \in \text{dom}(\Gamma/\text{dom}(\sigma))$ . Thus  $(\Gamma/\text{dom}(\sigma))(x) = \Gamma(x) = T$ , since  $(x, T) \in \Gamma$ , showing that  $(x, T) \in \Gamma/\text{dom}(\sigma)$ .

**(T-Abs)** Suppose  $\Gamma$  is a context,  $x$  is a variable,  $t$  is a term,  $T_1$  and  $T_2$  are types, and  $\Gamma[x \mapsto T_1] \vdash t : T_2$ , and assume the inductive hypothesis,  $P(\Gamma[x \mapsto T_1], t, T_2)$ . We must show that  $P(\Gamma, \lambda x : T_1. t, T_1 \rightarrow T_2)$ . Suppose  $\sigma$  is a substitution, and,  $(\dagger)$  for all  $x \in \text{dom}(\Gamma) \cap \text{dom}(\sigma)$ ,  $\emptyset \vdash \sigma(x) : \Gamma(x)$ . We must show that  $\Gamma/\text{dom}(\sigma) \vdash (\lambda x : T_1. t)\sigma : T_1 \rightarrow T_2$ . Since  $(\lambda x : T_1. t)\sigma = \lambda x : T_1. t(\sigma/\{x\})$ , we must show that  $\Gamma/\text{dom}(\sigma) \vdash \lambda x : T_1. t(\sigma/\{x\}) : T_1 \rightarrow T_2$ . And, by T-Abs, it will suffice to show that  $(\Gamma/\text{dom}(\sigma))[x \mapsto T_1] \vdash t(\sigma/\{x\}) : T_2$ .

Next, we show that,  $(\ddagger)$  for all  $y \in \text{dom}(\Gamma[x \mapsto T_1]) \cap \text{dom}(\sigma/\{x\})$ ,  $\emptyset \vdash (\sigma/\{x\})(y) : \Gamma[x \mapsto T_1](y)$ . Suppose  $y \in \text{dom}(\Gamma[x \mapsto T_1]) \cap \text{dom}(\sigma/\{x\})$ . Thus  $y \in \text{dom}(\Gamma[x \mapsto T_1]) = \text{dom}(\Gamma) \cup \{x\}$  and  $y \in \text{dom}(\sigma/\{x\}) = \text{dom}(\sigma) \setminus \{x\}$ , so that  $y \in \text{dom}(\sigma)$  and  $y \neq x$ . Thus  $y \in \text{dom}(\Gamma) \cap \text{dom}(\sigma)$ , so that  $(\dagger)$  tells us that  $\emptyset \vdash \sigma(y) : \Gamma(y)$ . We have that  $\sigma(y) = (\sigma/\{x\})(y)$  and (because  $y \neq x$ )  $\Gamma(y) = \Gamma[x \mapsto T_1](y)$ . Thus  $\emptyset \vdash (\sigma/\{x\})(y) : \Gamma[x \mapsto T_1](y)$ . This completes the proof of  $(\ddagger)$ .

Because of  $(\ddagger)$  and the inductive hypothesis,  $P(\Gamma[x \mapsto T_1], t, T_2)$ , we have that

$$\Gamma[x \mapsto T_1]/\text{dom}(\sigma/\{x\}) \vdash t(\sigma/\{x\}) : T_2.$$

Thus it will suffice to show that  $(\Gamma/\text{dom}(\sigma))[x \mapsto T_1] = \Gamma[x \mapsto T_1]/\text{dom}(\sigma/\{x\})$ . By Lemma 3.1, we have that

$$\begin{aligned} \text{dom}((\Gamma/\text{dom}(\sigma))[x \mapsto T_1]) &= \text{dom}(\Gamma/\text{dom}(\sigma)) \cup \{x\} \\ &= (\text{dom}(\Gamma) \setminus \text{dom}(\sigma)) \cup \{x\} \\ &= ((\text{dom}(\Gamma) \cup \{x\}) \setminus \text{dom}(\sigma)) \cup \{x\} \\ &= ((\text{dom}(\Gamma) \cup \{x\}) \setminus \text{dom}(\sigma)) \cup ((\text{dom}(\Gamma) \cup \{x\}) \cap \{x\}) \end{aligned}$$

$$\begin{aligned}
&= (\text{dom}(\Gamma) \cup \{x\}) \setminus (\text{dom}(\sigma) \setminus \{x\}) \\
&= \text{dom}(\Gamma[x \mapsto T_1]) \setminus \text{dom}(\sigma/\{x\}) \\
&= \text{dom}(\Gamma[x \mapsto T_1]/(\sigma/\{x\})).
\end{aligned}$$

Finally, suppose  $y \in \text{dom}((\Gamma/\text{dom}(\sigma))[x \mapsto T_1]) = \text{dom}(\Gamma[x \mapsto T_1]/(\sigma/\{x\}))$ . We must show that  $(\Gamma/\text{dom}(\sigma))[x \mapsto T_1](y) = (\Gamma[x \mapsto T_1]/(\sigma/\{x\}))(y)$ . There are two cases to consider.

