

Exercise Set 6

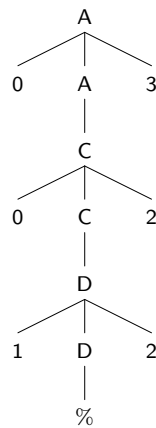
Model Answers

Exercise 1

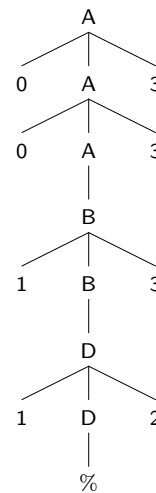
(a)

$$\begin{aligned} A &\rightarrow 0A3 \mid B \mid C \\ B &\rightarrow 1B3 \mid D \\ C &\rightarrow 0C2 \mid D \\ D &\rightarrow 1D2 \mid \% \end{aligned}$$

(b) Let pt_1 and pt_2 be the following parse trees:



(pt_1)



(pt_2)

Then pt_1 is a parse of 001223, and pt_2 is a parse of 00112333.

To check that our answers are correct, we place the description

```

{variables}
A, B, C, D
{start variable}
A
{productions}
A -> 0A3 | B | C;
B -> 1B3 | D;
C -> 0C2 | D;
D -> 1D2 | %
  
```

of G in the file `es6-ex1-gram`, and then load G into Forlan:

```
- val gram = Gram.input "es6-ex1-gram";  
val gram = - : gram
```

Next, we put the description

```
A(0,  
  A(C(0,  
    C(D(1,  
      D(%),  
      2)),  
    2)),  
  3)
```

of pt_1 in the file `es6-ex1-pt1`, load pt_1 into Forlan, and check that it has the required properties:

```
- val pt1 = PT.input "es6-ex1-pt1";  
val pt1 = - : pt  
- Gram.validPT gram pt1;  
val it = true : bool  
- Sym.output("", PT.rootLabel pt1);  
A  
val it = () : unit  
- Str.output("", PT.yield pt1);  
001223  
val it = () : unit
```

Finally, we put the description

```
A(0,  
  A(0,  
    A(B(1,  
      B(D(1,  
        D(%),  
        2)),  
      3)),  
    3),  
  3)
```

of pt_2 in the file `es6-ex1-pt2`, load pt_2 into Forlan, and check that it has the required properties:

```
- val pt2 = PT.input "es6-ex1-pt2";  
val pt2 = - : pt  
- Gram.validPT gram pt2;  
val it = true : bool  
- Sym.output("", PT.rootLabel pt2);  
A  
val it = () : unit
```

```

- Str.output("", PT.yield pt2);
00112333
val it = () : unit

```

(c) First, we define a testing function, and check that some strings in X are generated by G :

```

- fun generated s = Gram.generated gram (Str.fromString s);
val generated = fn : string -> bool
- map generated
= ["%", "12", "03", "02", "13", "1122", "0033", "0123", "001233",
  "00012233", "011333", "0111122333"];
val it = [true,true,true,true,true,true,true,true,true,true,true]
         : bool list

```

Second, we check that some strings that are not in X are not generated by G :

```

- map generated
= ["0", "1", "2", "3", "30", "21", "31", "0213", "3120", "00123",
  "01233", "01123", "01223", "00122", "00133", "00233", "00223",
  "001133", "011123", "012233", "012333"];
val it =
  [false,false,false,false,false,false,false,false,false,false,false,
   false,false,false,false,false,false,false,false,false] : bool list

```

(d) Let

$$\begin{aligned}
Y &= \{1^j 2^k 3^l \mid j, k, l \in \mathbb{N} \text{ and } j = k + l\}, \\
Z &= \{0^i 1^j 2^k \mid i, j, k \in \mathbb{N} \text{ and } i + j = k\}, \\
W &= \{1^n 2^n \mid n \in \mathbb{N}\}.
\end{aligned}$$

We will show that $\Pi_A = X$, $\Pi_B = Y$, $\Pi_C = Z$, $\Pi_D = W$.

Lemma ES6.1.1

$W \subseteq \Pi_D$.

Proof. It will suffice to show that, for all $n \in \mathbb{N}$, $1^n 2^n \in \Pi_D$. We proceed by mathematical induction.

(Basis Step) Because $D \rightarrow \% \in P$, we have that $1^0 2^0 = \% \% = \% \in \Pi_D$.

(Inductive Step) Suppose $n \in \mathbb{N}$, and assume the inductive hypothesis: $1^n 2^n \in \Pi_D$. Because $D \rightarrow 1D2 \in P$, it follows that $1^{n+1} 2^{n+1} = 1(1^n 2^n)2 \in \Pi_D$. \square

Lemma ES6.1.2

$Y \subseteq \Pi_B$.

Proof. First, we show a fact that we call (\dagger) below: for all $x \in W$ and $n \in \mathbb{N}$, $1^n x 3^n \in \Pi_B$. Let $x \in W$. We use mathematical induction to show that, for all $n \in \mathbb{N}$, $1^n x 3^n \in \Pi_B$.

(Basis Step) By Lemma ES6.1.1, we have that $x \in W \subseteq \Pi_D$. Thus, since $B \rightarrow D \in P$, it follows that $1^0 x 3^0 = \% x \% = x \in \Pi_B$.

(Inductive Step) Suppose $n \in \mathbb{N}$, and assume the inductive hypothesis: $1^n x 3^n \in \Pi_B$. Thus, since $B \rightarrow 1B3 \in P$, it follows that $1^{n+1} x 3^{n+1} = 1(1^n x 3^n)3 \in \Pi_B$.

Now, we use (\dagger) to show that $Y \subseteq \Pi_B$. Suppose $w \in Y$, so that $w = 1^j 2^k 3^l$, for some $j, k, l \in \mathbb{N}$ such that $j = k + l$. Let $x = 1^k 2^k$. Since $x \in W$, we have that $w = 1^j 2^k 3^l = 1^{k+l} 2^k 3^l = 1^{l+k} 2^k 3^l = 1^l 1^k 2^k 3^l = 1^l x 3^l \in \Pi_B$, by (\dagger) . \square

Lemma ES6.1.3

$Z \subseteq \Pi_C$.

Proof. First, we show a fact that we call (\dagger) below: for all $x \in W$ and $n \in \mathbb{N}$, $0^n x 2^n \in \Pi_C$. Let $x \in W$. We use mathematical induction to show that, for all $n \in \mathbb{N}$, $0^n x 2^n \in \Pi_C$.

(Basis Step) By Lemma ES6.1.1, we have that $x \in W \subseteq \Pi_D$. Thus, since $C \rightarrow D \in P$, it follows that $0^0 x 2^0 = \%x\% = x \in \Pi_C$.

(Inductive Step) Suppose $n \in \mathbb{N}$, and assume the inductive hypothesis: $0^n x 2^n \in \Pi_C$. Thus, since $C \rightarrow 0C2 \in P$, it follows that $0^{n+1} x 2^{n+1} = 0(0^n x 2^n)2 \in \Pi_C$.

Now, we use (\dagger) to show that $Z \subseteq \Pi_C$. Suppose $w \in Z$, so that $w = 0^i 1^j 2^k$, for some $i, j, k \in \mathbb{N}$ such that $i + j = k$. Let $x = 1^j 2^j$. Since $x \in W$, we have that $w = 0^i 1^j 2^k = 0^i 1^j 2^{i+j} = 0^i 1^j 2^{j+i} = 0^i 1^j 2^j 2^i = 0^i x 2^i \in \Pi_C$, by (\dagger) . \square

Lemma ES6.1.4

$X \subseteq \Pi_A$.

Proof. First, we show a fact that we call (\dagger) below: for all $x \in Y \cup Z$ and $n \in \mathbb{N}$, $0^n x 3^n \in \Pi_A$. Let $x \in Y \cup Z$. We use mathematical induction to show that, for all $n \in \mathbb{N}$, $0^n x 3^n \in \Pi_A$.