- Suppose  $y = x$ . Then  $(\Gamma/\text{dom}(\sigma))[x \mapsto T_1](y) = T_1 = \Gamma[x \mapsto T_1](y) = (\Gamma[x \mapsto T_1]/(\sigma/\{x\}))(y)$ .
- Suppose  $y \neq x$ . Then  $(\Gamma/\text{dom}(\sigma))[x \mapsto T_1](y) = (\Gamma/\text{dom}(\sigma))(y) = \Gamma(y) = \Gamma[x \mapsto T_1](y) = (\Gamma[x \mapsto T_1]/(\sigma/\{x\}))(y)$ .

**(T-App)** Similar to (T-Pair).

#### Exercise 4

Let  $P = \{T \mid T \text{ is a type and, for all closed terms } t \text{ and } t', \text{ if } \emptyset \vdash t : T \text{ and } t \rightarrow t', \text{ then } R_T(t) \text{ iff } R_T(t')\}$ . We use structural induction to show that, for all types  $T$ ,  $P(T)$ .

**(Unit Type)** We must show  $P(\text{Unit})$ . Suppose  $t$  and  $t'$  are closed terms,  $\emptyset \vdash t : \text{Unit}$  and  $t \rightarrow t'$ . We must show  $R_{\text{Unit}}(t)$  iff  $R_{\text{Unit}}(t')$ . By preservation, we know that  $\emptyset \vdash t' : \text{Unit}$ . There are two directions to show.

- Suppose  $R_{\text{Unit}}(t)$ . Thus  $t$  converges, so that  $t \rightarrow^* v$  for some closed value  $v$ . By the determinacy of the evaluation relation, it follows that  $t' \rightarrow^* v$ , showing the  $t'$  converges. And  $\emptyset \vdash t' : \text{Unit}$ , so that  $R_{\text{Unit}}(t')$ .
- Suppose  $R_{\text{Unit}}(t')$ . Thus  $t'$  converges, so that  $t' \rightarrow^* v$  for some closed value  $v$ . Thus  $t \rightarrow^* v$ , showing the  $t$  converges. And  $\emptyset \vdash t : \text{Unit}$ , so that  $R_{\text{Unit}}(t)$ .

**(Product Type)** Suppose  $T_1$  and  $T_2$  are types, and assume the inductive hypothesis,  $P(T_1)$  and  $P(T_2)$ . We must show that  $P(T_1 \times T_2)$ . Suppose  $t$  and  $t'$  are closed terms,  $\emptyset \vdash t : T_1 \times T_2$  and  $t \rightarrow t'$ . We must show  $R_{T_1 \times T_2}(t)$  iff  $R_{T_1 \times T_2}(t')$ . By preservation, we know that  $\emptyset \vdash t' : T_1 \times T_2$ . There are two directions to show.

- Suppose  $R_{T_1 \times T_2}(t)$ . Thus  $t$  converges,  $R_{T_1}(\text{fst } t)$  and  $R_{T_2}(\text{snd } t)$ , so that  $t \rightarrow^* v$  for some closed value  $v$ ,  $\emptyset \vdash \text{fst } t : T_1$  and  $\emptyset \vdash \text{snd } t : T_2$ . By the determinacy of the evaluation relation, it follows that  $t' \rightarrow^* v$ , showing the  $t'$  converges. Because  $t \rightarrow t'$ , we have that  $\text{fst } t \rightarrow \text{fst } t'$ . And  $R_{T_1}(\text{fst } t)$ , so that  $P(T_1)$  tells us that  $R_{T_1}(\text{fst } t')$ . Because  $t \rightarrow t'$ , we have that  $\text{snd } t \rightarrow \text{snd } t'$ . And  $R_{T_2}(\text{snd } t)$ , so that  $P(T_2)$  tells us that  $R_{T_2}(\text{snd } t')$ . Since  $\emptyset \vdash t' : T_1 \times T_2$ ,  $t'$  converges,  $R_{T_1}(\text{fst } t')$  and  $R_{T_2}(\text{snd } t')$ , we can conclude that  $R_{T_1 \times T_2}(t')$ .
- Suppose  $R_{T_1 \times T_2}(t')$ . Thus  $t'$  converges,  $R_{T_1}(\text{fst } t')$  and  $R_{T_2}(\text{snd } t')$ , so that  $t' \rightarrow^* v$  for some closed value  $v$ . Thus  $t \rightarrow^* v$ , showing the  $t$  converges. Since  $\emptyset \vdash t : T_1 \times T_2$ , we have that  $\emptyset \vdash \text{fst } t : T_1$  and  $\emptyset \vdash \text{snd } t : T_2$ . Because  $t \rightarrow t'$ , we have that  $\text{fst } t \rightarrow \text{fst } t'$ . And  $R_{T_1}(\text{fst } t')$ , so that  $P(T_1)$  tells us that  $R_{T_1}(\text{fst } t)$ . Because  $t \rightarrow t'$ , we have that  $\text{snd } t \rightarrow \text{snd } t'$ . And  $R_{T_2}(\text{snd } t')$ , so that  $P(T_2)$  tells us that  $R_{T_2}(\text{snd } t)$ . Since  $\emptyset \vdash t : T_1 \times T_2$ ,  $t$  converges,  $R_{T_1}(\text{fst } t)$  and  $R_{T_2}(\text{snd } t)$ , we can conclude that  $R_{T_1 \times T_2}(t)$ .