(Basis Step) Since $x \in Y \cup Z$, there are two cases to consider.

- Suppose $x \in Y$. By Lemma ES6.1.2, we have that $x \in Y \subseteq \Pi_B$. Thus, since $A \rightarrow B \in P$, it follows that $0^0 x 3^0 = \%x\% = x \in \Pi_A$.
- Suppose $x \in Z$. By Lemma ES6.1.3, we have that $x \in Z \subseteq \Pi_C$. Thus, since $A \rightarrow C \in P$, it follows that $0^0 x 3^0 = \%x\% = x \in \Pi_A$.

(Inductive Step) Suppose $n \in \mathbb{N}$, and assume the inductive hypothesis: $0^n x 3^n \in \Pi_A$. Thus, since $A \rightarrow 0A3 \in P$, it follows that $0^{n+1} x 3^{n+1} = 0(0^n x 3^n)3 \in \Pi_A$.

Now, we use (\dagger) to show that $X \subseteq \Pi_A$. Suppose $w \in X$, so that $w = 0^i 1^j 2^k 3^l$, for some $i, j, k, l \in \mathbb{N}$ such that $i + j = k + l$. There are two cases to consider.

- Suppose $i \leq l$. Thus $l = i + m$, for some $m \in \mathbb{N}$. Let $x = 1^j 2^k 3^m$. Since $i + j = k + l = k + i + m = i + k + m$, we have that $j = k + m$, and thus that $x \in Y \subseteq Y \cup Z$. Hence, by (\dagger) , we have that $w = 0^i 1^j 2^k 3^l = 0^i 1^j 2^k 3^{m+i} = 0^i 1^j 2^k 3^m 3^i = 0^i x 3^i \in \Pi_A$.
- Suppose $i > l$. Thus $i = l + m$, for some $m \in \mathbb{N} - \{0\}$. Let $x = 0^m 1^j 2^k$. Since $l + m + j = i + j = k + l$, we have that $m + j = k$, and thus that $x \in Z \subseteq Y \cup Z$. Hence, by (\dagger) , we have that $w = 0^i 1^j 2^k 3^l = 0^{l+m} 1^j 2^k 3^l = 0^l 0^m 1^j 2^k 3^l = 0^l x 3^l \in \Pi_A$.

\square

Lemma ES6.1.5

(A) $\Pi_A \subseteq X$.

(B) $\Pi_B \subseteq Y$.

(C) $\Pi_C \subseteq Z$.

(D) $\Pi_D \subseteq W$.

Proof. It will suffice to show that:

(A) For all $w \in \Pi_A$, $w \in X$.

(B) For all $w \in \Pi_B$, $w \in Y$.

(C) For all $w \in \Pi_C$, $w \in Z$.

(D) For all $w \in \Pi_D$, $w \in W$.

We proceed by induction on Π . There are nine productions to consider.