**(Function Type)** Suppose  $T_1$  and  $T_2$  are types, and assume the inductive hypothesis,  $P(T_1)$  and  $P(T_2)$ . We must show that  $P(T_1 \rightarrow T_2)$ . Suppose  $t$  and  $t'$  are closed terms,  $\emptyset \vdash t : T_1 \rightarrow T_2$  and  $t \rightarrow t'$ . We must show  $R_{T_1 \rightarrow T_2}(t)$  iff  $R_{T_1 \rightarrow T_2}(t')$ . By preservation, we know that  $\emptyset \vdash t' : T_1 \rightarrow T_2$ . There are two directions to show.

- Suppose  $R_{T_1 \rightarrow T_2}(t)$ . Thus  $t$  converges, and, ( $\dagger$ ) for all terms  $s$ , if  $R_{T_1}(s)$ , then  $R_{T_2}(ts)$ . Because  $t$  converges, we have that  $t \rightarrow^* v$  for some closed value  $v$ . By the determinacy of the evaluation relation, it follows that  $t' \rightarrow^* v$ , showing the  $t'$  converges. Because  $\emptyset \vdash t' : T_1 \rightarrow T_2$  and  $t'$  converges, to show that  $R_{T_1 \rightarrow T_2}(t')$ , it remains to show that, for all terms  $s$ , if  $R_{T_1}(s)$ , then  $R_{T_2}(t's)$ . Suppose  $s$  is a term and  $R_{T_1}(s)$ . We must show that  $R_{T_2}(t's)$ . Since  $R_{T_1}(s)$ , we know that  $\emptyset \vdash s : T_1$ , so that  $\emptyset \vdash ts : T_2$  and  $s$  is closed. And, from ( $\dagger$ ) and  $R_{T_1}(s)$ , we can conclude  $R_{T_2}(ts)$ . Since  $t \rightarrow t'$ , it follows that  $ts \rightarrow t's$ . Thus  $P(T_2)$  tells us that  $R_{T_2}(t's)$ , as required.
- Suppose  $R_{T_1 \rightarrow T_2}(t')$ . Thus  $t'$  converges, and, ( $\dagger$ ) for all terms  $s$ , if  $R_{T_1}(s)$ , then  $R_{T_2}(t's)$ . Because  $t'$  converges, we have that  $t' \rightarrow^* v$  for some closed value  $v$ . Thus  $t \rightarrow^* v$ , showing the  $t$  converges. Because  $\emptyset \vdash t : T_1 \rightarrow T_2$  and  $t$  converges, to show that  $R_{T_1 \rightarrow T_2}(t)$ , it remains to show that, for all terms  $s$ , if  $R_{T_1}(s)$ , then  $R_{T_2}(ts)$ . Suppose  $s$  is a term and  $R_{T_1}(s)$ . We must show that  $R_{T_2}(ts)$ . Since  $R_{T_1}(s)$ , we know that  $\emptyset \vdash s : T_1$ , so that  $\emptyset \vdash ts : T_2$  and  $s$  is closed. And, from ( $\dagger$ ) and  $R_{T_1}(s)$ , we can conclude  $R_{T_2}(t's)$ . Since  $t \rightarrow t'$ , it follows that  $ts \rightarrow t's$ . Thus  $P(T_2)$  tells us that  $R_{T_2}(ts)$ , as required.

## Exercise 5

### Lemma 5.1

For all functions  $f$  and sets  $A$ ,  $(f/A)/A = f/A$ .

**Proof.** Suppose  $f$  is a function and  $A$  is a set. Since  $\text{dom}((f/A)/A) = \text{dom}(f/A) \setminus A = (\text{dom}(f) \setminus A) \setminus A = \text{dom}(f) \setminus A = \text{dom}(f/A)$ , we have that  $(f/A)/A$  and  $f/A$  have the same domain,  $\text{dom}(f) \setminus A$ . And, for all  $x$  in that common domain,  $((f/A)/A)(x) = (f/A)(x) = f(x) = (f/A)(x)$ , completing the proof that  $(f/A)/A = f/A$ .  $\square$

### Lemma 5.2

For all functions  $f$  and sets  $A$  and  $B$ ,  $(f/A)/B = (f/B)/A$ .

**Proof.** Suppose  $f$  is a function and  $A$  and  $B$  are sets. Since  $\text{dom}((f/A)/B) = \text{dom}(f/A) \setminus B = (\text{dom}(f) \setminus A) \setminus B = (\text{dom}(f) \setminus B) \setminus A = \text{dom}(f/B) \setminus A = \text{dom}((f/B)/A)$ , we have that  $(f/A)/B$  and  $(f/B)/A$  have the same domain  $(\text{dom}(f) \setminus A) \setminus B = (\text{dom}(f) \setminus B) \setminus A$ . And, for all  $x$  in that common domain, we have that  $((f/A)/B)(x) = (f/A)(x) = f(x) = (f/B)(x) = ((f/B)/A)(x)$ , completing the proof that  $(f/A)/B = (f/B)/A$ .  $\square$

### Lemma 5.3

For all functions  $f$  and elements  $x$  and  $y$  of our universe,  $f[x \mapsto y]/\{x\} = f/\{x\}$ .

**Proof.** Suppose  $f$  is a function and  $x$  and  $y$  are elements of our universe. Since  $\text{dom}(f[x \mapsto y]/\{x\}) = \text{dom}(f[x \mapsto y]) \setminus \{x\} = (\text{dom}(f) \cup \{x\}) \setminus \{x\} = \text{dom}(f) \setminus \{x\} = \text{dom}(f/\{x\})$ , we have that  $f[x \mapsto y]/\{x\}$  and  $f/\{x\}$  have the same domain,  $\text{dom}(f) \setminus \{x\}$ . And, for all  $z \in \text{dom}(f) \setminus \{x\}$ ,

$(f[x \mapsto y]/\{x\})(z) = f[x \mapsto y](z) = f(z) = (f/\{x\})(z)$ , completing the proof that  $f[x \mapsto y]/\{x\} = f/\{x\}$ .  $\square$

**Lemma 5.4**

For all functions  $f$  and elements  $x, y$  and  $z$  of our universe, if  $x \neq y$ , then  $(f/\{y\})[x \mapsto z] = f[x \mapsto z]/\{y\}$ .

**Proof.** Suppose  $f$  is a function,  $x, y$  and  $z$  are elements of our universe, and  $x \neq y$ . We have that  $\text{dom}((f/\{y\})[x \mapsto z]) = \text{dom}(f/\{y\}) \cup \{x\} = (\text{dom}(f) \setminus \{y\}) \cup \{x\} = (\text{dom}(f) \cup \{x\}) \setminus \{y\} = \text{dom}(f[x \mapsto z]) \setminus \{y\} = \text{dom}(f[x \mapsto z]/\{y\})$ . Suppose  $w$  is in the common domain of  $(f/\{y\})[x \mapsto z]$  and  $f[x \mapsto z]/\{y\}$ . We must show that  $((f/\{y\})[x \mapsto z])(w) = (f[x \mapsto z]/\{y\})(w)$ . There are two cases to consider.

- Suppose  $w = x$ . Then  $((f/\{y\})[x \mapsto z])(w) = z = f[x \mapsto z](w) = (f[x \mapsto z]/\{y\})(w)$ .
- Suppose  $w \neq x$ . Then  $((f/\{y\})[x \mapsto z])(w) = (f/\{y\})(w) = f(w) = f[x \mapsto z](w) = (f[x \mapsto z]/\{y\})(w)$ .

$\square$

**Lemma 5.5**

For all terms  $t$ , closed values  $v$ , variables  $x$  and substitutions  $\sigma$ ,

$$(t(\sigma/\{x\}))\{(x, v)\} = t\sigma[x \mapsto v].$$

**Proof.** Suppose  $v$  is a closed value and  $x$  is a variable. Let  $P = \{t \mid t \text{ is a term, and, for all substitutions } \sigma, (t(\sigma/\{x\}))\{(x, v)\} = t\sigma[x \mapsto v]\}$ . We use structural induction to prove that, for all terms  $t$ ,  $P(t)$ .

**(Unit Constant)** We must show  $P(\text{unit})$ . Suppose  $\sigma$  is a substitution. We have that  $(\text{unit}(\sigma/\{x\}))\{(x, v)\} = \text{unit}\{(x, v)\} = \text{unit} = \text{unit}\sigma[x \mapsto v]$ .

**(Pairing)** Suppose  $t_1$  and  $t_2$  are terms, and assume the inductive hypothesis:  $P(t_1)$  and  $P(t_2)$ . We must show that  $P((t_1, t_2))$ . Suppose  $\sigma$  is a substitution. By the inductive hypothesis, we have that

$$\begin{aligned} ((t_1, t_2)(\sigma/\{x\}))\{(x, v)\} &= (t_1(\sigma/\{x\}), t_2(\sigma/\{x\}))\{(x, v)\} \\ &= ((t_1(\sigma/\{x\}))\{(x, v)\}, (t_2(\sigma/\{x\}))\{(x, v)\}) \\ &= (t_1\sigma[x \mapsto v], t_2\sigma[x \mapsto v]) \\ &= (t_1, t_2)\sigma[x \mapsto v]. \end{aligned}$$

**(First Projection)** Similar to pairing.

**(Second Projection)** Similar to pairing.

**(Variable)** Suppose  $y$  is a variable. We must show  $P(y)$ . Suppose  $\sigma$  is a substitution. There are two cases to consider.