- (A \rightarrow 0A3) Suppose $w \in \Pi_A$, and assume the inductive hypothesis: $w \in X$. Then $w = 0^i 1^j 2^k 3^l$, for some $i, j, k, l \in \mathbb{N}$ such that $i + j = k + l$. Hence $0w3 = 00^i 1^j 2^k 3^l 3 = 0^{i+1} 1^j 2^k 3^{l+1} \in X$, since $(i + 1) + j = (i + j) + 1 = (k + l) + 1 = k + (l + 1)$.
- (A \rightarrow B) Suppose $w \in \Pi_B$, and assume the inductive hypothesis: $w \in Y$. Then $w = 1^j 2^k 3^l$, for some $j, k, l \in \mathbb{N}$ such that $j = k + l$. Hence $w = \%w = \%1^j 2^k 3^l = 0^0 1^j 2^k 3^l \in X$, since $0 + j = j = k + l$.
- (A \rightarrow C) Suppose $w \in \Pi_C$, and assume the inductive hypothesis: $w \in Z$. Then $w = 0^i 1^j 2^k$, for some $i, j, k \in \mathbb{N}$ such that $i + j = k$. Hence $w = w\% = 0^i 1^j 2^k \% = 0^i 1^j 2^k 3^0 \in X$, since $i + j = k = k + 0$.
- (B \rightarrow 1B3) Suppose $w \in \Pi_B$, and assume the inductive hypothesis: $w \in Y$. Then $w = 1^j 2^k 3^l$, for some $j, k, l \in \mathbb{N}$ such that $j = k + l$. Hence $1w3 = 11^j 2^k 3^l 3 = 1^{j+1} 2^k 3^{l+1} \in Y$, since $j + 1 = (k + l) + 1 = k + (l + 1)$.
- (B \rightarrow D) Suppose $w \in \Pi_D$, and assume the inductive hypothesis: $w \in W$. Then $w = 1^n 2^n$, for some $n \in \mathbb{N}$. Hence $w = w\% = 1^n 2^n \% = 1^n 2^n 3^0 \in Y$, since $n = n + 0$.
- (C \rightarrow 0C2) Suppose $w \in \Pi_C$, and assume the inductive hypothesis: $w \in Z$. Then $w = 0^i 1^j 2^k$, for some $i, j, k \in \mathbb{N}$ such that $i + j = k$. Hence $0w2 = 00^i 1^j 2^k 2 = 0^{i+1} 1^j 2^{k+1} \in Z$, since $(i + 1) + j = (i + j) + 1 = k + 1$.
- (C \rightarrow D) Suppose $w \in \Pi_D$, and assume the inductive hypothesis: $w \in W$. Then $w = 1^n 2^n$, for some $n \in \mathbb{N}$. Hence $w = \%w = \%1^n 2^n = 0^0 1^n 2^n \in Z$, since $0 + n = n$.
- (D \rightarrow 1D2) Suppose $w \in \Pi_D$, and assume the inductive hypothesis: $w \in W$. Then $w = 1^n 2^n$, for some $n \in \mathbb{N}$. Hence $1w2 = 11^n 2^n 2 = 1^{n+1} 2^{n+1} \in W$.
- (D \rightarrow %) We have that $\% = \% \% = 1^0 2^0 \in W$.

□

By Lemmas ES6.1.4 and ES6.1.5(A), we have that $X \subseteq \Pi_A \subseteq X$, so that $L(G) = \Pi_A = X$.

(e) Suppose, toward a contradiction, that X is regular. Thus there is an $n \in \mathbb{N}$ with the property of the Pumping Lemma, where X has been substituted for L . Let $z = 0^n 3^n$. Since $z = 0^n 3^n = 0^n \% 3^n = 0^n 1^0 2^0 3^n$ and $n + 0 = 0 + n$, we have that $z \in X$. And, $|z| = 2n \geq n$. Thus, by the property of the Pumping Lemma, we have that there are $u, v, w \in \mathbf{Str}$ such that $z = uvw$ and

- (1) $|uv| \leq n$;
- (2) $v \neq \%$; and
- (3) $uv^i w \in X$, for all $i \in \mathbb{N}$.

Since $0^n 3^n = z = uvw$, (1) tells us that there are $i, j, k \in \mathbb{N}$ such that

$$u = 0^i, \quad v = 0^j, \quad w = 0^k 3^n, \quad i + j + k = n.$$

By (2), we have that $j \geq 1$, and thus that $i + k = n - j < n$. By (3), we have that

$$0^{i+k} 3^n = 0^i 0^k 3^n = uw = u \% w = uv^0 w \in X.$$

Hence $0^{i+k} 3^n = 0^{i'} 1^{j'} 2^{k'} 3^{l'}$ for some $i', j', k', l' \in \mathbb{N}$ such that $i' + j' = k' + l'$, so that $i + k = i'$, $0 = j'$, $0 = k'$ and $n = l'$. Thus $i + k = i + k + 0 = i' + j' = k' + l' = 0 + n = n$ —contradiction. Thus X is not regular.