- Suppose  $y = x$ . Then  $y \notin \text{dom}(\sigma) \setminus \{x\} = \text{dom}(\sigma/\{x\})$ ,  $y \in \text{dom}\{(x, v)\}$  and  $y \in \text{dom}(\sigma) \cup \{x\} = \text{dom}(\sigma[x \mapsto v])$ , so that  $(y(\sigma/\{x\}))\{(x, v)\} = y\{(x, v)\} = \{(x, v)\}(y) = v = \sigma[x \mapsto v](y) = y\sigma[x \mapsto v]$ .
- Suppose  $y \neq x$ . There are two sub-cases to consider
  - Suppose  $y \in \text{dom}(\sigma)$ . Since  $y \in \text{dom}(\sigma) \setminus \{x\} = \text{dom}(\sigma/\{x\})$ , we have that  $(y(\sigma/\{x\}))\{(x, v)\} = ((\sigma/\{x\})(y))\{(x, v)\} = (\sigma(y))\{(x, v)\}$ . But  $\sigma(y)$  is closed, so that  $\text{FV}(\sigma(y)) \cap \text{dom}\{(x, v)\} = \emptyset$ , and thus Exercise 1 tells us that  $(\sigma(y))\{(x, v)\} = \sigma(y)$ . Furthermore, because  $y \in \text{dom}(\sigma) \cup \{x\} = \text{dom}(\sigma[x \mapsto v])$ , we have that  $\sigma(y) = \sigma[x \mapsto v](y) = y\sigma[x \mapsto v]$ . Hence  $(y(\sigma/\{x\}))\{(x, v)\} = (\sigma(y))\{(x, v)\} = \sigma(y) = y\sigma[x \mapsto v]$ .
  - Suppose  $y \notin \text{dom}(\sigma)$ . Because  $y \notin \text{dom}(\sigma) \setminus \{x\} = \text{dom}(\sigma/\{x\})$ ,  $y \notin \text{dom}\{(x, v)\}$  and  $y \notin \text{dom}(\sigma) \cup \{x\} = \text{dom}(\sigma[x \mapsto v])$ , we have that  $(y(\sigma/\{x\}))\{(x, v)\} = y\{(x, v)\} = y = y\sigma[x \mapsto v]$ .

**(Abstraction)** Suppose  $y$  is a variable,  $T$  is a type, and  $t$  is a term, and assume the inductive hypothesis:  $P(t)$ . We must show  $P(\lambda y : T. t)$ . Suppose  $\sigma$  is a substitution. There are two cases to consider.

- Suppose  $y = x$ . By Lemma 5.1 and since  $\{(y, v)\}/\{y\} = \emptyset$  ( $\text{dom}(\{(y, v)\}/\{y\}) = \text{dom}(\{(y, v)\} \setminus \{y\} = \{y\} \setminus \{y\} = \emptyset$ ),  $(t(\sigma/\{y\}))\emptyset = t(\sigma/\{y\})$  (by Exercise 1, since  $\text{FV}(t(\sigma/\{y\})) \cap \text{dom}(\emptyset) = \text{FV}(t(\sigma/\{y\})) \cap \emptyset = \emptyset$ ) and  $\sigma/\{y\} = \sigma/\{x\} = \sigma[x \mapsto v]/\{x\} = \sigma[x \mapsto v]/\{y\}$  (by Lemma 5.3), we have that

$$\begin{aligned}
 ((\lambda y : T. t)(\sigma/\{x\}))\{(x, v)\} &= (\lambda y : T. t((\sigma/\{x\})/\{y\}))\{(x, v)\} \\
 &= (\lambda y : T. t((\sigma/\{y\})/\{y\}))\{(x, v)\} \\
 &= (\lambda y : T. t(\sigma/\{y\}))\{(x, v)\} \\
 &= \lambda y : T. (t(\sigma/\{y\}))(\{(x, v)\}/\{y\}) \\
 &= \lambda y : T. (t(\sigma/\{y\}))(\{(y, v)\}/\{y\}) \\
 &= \lambda y : T. (t(\sigma/\{y\}))\emptyset \\
 &= \lambda y : T. t(\sigma/\{y\}) \\
 &= \lambda y : T. t(\sigma[x \mapsto v]/\{y\}) \\
 &= (\lambda y : T. t)\sigma[x \mapsto v].
 \end{aligned}$$

- Suppose  $y \neq x$ . By Lemmas 5.2 and 5.4, the inductive hypothesis, and since  $\{(x, v)\}/y = \{(x, v)\}$ , we have that

$$\begin{aligned}
 ((\lambda y : T. t)(\sigma/\{x\}))\{(x, v)\} &= (\lambda y : T. t((\sigma/\{x\})/\{y\}))\{(x, v)\} \\
 &= \lambda y : T. (t((\sigma/\{x\})/\{y\}))(\{(x, v)\}/y) \\
 &= \lambda y : T. (t((\sigma/\{x\})/\{y\}))\{(x, v)\} \\
 &= \lambda y : T. (t((\sigma/\{y\})/\{x\}))\{(x, v)\} \\
 &= \lambda y : T. t((\sigma/\{y\})[x \mapsto v]) \\
 &= \lambda y : T. t(\sigma[x \mapsto v]/\{y\}) \\
 &= (\lambda y : T. t)\sigma[x \mapsto v].
 \end{aligned}$$

**(Application)** Similar to pairing.

□

Let  $P = \{(\Gamma, t, T) \mid \Gamma \text{ is a context, } t \text{ is a term, } T \text{ is a type and, for all substitutions } \sigma, \text{ if } \text{dom}(\Gamma) \subseteq \text{dom}(\sigma) \text{ and, for all } x \in \text{dom}(\Gamma), R_{\Gamma(x)}(\sigma(x)), \text{ then } R_T(t\sigma)\}$ . We use induction on the typing relation to prove that, for all contexts  $\Gamma$ , terms  $t$  and types  $T$ , if  $\Gamma \vdash t : T$ , then  $P(\Gamma, t, T)$ .

**(T-Unit)** Suppose  $\Gamma$  is a context. We must show that  $P(\Gamma, \text{unit}, \text{Unit})$ . Suppose  $\sigma$  is a substitution,  $\text{dom}(\Gamma) \subseteq \text{dom}(\sigma)$ , and for all  $x \in \text{dom}(\Gamma)$ ,  $R_{\Gamma(x)}(\sigma(x))$ . We must show that  $R_{\text{Unit}}(\text{unit}\sigma)$ . Since  $\text{unit}\sigma = \text{unit}$ , it will suffice to show that  $R_{\text{Unit}}(\text{unit})$ , and this follows since  $\emptyset \vdash \text{unit} : \text{Unit}$  (by (T-Unit)) and  $\text{unit}$  is a value and thus converges.

**(T-Pair)** Suppose  $\Gamma$  is a context,  $t_1$  and  $t_2$  are terms,  $T_1$  and  $T_2$  are types,  $\Gamma \vdash t_1 : T_1$  and  $\Gamma \vdash t_2 : T_2$ , and assume the inductive hypothesis,  $P(\Gamma, t_1, T_1)$  and  $P(\Gamma, t_2, T_2)$ . We must show that  $P(\Gamma, (t_1, t_2), T_1 \times T_2)$ . Suppose  $\sigma$  is a substitution,  $\text{dom}(\Gamma) \subseteq \text{dom}(\sigma)$ , and for all  $x \in \text{dom}(\Gamma)$ ,  $R_{\Gamma(x)}(\sigma(x))$ . We must show that  $R_{T_1 \times T_2}((t_1, t_2)\sigma)$ . Since  $(t_1, t_2)\sigma = (t_1\sigma, t_2\sigma)$ , it will suffice to show  $R_{T_1 \times T_2}((t_1\sigma, t_2\sigma))$ . By the inductive hypothesis, we have that  $R_{T_1}(t_1\sigma)$  and  $R_{T_2}(t_2\sigma)$ . Since  $R_{T_1}(t_1\sigma)$ , we have that  $\emptyset \vdash t_1\sigma : T_1$  and  $t_1\sigma$  converges, so that  $t_1\sigma \rightarrow^* v_1$ , for some closed value  $v_1$ . By Exercise 4, it follows that  $R_{T_1}(v_1)$ . And, since  $R_{T_2}(t_2\sigma)$ , we have that  $\emptyset \vdash t_2\sigma : T_2$  and  $t_2\sigma$  converges, so that  $t_2\sigma \rightarrow^* v_2$ , for some closed value  $v_2$ . By Exercise 4, it follows that  $R_{T_2}(v_2)$ . Since  $\emptyset \vdash t_1\sigma : T_1$  and  $\emptyset \vdash t_2\sigma : T_2$ , (T-Pair) gives us  $\emptyset \vdash (t_1\sigma, t_2\sigma) : T_1 \times T_2$ . Since  $t_1\sigma \rightarrow^* v_1$  and  $t_2\sigma \rightarrow^* v_2$ , we have that  $(t_1\sigma, t_2\sigma) \rightarrow^* (v_1, v_2) \rightarrow^* (v_1, v_2)$ . Thus, by Exercise 4, it will suffice to show that  $R_{T_1 \times T_2}((v_1, v_2))$ . By the preservation theorem, we have that  $\emptyset \vdash (v_1, v_2) : T_1 \times T_2$ . Since  $(v_1, v_2)$  is a value, it converges. It remains to show that  $R_{T_1}(\text{fst}(v_1, v_2))$  and  $R_{T_2}(\text{snd}(v_1, v_2))$ . Since  $\emptyset \vdash (v_1, v_2) : T_1 \times T_2$ , we have that  $\emptyset \vdash \text{fst}(v_1, v_2) : T_1$  and  $\emptyset \vdash \text{snd}(v_1, v_2) : T_2$ . Furthermore,  $\text{fst}(v_1, v_2) \rightarrow v_1$  and  $\text{snd}(v_1, v_2) \rightarrow v_2$ . Thus, since  $R_{T_1}(v_1)$  and  $R_{T_2}(v_2)$ , Exercise 4 tells us that  $R_{T_1}(\text{fst}(v_1, v_2))$  and  $R_{T_2}(\text{snd}(v_1, v_2))$ .

**(T-Fst)** Suppose  $\Gamma$  is a context,  $t$  is a term,  $T_1$  and  $T_2$  are types,  $\Gamma \vdash t : T_1 \times T_2$ , and assume the inductive hypothesis,  $P(\Gamma, t, T_1 \times T_2)$ . We must show that  $P(\Gamma, \text{fst } t, T_1)$ . Suppose  $\sigma$  is a substitution,  $\text{dom}(\Gamma) \subseteq \text{dom}(\sigma)$ , and for all  $x \in \text{dom}(\Gamma)$ ,  $R_{\Gamma(x)}(\sigma(x))$ . We must show that  $R_{T_1}((\text{fst } t)\sigma)$ . Since  $(\text{fst } t)\sigma = \text{fst}(t\sigma)$ , it will suffice to show that  $R_{T_1}(\text{fst}(t\sigma))$ . By the inductive hypothesis, we have that  $R_{T_1 \times T_2}(t\sigma)$ . Thus  $R_{T_1}(\text{fst}(t\sigma))$ .

**(T-Snd)** Similar to (T-Fst).

**(T-Var)** Suppose  $\Gamma$  is a context,  $x$  is a variable,  $T$  is a type and  $(x, T) \in \Gamma$ . We must show that  $P(\Gamma, x, T)$ . Suppose  $\sigma$  is a substitution,  $\text{dom}(\Gamma) \subseteq \text{dom}(\sigma)$ , and for all  $x \in \text{dom}(\Gamma)$ ,  $R_{\Gamma(x)}(\sigma(x))$ . We must show that  $R_T(x\sigma)$ . Since  $x \in \text{dom}(\Gamma)$ , we have that  $R_{\Gamma(x)}(\sigma(x))$ . Since  $(x, T) \in \Gamma$ , we have that  $\Gamma(x) = T$ . And, because  $x \in \text{dom}(\Gamma)$ , we have that  $x \in \text{dom}(\sigma)$ , so that  $\sigma(x) = x\sigma$ . Thus  $R_T(x\sigma)$ .

**(T-Abs)** Suppose  $\Gamma$  is a context,  $x$  is a variable,  $t$  is a term,  $T_1$  and  $T_2$  are types, and  $\Gamma[x \mapsto T_1] \vdash t : T_2$ , and assume the inductive hypothesis,  $P(\Gamma[x \mapsto T_1], t, T_2)$ . We must show that  $P(\Gamma, \lambda x : T_1. t, T_1 \rightarrow T_2)$ . Suppose  $\sigma$  is a substitution,  $\text{dom}(\Gamma) \subseteq \text{dom}(\sigma)$ , and for all  $x \in \text{dom}(\Gamma)$ ,  $R_{\Gamma(x)}(\sigma(x))$ . We must show that  $R_{T_1 \rightarrow T_2}((\lambda x : T_1. t)\sigma)$ . Since  $(\lambda x : T_1. t)\sigma = \lambda x : T_1. t(\sigma/\{x\})$ , it will suffice to show  $R_{T_1 \rightarrow T_2}(\lambda x : T_1. t(\sigma/\{x\}))$ .

Because  $\Gamma[x \mapsto T_1] \vdash t : T_2$ , (T-Abs) gives us  $\Gamma \vdash \lambda x : T_1. t : T_1 \rightarrow T_2$ . Since  $\text{dom}(\Gamma) \subseteq \text{dom}(\sigma)$ , and for all  $x \in \text{dom}(\Gamma)$ ,  $R_{\Gamma(x)}(\sigma(x))$ , we have that, for all  $x \in \text{dom}(\Gamma) \cap \text{dom}(\sigma)$ ,  $\emptyset \vdash \sigma(x) : \Gamma(x)$ . Thus Exercise 3 tells us that  $\Gamma/\text{dom}(\sigma) \vdash (\lambda x : T_1. t) \sigma : T_1 \rightarrow T_2$ . But  $\Gamma/\text{dom}(\sigma) = \emptyset$  and  $(\lambda x : T_1. t) \sigma = \lambda x : T_1. t(\sigma/\{x\})$ , and thus  $\emptyset \vdash \lambda x : T_1. t(\sigma/\{x\}) : T_1 \rightarrow T_2$ . Furthermore,  $\lambda x : T_1. t(\sigma/\{x\})$  is a value, and thus converges. So to show  $R_{T_1 \rightarrow T_2}(\lambda x : T_1. t(\sigma/\{x\}))$ , it remains to show that, for all terms  $s$ , if  $R_{T_1}(s)$ , then  $R_{T_2}((\lambda x : T_1. t(\sigma/\{x\})) s)$ .

Suppose  $s$  is a term and  $R_{T_1}(s)$ . We must show  $R_{T_2}((\lambda x : T_1. t(\sigma/\{x\})) s)$ . Since  $R_{T_1}(s)$ , we have that  $\emptyset \vdash s : T_1$  and  $s$  converges, so that  $s \rightarrow^* v$  for some closed value  $v$ . By Exercise 4, it follows that  $R_{T_1}(v)$ . Since  $\emptyset \vdash \lambda x : T_1. t(\sigma/\{x\}) : T_1 \rightarrow T_2$  and  $\emptyset \vdash s : T_1$ , we have that  $\emptyset \vdash (\lambda x : T_1. t(\sigma/\{x\})) s : T_2$ . By Lemma 5.5, we have that

$$\begin{aligned} (\lambda x : T_1. t(\sigma/\{x\})) s &\rightarrow^* (\lambda x : T_1. t(\sigma/\{x\})) v \\ &\rightarrow (t(\sigma/\{x\})) \{(x, v)\} \\ &= t\sigma[x \mapsto v]. \end{aligned}$$

Thus, by Exercise 4, it will suffice to show that  $R_{T_2}(t\sigma[x \mapsto v])$ . By the inductive hypothesis,  $P(\Gamma[x \mapsto T_1], t, T_2)$ , it will suffice to show that  $\text{dom}(\Gamma[x \mapsto T_1]) \subseteq \text{dom}(\sigma[x \mapsto v])$  and, for all  $y \in \text{dom}(\Gamma[x \mapsto T_1])$ ,  $R_{\Gamma[x \mapsto T_1](y)}(\sigma[x \mapsto v](y))$ . Since  $\text{dom}(\Gamma) \subseteq \text{dom}(\sigma)$ , we have that  $\text{dom}(\Gamma[x \mapsto T_1]) = \text{dom}(\Gamma) \cup \{x\} \subseteq \text{dom}(\sigma) \cup \{x\} = \text{dom}(\sigma[x \mapsto v])$ . Suppose  $y \in \text{dom}(\Gamma[x \mapsto T_1]) = \text{dom}(\Gamma) \cup \{x\}$ . We must show that  $R_{\Gamma[x \mapsto T_1](y)}(\sigma[x \mapsto v](y))$ . There are two cases to consider.

- Suppose  $y = x$ . Then  $R_{T_1}(v)$ ,  $T_1 = \Gamma[x \mapsto T_1](y)$  and  $v = \sigma[x \mapsto v](y)$ , so that  $R_{\Gamma[x \mapsto T_1](y)}(\sigma[x \mapsto v](y))$ .
- Suppose  $y \neq x$ , so that  $y \in \text{dom}(\Gamma)$ . Thus  $R_{\Gamma(y)}(\sigma(y))$ ,  $\Gamma(y) = \Gamma[x \mapsto T_1](y)$  and  $\sigma(y) = \sigma[x \mapsto v](y)$ , so that  $R_{\Gamma[x \mapsto T_1](y)}(\sigma[x \mapsto v](y))$ .

**(T-App)** Suppose  $\Gamma$  is a context,  $t_1$  and  $t_2$  are terms,  $T_1$  and  $T_2$  are types,  $\Gamma \vdash t_1 : T_1 \rightarrow T_2$  and  $\Gamma \vdash t_2 : T_1$ , and assume the inductive hypothesis,  $P(\Gamma, t_1, T_1 \rightarrow T_2)$  and  $P(\Gamma, t_2, T_1)$ . We must show that  $P(\Gamma, t_1 t_2, T_2)$ . Suppose  $\sigma$  is a substitution,  $\text{dom}(\Gamma) \subseteq \text{dom}(\sigma)$ , and for all  $x \in \text{dom}(\Gamma)$ ,  $R_{\Gamma(x)}(\sigma(x))$ . We must show that  $R_{T_2}((t_1 t_2) \sigma)$ . Since  $(t_1 t_2) \sigma = (t_1 \sigma)(t_2 \sigma)$ , it will suffice to show  $R_{T_2}((t_1 \sigma)(t_2 \sigma))$ . By the inductive hypothesis, we have that  $R_{T_1 \rightarrow T_2}(t_1 \sigma)$  and  $R_{T_1}(t_2 \sigma)$ . Thus, by the definition of  $R_{T_1 \rightarrow T_2}(t_1 \sigma)$ , we have  $R_{T_2}((t_1 \sigma)(t_2 \sigma))$ .

### Exercise 6

Suppose  $t$  is a closed, well-typed term. Thus  $\emptyset \vdash t : T$  for some type  $T$ . Since  $\emptyset \vdash t : T$ ,  $\text{dom}(\emptyset) \subseteq \text{dom}(\emptyset)$ , and, for all  $x \in \text{dom}(\emptyset)$ ,  $R_{\emptyset(x)}(\emptyset(x))$ , Exercise 5 tells us that  $R_T(t \emptyset)$ . Since  $\text{FV}(t) \cap \text{dom}(\emptyset) = \emptyset \cap \emptyset = \emptyset$ , Exercise 1 tells us that  $t \emptyset = t$ . Thus  $R_T(t)$ , so that  $t$  converges.