Exercise 2

(a)

```
(* val zero : sym *)

val zero = Sym.fromString "0";

(* val one : sym *)

val one = Sym.fromString "1";

(* val minAndRen : dfa -> dfa *)

val minAndRen =
  DFA.renameStatesCanonically o DFA.minimize o DFA.renameStatesCanonically;

(* val efaToDFA : efa -> dfa *)

val efaToDFA = nfaToDFA o efaToNFA;

(* val allStrDFA : dfa

    allStrDFA accepts {0, 1}* *)
```

```

val allStrDFA =
  minAndRen(efaToDFA(EFA.closure(EFA.union(EFA.fromSym zero,
                                           EFA.fromSym one))));

(* val swapRel : sym_rel *)

val swapRel = SymRel.fromString "(0, 1), (1, 0)";

(* val zeroAllPrefPosEFA : int -> efa

   if n >= 0, then zeroAllPrefPosEFA n returns an efa that accepts all
   w in {0, 1}* such that diff w = 0 and all prefixes of w have diff's
   between 0 and n *)

fun zeroAllPrefPosEFA 0 = EFA.emptyStr
  | zeroAllPrefPosEFA n =
    EFA.closure(EFA.concat(EFA.fromSym one,
                          EFA.concat(zeroAllPrefPosEFA(n - 1),
                                      EFA.fromSym zero)));

(* val zeroAllPrefPosDFA : int -> dfa

   if n >= 0, then zeroAllPrefPosDFA n returns a dfa that accepts
   all w in {0, 1}* such that diff w = 0 and all prefixes of w
   have diff's between 0 and n *)

fun zeroAllPrefPosDFA n = minAndRen(efaToDFA(zeroAllPrefPosEFA n));

(* val justBadPosEFA : int -> efa

   if n >= 0, then justBadPosEFA n returns an efa that accepts all w
   in {0, 1}* such that diff w = n + 1 and all proper prefixes of w
   have diff's between 0 and n *)

fun justBadPosEFA 0 = EFA.fromSym one
  | justBadPosEFA n =
    EFA.concat(injDFAToEFA(zeroAllPrefPosDFA n),
              EFA.concat(EFA.fromSym one, justBadPosEFA(n - 1)));

(* val justBadPosDFA : int -> dfa

   if n >= 0, then justBadPosDFA n returns a dfa that accepts all w in
   {0, 1}* such that diff w = n + 1 and all proper prefixes of w have
   diff's between 0 and n *)

fun justBadPosDFA n = minAndRen(efaToDFA(justBadPosEFA n));

```

```

(* val justBadNegDFA : int -> dfa

   if n >= 0, then justBadNegDFA n returns a dfa that accepts all w in
   {0, 1}* such that diff w =  $\sim(n + 1)$  and all proper prefixes of w
   have diff's between 0 and  $\sim n$  *)

fun justBadNegDFA n = DFA.renameAlphabet(justBadPosDFA n, swapRel);

(* val justBadPosOrNegDFA : int -> dfa

   if n >= 0, then justBadPosOrNegDFA n returns a dfa accepting all w
   in {0, 1}* such that either:

       diff w = n + 1 and all proper prefixes of w have diff's between 0
       and n; or

       diff w =  $\sim(n + 1)$  and all proper prefixes of w have diff's
       between 0 and  $\sim n$  *)

fun justBadPosOrNegDFA n =
  minAndRen(efaToDFA(EFA.union(injDFAToEFA(justBadPosDFA n),
                                     injDFAToEFA(justBadNegDFA n))));

(* val someSubBadEFA : int -> efa

   if n >= 0, then someSubBadEFA n returns an efa that accepts all w in
   {0, 1}* such that some substring of w has a diff that is <  $\sim n$  or > n *)

fun someSubBadEFA n =
  EFA.concat(injDFAToEFA allStrDFA,
             EFA.concat(injDFAToEFA(justBadPosOrNegDFA n),
                        injDFAToEFA allStrDFA));

(* val someSubBadDFA : int -> dfa

   if n >= 0, then someSubBadDFA n returns a dfa that accepts all w in
   {0, 1}* such that some substring of w has a diff that is <  $\sim n$  or > n *)

fun someSubBadDFA n = minAndRen(efaToDFA(someSubBadEFA n));

(* val allSubGoodDFA : int -> dfa

   if n >= 0, then allSubGoodDFA n returns a minimized dfa that
   accepts all w in {0, 1}* such that all substrings of w have diff's
   between  $\sim n$  and n *)

fun allSubGoodDFA n = minAndRen(DFA.minus(allStrDFA, someSubBadDFA n));

```


(b) First, we put the solution to Part (a) in the file `all-sub-good.sml`. Then we invoke Forlan and load this file:

```

- use "all-sub-good.sml";
[opening all-sub-good.sml]
val zero = - : sym
val one = - : sym
val minAndRen = fn : dfa -> dfa
val efaToDFA = fn : efa -> dfa
val allStrDFA = - : dfa
val swapRel = - : sym_rel
val zeroAllPrefPosEFA = fn : int -> efa
val zeroAllPrefPosDFA = fn : int -> dfa
val justBadPosEFA = fn : int -> efa
val justBadPosDFA = fn : int -> dfa
val justBadNegDFA = fn : int -> dfa
val justBadPosOrNegDFA = fn : int -> dfa
val someSubBadEFA = fn : int -> efa
val someSubBadDFA = fn : int -> dfa
val allSubGoodDFA = fn : int -> dfa
val it = () : unit

```

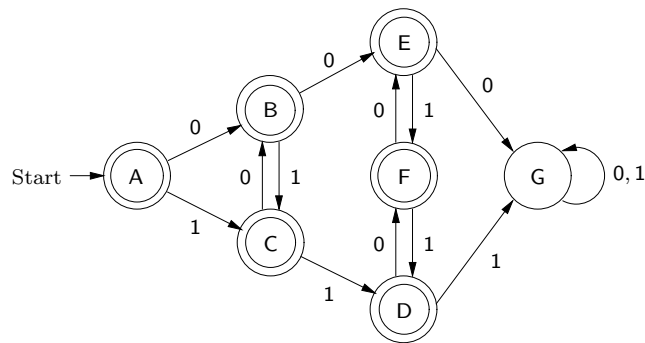
Next, we generate and display a DFA accepting `AllSubGood(2)`:

```

- val dfa1 = allSubGoodDFA 2;
val dfa1 = - : dfa
- DFA.output("", dfa1);
{states}
A, B, C, D, E, F, G
{start state}
A
{accepting states}
A, B, C, D, E, F
{transitions}
A, 0 -> B; A, 1 -> C; B, 0 -> E; B, 1 -> C; C, 0 -> B; C, 1 -> D; D, 0 -> F;
D, 1 -> G; E, 0 -> G; E, 1 -> F; F, 0 -> E; F, 1 -> D; G, 0 -> G; G, 1 -> G
val it = () : unit

```

Here is a drawing of `dfa1`:



Finally, we generate and display a DFA accepting **AllSubGood(3)**:

```

- val dfa2 = allSubGoodDFA 3;
val dfa2 = - : dfa
- DFA.output("", dfa2);
{states}
A, B, C, D, E, F, G, H, I, J, K
{start state}
A
{accepting states}
A, B, C, D, E, F, G, H, I, J
{transitions}
A, 0 -> B; A, 1 -> C; B, 0 -> F; B, 1 -> C; C, 0 -> B; C, 1 -> G; D, 0 -> E;
D, 1 -> J; E, 0 -> I; E, 1 -> D; F, 0 -> I; F, 1 -> H; G, 0 -> H; G, 1 -> J;
H, 0 -> F; H, 1 -> G; I, 0 -> K; I, 1 -> E; J, 0 -> D; J, 1 -> K; K, 0 -> K;
K, 1 -> K
val it = () : unit

```

Here is a drawing of dfa2:

