

Assignment 1

Model Answers

Exercise 1

As usual, we say that a term t is a *normal form* iff there is no term t' such that $t \rightarrow t'$.

We begin by proving two lemmas.

Lemma 1.1

For all numeric values nv , nv is a normal form.

Proof. Define a predicate P on numeric values by: $P(nv)$ iff nv is a normal form. We use the principle of structural induction on numeric values to prove that, for all numeric values nv , $P(nv)$.

(Zero) We must show $P(0)$, i.e., that 0 is a normal form. This follows by the inversion lemma for the evaluation relation, because none of the evaluation rules apply to 0.

(Successor) Suppose nv is a numeric value, and assume the inductive hypothesis, $P(nv)$, i.e., nv is a normal form. We must show $P(\text{succ } nv)$, i.e., $\text{succ } nv$ is a normal form. Suppose, toward a contradiction, that $\text{succ } nv$ is not a normal form. Thus $\text{succ } nv \rightarrow t$ for some term t . Applying the inversion lemma for the evaluation relation, only case (Succ) could apply, so that $t = \text{succ } t'$, for some t' such that $nv \rightarrow t'$. But this contradicts the fact that nv is a normal form. Thus we have that $\text{succ } nv$ is a normal form.

□

Lemma 1.2

For all answers a , a is a normal form.

Proof. If a is `true`, `false` or `error`, the inversion lemma for the evaluation relation shows that a is a normal form. Otherwise, a is a numeric value, and the result follows by Lemma 1.1. □

Now we use our lemmas to prove the exercise's result. Define a predicate P on pairs of terms by: $P(t_1, t_2)$ iff, for all terms t'_2 , if $t_1 \rightarrow t'_2$, then $t_2 = t'_2$. It will suffice to show that, for all terms t_1 and t_2 , if $t_1 \rightarrow t_2$, then $P(t_1, t_2)$. (To see that this is so, suppose t_1, t_2 and t'_2 are terms, $t_1 \rightarrow t_2$ and $t_1 \rightarrow t'_2$. Then $P(t_1, t_2)$, so that $t_2 = t'_2$.) We proceed using the principle of induction on the evaluation relation.

(IfTrue) Suppose t_2 and t_3 are terms. We must show that $P(\text{if true then } t_2 \text{ else } t_3, t_2)$. Suppose t is a term and $\text{if true then } t_2 \text{ else } t_3 \rightarrow t$. We must show that $t_2 = t$. By Lemma 1.2, we have that `true` is a normal form. Thus, applying the inversion lemma for the evaluation relation, only case (IfTrue) can apply, so that $t = t_2$, i.e., $t_2 = t$.

(IfFalse) Similar to (IfTrue).

- (IfNum)** Suppose nv is a numeric value and t_2 and t_3 are terms. We must show that $P(\text{if } nv \text{ then } t_2 \text{ else } t_3, \text{error})$. Suppose t is a term and $\text{if } nv \text{ then } t_2 \text{ else } t_3 \rightarrow t$. We must show that $\text{error} = t$. By Lemma 1.2, we have that nv is a normal form. Thus, applying the inversion lemma for the evaluation relation, only case (IfNum) can apply, so that $t = \text{error}$, i.e., $\text{error} = t$.
- (IfError)** Similar to (IfNum).
- (If)** Suppose t_1, t'_1, t_2 and t_3 are terms, $t_1 \rightarrow t'_1$, and assume the inductive hypothesis, $P(t_1, t'_1)$. We must show that $P(\text{if } t_1 \text{ then } t_2 \text{ else } t_3, \text{if } t'_1 \text{ then } t_2 \text{ else } t_3)$. Suppose t is a term and $\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \rightarrow t$. We must show that $\text{if } t'_1 \text{ then } t_2 \text{ else } t_3 = t$. By Lemma 1.2, because t_1 is not a normal form, we have that t_1 is not an answer. Thus, applying the inversion lemma for the evaluation relation, only case (If) can apply, so that $t = \text{if } t'_1 \text{ then } t_2 \text{ else } t_3$, for some term t''_1 such that $t_1 \rightarrow t''_1$. By the inductive hypothesis, it follows that $t'_1 = t''_1$. Thus $\text{if } t'_1 \text{ then } t_2 \text{ else } t_3 = \text{if } t''_1 \text{ then } t_2 \text{ else } t_3 = t$.
- (SuccBool)** Suppose bv is a boolean value. We must show that $P(\text{succ } bv, \text{error})$. Suppose t is a term and $\text{succ } bv \rightarrow t$. We must show that $\text{error} = t$. By Lemma 1.2, we have that bv is a normal form. Thus, applying the inversion lemma for the evaluation relation, only case (SuccBool) can apply, so that $t = \text{error}$, i.e., $\text{error} = t$.
- (SuccError)** We must show that $P(\text{succ } \text{error}, \text{error})$. Suppose t is a term and $\text{succ } \text{error} \rightarrow t$. We must show that $\text{error} = t$. By Lemma 1.2, we have that error is a normal form. Thus, applying the inversion lemma for the evaluation relation, only case (SuccError) can apply, so that $t = \text{error}$, i.e., $\text{error} = t$.
- (Succ)** Suppose t_1 and t'_1 are terms, $t_1 \rightarrow t'_1$ and assume the inductive hypothesis, $P(t_1, t'_1)$. We must show that $P(\text{succ } t_1, \text{succ } t'_1)$. Suppose t is a term and $\text{succ } t_1 \rightarrow t$. We must show that $\text{succ } t'_1 = t$. By Lemma 1.2, because t_1 is not a normal form, we have that t_1 is not an answer. Thus, applying the inversion lemma for the evaluation relation, only case (Succ) can apply, so that $t = \text{succ } t''_1$, for some term t''_1 such that $t_1 \rightarrow t''_1$. By the inductive hypothesis, it follows that $t'_1 = t''_1$. Thus $\text{succ } t'_1 = \text{succ } t''_1 = t$.
- (PredBool)** Suppose bv is a boolean value. We must show that $P(\text{pred } bv, \text{error})$. Suppose t is a term and $\text{pred } bv \rightarrow t$. We must show that $\text{error} = t$. By Lemma 1.2, we have that bv is a normal form. Thus, applying the inversion lemma for the evaluation relation, only case (PredBool) can apply, so that $t = \text{error}$, i.e., $\text{error} = t$.
- (PredZero)** We must show that $P(\text{pred } 0, \text{error})$. Suppose t is a term and $\text{pred } 0 \rightarrow t$. We must show that $\text{error} = t$. By Lemma 1.2, we have that 0 is a normal form. Thus, applying the inversion lemma for the evaluation relation, only case (PredZero) can apply, so that $t = \text{error}$, i.e., $\text{error} = t$.
- (PredSucc)** Suppose nv is a numeric value. We must show that $P(\text{pred}(\text{succ } nv), nv)$. Suppose t is a term and $\text{pred}(\text{succ } nv) \rightarrow t$. We must show that $nv = t$. By Lemma 1.2, we have that $\text{succ } nv$ is a normal form. Thus, applying the inversion lemma for the evaluation relation, only case (PredSucc) can apply, so that $t = nv$, i.e., $nv = t$.

- (PredError)** We must show that $P(\text{pred error}, \text{error})$. Suppose t is a term and $\text{pred error} \rightarrow t$. We must show that $\text{error} = t$. By Lemma 1.2, we have that error is a normal form. Thus, applying the inversion lemma for the evaluation relation, only case (PredError) can apply, so that $t = \text{error}$, i.e., $\text{error} = t$.
- (Pred)** Suppose t_1 and t'_1 are terms, $t_1 \rightarrow t'_1$ and assume the inductive hypothesis, $P(t_1, t'_1)$. We must show that $P(\text{pred } t_1, \text{pred } t'_1)$. Suppose t is a term and $\text{pred } t_1 \rightarrow t$. We must show that $\text{pred } t'_1 = t$. By Lemma 1.2, because t_1 is not a normal form, we have that t_1 is not an answer. Thus, applying the inversion lemma for the evaluation relation, only case (Pred) can apply, so that $t = \text{pred } t'_1$, for some term t''_1 such that $t_1 \rightarrow t''_1$. By the inductive hypothesis, it follows that $t'_1 = t''_1$. Thus $\text{pred } t'_1 = \text{pred } t''_1 = t$.
- (IszeroBool)** Similar to (PredBool).
- (IszeroZero)** We must show that $P(\text{iszero } 0, \text{true})$. Suppose t is a term and $\text{iszero } 0 \rightarrow t$. We must show that $\text{true} = t$. By Lemma 1.2, we have that 0 is a normal form. Thus, applying the inversion lemma for the evaluation relation, only case (IszeroZero) can apply, so that $t = \text{true}$, i.e., $\text{true} = t$.
- (IszeroSucc)** Suppose nv is a numeric value. We must show that $P(\text{iszero}(\text{succ } nv), \text{false})$. Suppose t is a term and $\text{iszero}(\text{succ } nv) \rightarrow t$. We must show that $\text{false} = t$. By Lemma 1.2, we have that $\text{succ } nv$ is a normal form. Thus, applying the inversion lemma for the evaluation relation, only case (IszeroSucc) can apply, so that $t = \text{false}$, i.e., $\text{false} = t$.
- (IszeroError)** Similar to (PredError).
- (Iszero)** Similar to (Pred).
- (OtherwiseValue)** Suppose t is a term and v is a value. We must show that $P(v \text{ otherwise } t, v)$. Suppose t' is a term and $v \text{ otherwise } t \rightarrow t'$. We must show that $v = t'$. By Lemma 1.2, we have that v is a normal form. Thus, applying the inversion lemma for the evaluation relation, only case (OtherwiseValue) can apply, so that $t' = v$, i.e., $v = t'$.
- (OtherwiseError)** Suppose t is a term. We must show that $P(\text{error otherwise } t, t)$. Suppose t' is a term and $\text{error otherwise } t \rightarrow t'$. We must show that $t = t'$. By Lemma 1.2, we have that error is a normal form. Thus, applying the inversion lemma for the evaluation relation, only case (OtherwiseError) can apply, so that $t' = t$, i.e., $t = t'$.
- (Otherwise)** Suppose t_1, t_2 and t'_1 are terms, $t_1 \rightarrow t'_1$, and assume the inductive hypothesis, $P(t_1, t'_1)$. We must show that $P(t_1 \text{ otherwise } t_2, t'_1 \text{ otherwise } t_2)$. Suppose t is a term and $t_1 \text{ otherwise } t_2 \rightarrow t$. We must show that $t'_1 \text{ otherwise } t_2 = t$. By Lemma 1.2, because t_1 is not a normal form, we have that t_1 is not an answer. Thus, applying the inversion lemma for the evaluation relation, only case (Otherwise) can apply, so that $t = t'_1 \text{ otherwise } t_2$, for some term t''_1 such that $t_1 \rightarrow t''_1$. By the inductive hypothesis, it follows that $t'_1 = t''_1$. Thus $t'_1 \text{ otherwise } t_2 = t''_1 \text{ otherwise } t_2 = t$.

Exercise 2

Define a predicate P on pairs of terms and answers by: $P(t, a)$ iff, for all answers a' , if $t \Rightarrow a'$, then $a = a'$. It will suffice show that, for all terms t and answers a , if $t \Rightarrow a$, then $P(t, a)$. (To see that this is so, suppose t is a term, a and a' are answers, $t \Rightarrow a$ and $t \Rightarrow a'$. Then $P(t, a)$, so that $a = a'$.) We proceed using the principle of induction on the complete evaluation relation.

(True) We must show that $P(\text{true}, \text{true})$. Suppose a is an answer and $\text{true} \Rightarrow a$. We must show that $\text{true} = a$. Applying the inversion lemma for the complete evaluation relation, only case (True) can apply, so that $a = \text{true}$, i.e., $\text{true} = a$.

(False) Similar to (True).

(Zero) Similar to (True).

(Error) Similar to (True).

(IfTrue) Suppose t_1, t_2 and t_3 are terms, a is an answer, $t_1 \Rightarrow \text{true}$ and $t_2 \Rightarrow a$, and assume the inductive hypothesis, $P(t_1, \text{true})$ and $P(t_2, a)$. We must show that $P(\text{if } t_1 \text{ then } t_2 \text{ else } t_3, a)$. Suppose a' is an answer and $\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \Rightarrow a'$. We must show that $a = a'$. Because $P(t_1, \text{true})$, it follows that t_1 doesn't completely evaluate to false, a numeric value or error. Thus, applying the inversion lemma for the complete evaluation relation, only case (IfTrue) applies, so that there is an answer a'' such that $t_2 \Rightarrow a''$ and $a' = a''$. But $P(t_2, a)$, and thus $a = a'' = a'$.

(IfFalse) Similar to (IfTrue).

(IfNum) Suppose t_1, t_2 and t_3 are terms, nv is a numeric value and $t_1 \Rightarrow nv$, and assume the inductive hypothesis, $P(t_1, nv)$. We must show that $P(\text{if } t_1 \text{ then } t_2 \text{ else } t_3, \text{error})$. Suppose a is an answer and $\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \Rightarrow a$. We must show that $\text{error} = a$. Because $P(t_1, nv)$, it follows that t_1 doesn't completely evaluate to a boolean value or error. Thus, applying the inversion lemma for the complete evaluation relation, only case (IfNum) applies, so that $a = \text{error}$, i.e., $\text{error} = a$.

(IfError) Suppose t_1, t_2 and t_3 are terms and $t_1 \Rightarrow \text{error}$, and assume the inductive hypothesis, $P(t_1, \text{error})$. We must show that $P(\text{if } t_1 \text{ then } t_2 \text{ else } t_3, \text{error})$. Suppose a is an answer and $\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \Rightarrow a$. We must show that $\text{error} = a$. Because $P(t_1, \text{error})$, it follows that t_1 doesn't completely evaluate to a numeric or boolean value. Thus, applying the inversion lemma for the complete evaluation relation, only case (IfError) applies, so that $a = \text{error}$, i.e., $\text{error} = a$.

(SuccBool) Suppose t is a term, bv is a boolean value and $t \Rightarrow bv$, and assume the inductive hypothesis, $P(t, bv)$. We must show that $P(\text{succ } t, \text{error})$. Suppose a is an answer and $\text{succ } t \Rightarrow a$. We must show that $\text{error} = a$. Because $P(t, bv)$, it follows that t doesn't completely evaluate to a numeric value or error. Thus, applying the inversion lemma for the complete evaluation relation, only case (SuccBool) applies, so that $a = \text{error}$, i.e., $\text{error} = a$.

(SuccNum) Suppose t is a term, nv is a numeric value and $t \Rightarrow nv$, and assume the inductive hypothesis, $P(t, nv)$. We must show that $P(\text{succ } t, \text{succ } nv)$. Suppose a is an answer and $\text{succ } t \Rightarrow a$. We must show that $\text{succ } nv = a$. Because $P(t, nv)$, it follows that t doesn't completely evaluate to a boolean or error. Thus, applying the inversion lemma for the complete evaluation relation, only case (SuccNum) applies, so there is a numeric value nv' such that $t \Rightarrow nv'$ and $a = \text{succ } nv'$. But $P(t, nv)$, and thus $nv = nv'$. Thus $\text{succ } nv = \text{succ } nv' = a$.

(SuccError) Suppose t is a term and $t \Rightarrow \text{error}$, and assume the inductive hypothesis, $P(t, \text{error})$. We must show that $P(\text{succ } t, \text{error})$. Suppose a is an answer and $\text{succ } t \Rightarrow a$. We must show that $\text{error} = a$. Because $P(t, \text{error})$, it follows that t doesn't completely evaluate to a boolean or numeric value. Thus, applying the inversion lemma for the complete evaluation relation, only case (SuccError) applies, so that $a = \text{error}$, i.e., $\text{error} = a$.

(PredBool) Similar to (SuccBool).

(PredZero) Suppose t is a term and $t \Rightarrow 0$, and assume the inductive hypothesis, $P(t, 0)$. We must show that $P(\text{pred } t, \text{error})$. Suppose a is an answer and $\text{pred } t \Rightarrow a$. We must show that $\text{error} = a$. Because $P(t, 0)$, it follows that t doesn't completely evaluate to a boolean value, a numeric value other than 0, or error. Thus, applying the inversion lemma for the complete evaluation relation, only case (PredZero) applies, so that $a = \text{error}$, i.e., $\text{error} = a$.

(PredSucc) Suppose t is a term, nv is a numeric value and $t \Rightarrow \text{succ } nv$, and assume the inductive hypothesis, $P(t, \text{succ } nv)$. We must show that $P(\text{pred } t, nv)$. Suppose a is an answer and $\text{pred } t \Rightarrow a$. We must show that $nv = a$. Because $P(t, \text{succ } nv)$, it follows that t doesn't completely evaluate to a boolean value, 0 or error. Thus, applying the inversion lemma for the complete evaluation relation, only case (PredSucc) applies, so that there is a numeric value nv' such that $t \Rightarrow \text{succ } nv'$ and $a = nv'$. But $P(t, \text{succ } nv)$, and thus $\text{succ } nv = \text{succ } nv'$, so that $nv = nv' = a$.

(PredError) Similar to (SuccError).

(IszeroBool) Similar to (SuccBool).

(IszeroZero) Suppose t is a term and $t \Rightarrow 0$, and assume the inductive hypothesis, $P(t, 0)$. We must show that $P(\text{iszero } t, \text{true})$. Suppose a is an answer and $\text{iszero } t \Rightarrow a$. We must show that $\text{true} = a$. Because $P(t, 0)$, it follows that t doesn't completely evaluate to a boolean value, a numeric value other than 0, or error. Thus, applying the inversion lemma for the complete evaluation relation, only case (IszeroZero) applies, so that $a = \text{true}$, i.e., $\text{true} = a$.

(IszeroSucc) Suppose t is a term, nv is a numeric value and $t \Rightarrow \text{succ } nv$, and assume the inductive hypothesis, $P(t, \text{succ } nv)$. We must show that $P(\text{iszero } t, \text{false})$. Suppose a is an answer and $\text{iszero } t \Rightarrow a$. We must show that $\text{false} = a$. Because $P(t, \text{succ } nv)$, it follows that t doesn't completely evaluate to a boolean value, 0 or error. Thus, applying the inversion lemma for the complete evaluation relation, only case (PredSucc) applies, so that $a = \text{false}$, i.e., $\text{false} = a$.

(IszeroError) Similar to (SuccError).

(OtherwiseValue) Suppose t_1 and t_2 are terms, v is a value and $t_1 \Rightarrow v$, and assume the inductive hypothesis, $P(t_1, v)$. We must show that $P(t_1 \text{ otherwise } t_2, v)$. Suppose a is a value and $t_1 \text{ otherwise } t_2 \Rightarrow a$. We must show that $v = a$. Because $P(t_1, v)$, it follows that t_1 doesn't completely evaluate to `error`. Thus, applying the inversion lemma for the complete evaluation relation, only case (OtherwiseValue) applies, so that there is a value v' such that $t_1 \Rightarrow v'$ and $a = v'$. But $P(t_1, v)$, and thus $v = v' = a$.

(OtherwiseError) Suppose t_1 and t_2 are terms, a is an answer, $t_1 \Rightarrow \text{error}$ and $t_2 \Rightarrow a$, and assume the inductive hypothesis, $P(t_1, \text{error})$ and $P(t_2, a)$. We must show that $P(t_1 \text{ otherwise } t_2, a)$. Suppose a' is an answer and $t_1 \text{ otherwise } t_2 \Rightarrow a'$. We must show that $a = a'$. Because $P(t_1, \text{error})$, it follows that t_1 does not completely evaluate to a value. Thus, applying the inversion lemma for the complete evaluation relation, only case (OtherwiseError) applies, so that there is an answer a'' such that $t_2 \Rightarrow a''$ and $a' = a''$. But $P(t_2, a)$, and thus $a = a'' = a'$.

Exercise 3

Lemma 3.1

For all answers a , $a \Rightarrow a$.

Proof. By rules (CE-True), (CE-False) and (CE-Error), we have that `true` \Rightarrow `true`, `false` \Rightarrow `false` and `error` \Rightarrow `error`. Thus it remains to show that, for all numeric values nv , $nv \Rightarrow nv$. Define a predicate P on numeric values by: $P(nv)$ iff $nv \Rightarrow nv$. It will suffice to show that, for all numeric values nv , $P(nv)$. We proceed using the principle of structural induction on numeric values.

(Zero) We must show $P(0)$, i.e., $0 \Rightarrow 0$, and this follows by rule (CE-Zero).

(Successor) Suppose nv is a numeric value, and assume the inductive hypothesis, $P(nv)$. We must show that $P(\text{succ } nv)$. Because $P(nv)$, we have that $nv \Rightarrow nv$, so that $\text{succ } nv \Rightarrow \text{succ } nv$, by rule (CE-SuccNum). Thus $P(\text{succ } nv)$.

□

Lemma 3.2

For all terms t_1 and t_2 and answers a , if $t_1 \rightarrow t_2 \Rightarrow a$, then $t_1 \Rightarrow a$.

Proof. Define a predicate P on pairs of terms by: $P(t_1, t_2)$ iff, for all answers a , if $t_2 \Rightarrow a$, then $t_1 \Rightarrow a$. It will suffice to show that, for all terms t_1 and t_2 , if $t_1 \rightarrow t_2$, then $P(t_1, t_2)$. (To see that this is so, suppose t_1 and t_2 are terms, a is an answer and $t_1 \rightarrow t_2 \Rightarrow a$. Then $P(t_1, t_2)$. But $t_2 \Rightarrow a$, and thus $t_1 \Rightarrow a$.) We proceed using the principle of induction on the evaluation relation.

(IfTrue) Suppose t_2 and t_3 are terms. We must show that $P(\text{if true then } t_2 \text{ else } t_3, t_2)$. Suppose a is an answer, and $t_2 \Rightarrow a$. We must show that if `true` then t_2 else $t_3 \Rightarrow a$. By rule (CE-True), we have that `true` \Rightarrow `true`. Then, since `true` \Rightarrow `true` and $t_2 \Rightarrow a$, rule (CE-IfTrue) shows us that if `true` then t_2 else $t_3 \Rightarrow a$.

(IfFalse) Similar to (IfTrue).

- (IfNum)** Suppose nv is a numeric value and t_2 and t_3 are terms. We must show that $P(\text{if } nv \text{ then } t_2 \text{ else } t_3, \text{error})$. Suppose a is an answer, and $\text{error} \Rightarrow a$. We must show that $\text{if } nv \text{ then } t_2 \text{ else } t_3 \Rightarrow a$. By rule (CE-Error), we have that $\text{error} \Rightarrow \text{error}$. Since $\text{error} \Rightarrow \text{error}$ and $\text{error} \Rightarrow a$, Exercise 2 tells us that $\text{error} = a$. By Lemma 3.1, we have that $nv \Rightarrow nv$. Thus rule (CE-IfNum) shows us that $\text{if } nv \text{ then } t_2 \text{ else } t_3 \Rightarrow \text{error} = a$.
- (IfError)** Similar to (IfNum).
- (If)** Suppose t_1, t'_1, t_2 and t_3 are terms, $t_1 \rightarrow t'_1$, and assume the inductive hypothesis, $P(t_1, t'_1)$. We must show that $P(\text{if } t_1 \text{ then } t_2 \text{ else } t_3, \text{if } t'_1 \text{ then } t_2 \text{ else } t_3)$. Suppose a is an answer, and $\text{if } t'_1 \text{ then } t_2 \text{ else } t_3 \Rightarrow a$. We must show that $\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \Rightarrow a$. Applying the inversion lemma for the complete evaluation lemma to $\text{if } t'_1 \text{ then } t_2 \text{ else } t_3 \Rightarrow a$, there are four cases to consider.
- (IfTrue)** Suppose $t'_1 \Rightarrow \text{true}$ and $t_2 \Rightarrow a$. Since $P(t_1, t'_1)$, we have that $t_1 \Rightarrow \text{true}$. Thus, because $t_1 \Rightarrow \text{true}$ and $t_2 \Rightarrow a$, rule (CE-IfTrue) shows us that $\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \Rightarrow a$.
- (IfFalse)** Similar to (IfTrue).
- (IfNum)** Suppose there is a numeric value nv such that $t'_1 \Rightarrow nv$ and $a = \text{error}$. Since $P(t_1, t'_1)$, we have that $t_1 \Rightarrow nv$. Thus rule (CE-IfNum) shows us that $\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \Rightarrow \text{error} = a$.
- (IfError)** Suppose $t'_1 \Rightarrow \text{error}$ and $a = \text{error}$. Since $P(t_1, t'_1)$, we have that $t_1 \Rightarrow \text{error}$. Thus rule (CE-IfError) shows us that $\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \Rightarrow \text{error} = a$.
- (SuccBool)** Suppose bv is a boolean value. We must show that $P(\text{succ } bv, \text{error})$. Suppose a is an answer, and $\text{error} \Rightarrow a$. We must show that $\text{succ } bv \Rightarrow a$. By rule (CE-Error) and Exercise 2, we have that $a = \text{error}$. By Lemma 3.1, we have that $bv \Rightarrow bv$. Thus, by rule (CE-SuccBool), we have that $\text{succ } bv \Rightarrow \text{error} = a$.
- (SuccError)** We must show that $P(\text{succ } \text{error}, \text{error})$. Suppose a is an answer, and $\text{error} \Rightarrow a$. We must show that $\text{succ } \text{error} \Rightarrow a$. By rule (CE-Error) and Exercise 2, we have that $a = \text{error}$. By rule (CE-Error), we have that $\text{error} \Rightarrow \text{error}$. Thus, by rule (CE-SuccError), we have that $\text{succ } \text{error} \Rightarrow \text{error} = a$.
- (Succ)** Suppose t_1 and t'_1 are terms, $t_1 \rightarrow t'_1$ and assume the inductive hypothesis, $P(t_1, t'_1)$. We must show that $P(\text{succ } t_1, \text{succ } t'_1)$. Suppose a is an answer, and $\text{succ } t'_1 \Rightarrow a$. We must show that $\text{succ } t_1 \Rightarrow a$. Applying the inversion lemma for the complete evaluation relation to $\text{succ } t'_1 \Rightarrow a$, there are three cases to consider.
- (SuccBool)** Suppose there is a boolean value bv such that $t'_1 \Rightarrow bv$ and $a = \text{error}$. Since $P(t_1, t'_1)$, it follows that $t_1 \Rightarrow bv$. Thus rule (CE-SuccBool) shows us that $\text{succ } t_1 \Rightarrow \text{error} = a$.
- (SuccNum)** Suppose there is a numeric value nv such that $t'_1 \Rightarrow nv$ and $a = \text{succ } nv$. Since $P(t_1, t'_1)$, it follows that $t_1 \Rightarrow nv$. Thus rule (CE-SuccNum) shows us that $\text{succ } t_1 \Rightarrow \text{succ } nv = a$.
- (SuccError)** Suppose $t'_1 \Rightarrow \text{error}$ and $a = \text{error}$. Since $P(t_1, t'_1)$, it follows that $t_1 \Rightarrow \text{error}$. Thus rule (CE-SuccError) shows us that $\text{succ } t_1 \Rightarrow \text{error} = a$.

(PredBool) Similar to (SuccBool).

(PredZero) We must show that $P(\text{pred } 0, \text{error})$. Suppose a is an answer, and $\text{error} \Rightarrow a$. We must show that $\text{pred } 0 \Rightarrow a$. By rule (CE-Error) and Exercise 2, we have that $a = \text{error}$. By rule (CE-Zero), we have that $0 \Rightarrow 0$. Thus, by rule (CE-PredZero), we have that $\text{pred } 0 \Rightarrow \text{error} = a$.

(PredSucc) Suppose nv is a numeric value. We must show that $P(\text{pred}(\text{succ } nv), nv)$. Suppose a is an answer, and $nv \Rightarrow a$. We must show that $\text{pred}(\text{succ } nv) \Rightarrow a$. By Lemma 3.1, we have that $nv \Rightarrow nv$. Thus, by Exercise 2, it follows that $a = nv$. By rule (CE-SuccNum), we have that $\text{succ } nv \Rightarrow \text{succ } nv$, so that rule (CE-PredSucc) shows us that $\text{pred}(\text{succ } nv) \Rightarrow nv = a$.

(PredError) Similar to (SuccError).

(Pred) Suppose t_1 and t'_1 are terms, $t_1 \rightarrow t'_1$ and assume the inductive hypothesis, $P(t_1, t'_1)$. We must show that $P(\text{pred } t_1, \text{pred } t'_1)$. Suppose a is an answer, and $\text{pred } t'_1 \Rightarrow a$. We must show that $\text{pred } t_1 \Rightarrow a$. Applying the inversion lemma for the complete evaluation relation to $\text{pred } t'_1 \Rightarrow a$, there are four cases to consider.

(PredBool) Suppose there is a boolean value bv such that $t'_1 \Rightarrow bv$ and $a = \text{error}$. Since $P(t_1, t'_1)$, it follows that $t_1 \Rightarrow \text{error}$. Thus rule (CE-PredBool) shows us that $\text{pred } t_1 \Rightarrow \text{error} = a$.

(PredZero) Suppose $t'_1 \Rightarrow 0$ and $a = \text{error}$. Since $P(t_1, t'_1)$, it follows that $t_1 \Rightarrow 0$. Thus rule (CE-PredZero) shows us that $\text{pred } t_1 \Rightarrow \text{error} = a$.

(PredSucc) Suppose there is a numeric value nv such that $t'_1 \Rightarrow \text{succ } nv$ and $a = nv$. Since $P(t_1, t'_1)$, it follows that $t_1 \Rightarrow \text{succ } nv$. Thus rule (CE-PredSucc) shows us that $\text{pred } t_1 \Rightarrow nv = a$.

(PredError) Suppose $t'_1 \Rightarrow \text{error}$ and $a = \text{error}$. Since $P(t_1, t'_1)$, it follows that $t_1 \Rightarrow \text{error}$. Thus rule (CE-PredError) shows us that $\text{pred } t_1 \Rightarrow \text{error} = a$.

(IszeroBool) Similar to (SuccBool).

(IszeroZero) We must show that $P(\text{iszero } 0, \text{true})$. Suppose a is an answer, and $\text{true} \Rightarrow a$. We must show that $\text{iszero } 0 \Rightarrow a$. By rule (CE-True) and Exercise 2, we have that $a = \text{true}$. Thus, by rules (CE-Zero) and (CE-IszeroZero), it follows that $\text{iszero } 0 \Rightarrow \text{true} = a$.

(IszeroSucc) Suppose nv is a numeric value. We must show that $P(\text{iszero}(\text{succ } nv), \text{false})$. Suppose a is an answer and $\text{false} \Rightarrow a$. We must show that $\text{iszero}(\text{succ } nv) \Rightarrow a$. By rule (CE-False) and Exercise 2, we have that $a = \text{false}$. By Lemma 3.1, we have that $\text{succ } nv \Rightarrow \text{succ } nv$. Thus rule (CE-IszeroSucc) shows us that $\text{iszero}(\text{succ } nv) \Rightarrow \text{false} = a$.

(IszeroError) Similar to (SuccError).

(Iszero) Similar to (Pred).

(OtherwiseValue) Suppose t is a term and v is a value. We must show that $P(v \text{ otherwise } t, v)$. Suppose a is an answer, and $v \Rightarrow a$. We must show that $v \text{ otherwise } t \Rightarrow a$. By Lemma 3.1, we have that $v \Rightarrow v$. Thus, by Exercise 2, it follows that $a = v$. Hence rule (CE-OtherwiseValue) shows us that $v \text{ otherwise } t \Rightarrow v = a$.

(OtherwiseError) Suppose t is a term. We must show that $P(\text{error otherwise } t, t)$. Suppose a is an answer, and $t \Rightarrow a$. We must show that $\text{error otherwise } t \Rightarrow a$. By rule (CE-Error), we have that $\text{error} \Rightarrow \text{error}$. Then, since $\text{error} \Rightarrow \text{error}$ and $t \Rightarrow a$, rule (CE-OtherwiseError) shows us that $\text{error otherwise } t \Rightarrow a$.

(Otherwise) Suppose t_1 and t'_1 are terms, $t_1 \rightarrow t'_1$, and assume the inductive hypothesis, $P(t_1, t'_1)$. We must show that $P(t_1 \text{ otherwise } t_2, t'_1 \text{ otherwise } t_2)$. Suppose a is an answer, and $t'_1 \text{ otherwise } t_2 \Rightarrow a$. We must show that $t_1 \text{ otherwise } t_2 \Rightarrow a$. Applying the inversion lemma for the complete evaluation relation to $t'_1 \text{ otherwise } t_2 \Rightarrow a$, there are two cases to consider.

(OtherwiseValue) Suppose there is a value v such that $t'_1 \Rightarrow v$ and $a = v$. Since $P(t_1, t'_1)$, it follows that $t_1 \Rightarrow v$. Thus rule (CE-OtherwiseValue) shows us that $t_1 \text{ otherwise } t_2 \Rightarrow v = a$.

(OtherwiseError) Suppose $t'_1 \Rightarrow \text{error}$ and $t_2 \Rightarrow a$. Since $P(t_1, t'_1)$, it follows that $t_1 \Rightarrow \text{error}$. Because $t_1 \Rightarrow \text{error}$ and $t_2 \Rightarrow a$, rule (CE-OtherwiseError) shows us that $t_1 \text{ otherwise } t_2 \Rightarrow a$.

□

Lemma 3.3

For all terms t_1 and t_2 and answers a , if $t_1 \rightarrow^* t_2 \Rightarrow a$, then $t_1 \Rightarrow a$.

Proof. Define a predicate P on pairs of terms by: $P(t_1, t_2)$ iff, for all answers a , if $t_2 \Rightarrow a$, then $t_1 \Rightarrow a$. It will suffice to show that, for all terms t_1 and t_2 , if $t_1 \rightarrow^* t_2$, then $P(t_1, t_2)$. (To see that this is so, suppose t_1 and t_2 are terms, a is an answer and $t_1 \rightarrow^* t_2 \Rightarrow a$. Then $P(t_1, t_2)$. But $t_2 \Rightarrow a$, and thus $t_1 \Rightarrow a$.) We proceed using the principle of induction on the reflexive-transitive closure of the evaluation relation.

(Eval) Suppose t_1 and t_2 are terms and $t_1 \rightarrow t_2$. We must show that $P(t_1, t_2)$. Suppose a is an answer and $t_2 \Rightarrow a$. We must show that $t_1 \Rightarrow a$. Because $t_1 \rightarrow t_2 \Rightarrow a$, Lemma 3.2 tells us that $t_1 \Rightarrow a$.

(Refl) Suppose t is a term. We must show that $P(t, t)$. Suppose a is an answer and $t \Rightarrow a$. Then $t \Rightarrow a$, as required.

(Trans) Suppose t_1, t_2 and t_3 are terms, $t_1 \rightarrow^* t_2$ and $t_2 \rightarrow^* t_3$, and assume the inductive hypothesis, $P(t_1, t_2)$ and $P(t_2, t_3)$. We must show that $P(t_1, t_3)$. Suppose a is an answer and $t_3 \Rightarrow a$. We must show that $t_1 \Rightarrow a$. Since $P(t_2, t_3)$ and $t_3 \Rightarrow a$, we have that $t_2 \Rightarrow a$. Then, since $P(t_1, t_2)$ and $t_2 \Rightarrow a$, we have that $t_1 \Rightarrow a$.

□

Lemma 3.4

- (1) For all terms t_1, t'_1, t_2 and t_3 , if $t_1 \rightarrow^* t'_1$, then if t_1 then t_2 else $t_3 \rightarrow^*$ if t'_1 then t_2 else t_3 .
- (2) For all terms t_1 and t'_1 , if $t_1 \rightarrow^* t'_1$, then $\text{succ } t_1 \rightarrow^* \text{succ } t'_1$.
- (3) For all terms t_1 and t'_1 , if $t_1 \rightarrow^* t'_1$, then $\text{pred } t_1 \rightarrow^* \text{pred } t'_1$.

(4) For all terms t_1 and t'_1 , if $t_1 \rightarrow^* t'_1$, then $\text{iszero } t_1 \rightarrow^* \text{iszero } t'_1$.

(5) For all terms t_1, t'_1 and t_2 , if $t_1 \rightarrow^* t'_1$, then $t_1 \text{ otherwise } t_2 \rightarrow^* t'_1 \text{ otherwise } t_2$.

Proof. We prove Part (1), the other parts being similar.

Let t_2 and t_3 be terms. We must show that, for all terms t_1 and t'_1 , if $t_1 \rightarrow^* t'_1$, then if t_1 then t_2 else $t_3 \rightarrow^*$ if t'_1 then t_2 else t_3 . Define a predicate P on pairs of terms by: $P(t_1, t'_1)$ iff if t_1 then t_2 else $t_3 \rightarrow^*$ if t'_1 then t_2 else t_3 . Thus it will suffice to show that, for all terms t_1 and t'_1 , if $t_1 \rightarrow^* t'_1$, then $P(t_1, t'_1)$. We proceed using the principle of induction on the reflexive-transitive closure of the evaluation relation.

(Eval) Suppose t_1 and t'_1 are terms and $t_1 \rightarrow t'_1$. We must show that $P(t_1, t'_1)$, i.e., if t_1 then t_2 else $t_3 \rightarrow^*$ if t'_1 then t_2 else t_3 . By rule (E-If), we have that if t_1 then t_2 else $t_3 \rightarrow$ if t'_1 then t_2 else t_3 . Thus if t_1 then t_2 else $t_3 \rightarrow^*$ if t'_1 then t_2 else t_3 follows by rule (RTCE-Eval).

(Refl) Suppose t_1 is a term. We must show that $P(t_1, t_1)$, i.e., if t_1 then t_2 else $t_3 \rightarrow^*$ if t_1 then t_2 else t_3 . And this follows by rule (RTCE-Refl).

(Trans) Suppose t_1, t'_1 and t''_1 are terms, $t_1 \rightarrow^* t'_1$ and $t'_1 \rightarrow^* t''_1$, and assume the inductive hypothesis, $P(t_1, t'_1)$ and $P(t'_1, t''_1)$. We must show that $P(t_1, t''_1)$. Thus we have that if t_1 then t_2 else $t_3 \rightarrow^*$ if t'_1 then t_2 else t_3 and if t'_1 then t_2 else $t_3 \rightarrow^*$ if t''_1 then t_2 else t_3 , so that, by rule (RTCE-Trans), it follows that if t_1 then t_2 else $t_3 \rightarrow^*$ if t''_1 then t_2 else t_3 , i.e., $P(t_1, t''_1)$.

□

Lemma 3.5

For all terms t and answers a , if $t \Rightarrow a$, then $t \rightarrow^* a$.

Proof. Define a predicate P between a term and an answer: $P(t, a)$ iff $t \rightarrow^* a$. It will suffice to show that, for all terms t and answers a , if $t \Rightarrow a$, then $P(t, a)$. We proceed using the principle of induction on the complete evaluation relation.

(True) We must show that $P(\text{true}, \text{true})$, i.e., $\text{true} \rightarrow^* \text{true}$, and this follows by rule (RTCE-Refl).

(False) Similar to (True).

(Zero) Similar to (True).

(Error) Similar to (True).

(IfTrue) Suppose t_1, t_2 and t_3 are terms, a is an answer, $t_1 \Rightarrow \text{true}$ and $t_2 \Rightarrow a$, and assume the inductive hypothesis, $P(t_1, \text{true})$ and $P(t_2, a)$. We must show that $P(\text{if } t_1 \text{ then } t_2 \text{ else } t_3, a)$. Because $P(t_1, \text{true})$ and $P(t_2, a)$, we have that $t_1 \rightarrow^* \text{true}$ and $t_2 \rightarrow^* a$. Thus, by Lemma 3.4(1) and rule (E-IfTrue), we have that

$$\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \rightarrow^* \text{if } \text{true} \text{ then } t_2 \text{ else } t_3 \rightarrow t_2 \rightarrow^* a.$$

Hence, using (RTCE-Eval) and (RTCE-Trans), we have that if t_1 then t_2 else $t_3 \rightarrow^* a$, i.e., $P(\text{if } t_1 \text{ then } t_2 \text{ else } t_3, a)$.

(IfFalse) Similar to (IfTrue), but using (E-IfFalse).

(IfNum) Suppose t_1, t_2 and t_3 are terms, nv is a numeric value and $t_1 \Rightarrow nv$, and assume the inductive hypothesis, $P(t_1, nv)$. We must show that $P(\text{if } t_1 \text{ then } t_2 \text{ else } t_3, \text{error})$. Because $P(t_1, nv)$, we have that $t_1 \rightarrow^* nv$. Thus, by Lemma 3.4(1) and rule (E-IfNum), we have that

$$\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \rightarrow^* \text{if } nv \text{ then } t_2 \text{ else } t_3 \rightarrow \text{error}.$$

Hence, using (RTCE-Eval) and (RTCE-Trans), we have that $\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \rightarrow^* \text{error}$, i.e., $P(\text{if } t_1 \text{ then } t_2 \text{ else } t_3, \text{error})$.

(IfError) Suppose t_1, t_2 and t_3 are terms and $t_1 \Rightarrow \text{error}$, and assume the inductive hypothesis, $P(t_1, \text{error})$. We must show that $P(\text{if } t_1 \text{ then } t_2 \text{ else } t_3, \text{error})$. Because $P(t_1, \text{error})$, we have that $t_1 \rightarrow^* \text{error}$. Thus, by Lemma 3.4(1) and rule (E-IfError), we have that

$$\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \rightarrow^* \text{if } \text{error} \text{ then } t_2 \text{ else } t_3 \rightarrow \text{error}.$$

Hence, using (RTCE-Eval) and (RTCE-Trans), we have that $\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \rightarrow^* \text{error}$, i.e., $P(\text{if } t_1 \text{ then } t_2 \text{ else } t_3, \text{error})$.

(SuccBool) Suppose t is a term, bv is a boolean value and $t \Rightarrow bv$, and assume the inductive hypothesis, $P(t, bv)$. We must show that $P(\text{succ } t, \text{error})$. Because $P(t, bv)$, we have that $t \rightarrow^* bv$. Thus, by Lemma 3.4(2) and rule (E-SuccBool), we have that

$$\text{succ } t \rightarrow^* \text{succ } bv \rightarrow \text{error}.$$

Hence, using (RTCE-Eval) and (RTCE-Trans), we have that $\text{succ } t \rightarrow^* \text{error}$, i.e., $P(\text{succ } t, \text{error})$.

(SuccNum) Suppose t is a term, nv is a numeric value and $t \Rightarrow nv$, and assume the inductive hypothesis, $P(t, nv)$. We must show that $P(\text{succ } t, \text{succ } nv)$. Because $P(t, nv)$, we have that $t \rightarrow^* nv$. Thus, by Lemma 3.4(2), we have that $\text{succ } t \rightarrow^* \text{succ } nv$, i.e., $P(\text{succ } t, \text{succ } nv)$.

(SuccError) Suppose t is a term and $t \Rightarrow \text{error}$, and assume the inductive hypothesis, $P(t, \text{error})$. We must show that $P(\text{succ } t, \text{error})$. Because $P(t, \text{error})$, we have that $t \rightarrow^* \text{error}$. Thus, by Lemma 3.4(2) and rule (E-SuccError), we have that

$$\text{succ } t \rightarrow^* \text{succ } \text{error} \rightarrow \text{error}.$$

Hence, using (RTCE-Eval) and (RTCE-Trans), we have that $\text{succ } t \rightarrow^* \text{error}$, i.e., $P(\text{succ } t, \text{error})$.

(PredBool) Similar to (SuccBool).

(PredZero) Suppose t is a term and $t \Rightarrow 0$, and assume the inductive hypothesis, $P(t, 0)$. We must show that $P(\text{pred } t, \text{error})$. Because $P(t, 0)$, we have that $t \rightarrow^* 0$. Thus, by Lemma 3.4(3) and rule (E-PredZero), we have that

$$\text{pred } t \rightarrow^* \text{pred } 0 \rightarrow \text{error}.$$

Hence, using (RTCE-Eval) and (RTCE-Trans), we have that $\text{pred } t \rightarrow^* \text{error}$, i.e., $P(\text{pred } t, \text{error})$.

(PredSucc) Suppose t is a term, nv is a numeric value and $t \Rightarrow \text{succ } nv$, and assume the inductive hypothesis, $P(t, \text{succ } nv)$. We must show that $P(\text{pred } t, nv)$. Because $P(t, \text{succ } nv)$, we have that $t \rightarrow^* \text{succ } nv$. Thus, by Lemma 3.4(3) and rule (E-PredSucc), we have that

$$\text{pred } t \rightarrow^* \text{pred}(\text{succ } nv) \rightarrow nv.$$

Hence, using (RTCE-Eval) and (RTCE-Trans), we have that $\text{pred } t \rightarrow^* nv$, i.e., $P(\text{pred } t, nv)$.

(PredError) Similar to (SuccError).

(IszeroBool) Similar to (SuccBool).

(IszeroZero) Similar to (PredZero).

(IszeroSucc) Similar to (PredSucc).

(IszeroError) Similar to (SuccError).

(OtherwiseValue) Suppose t_1 and t_2 are terms, v is a value and $t_1 \Rightarrow v$, and assume the inductive hypothesis, $P(t_1, v)$. We must show that $P(t_1 \text{ otherwise } t_2, v)$. Because $P(t_1, v)$, we have that $t_1 \rightarrow^* v$. Thus, by Lemma 3.4(5) and rule (E-OtherwiseValue), we have that

$$t_1 \text{ otherwise } t_2 \rightarrow^* v \text{ otherwise } t_2 \rightarrow v.$$

Hence, using (RTCE-Eval) and (RTCE-Trans), we have that $t_1 \text{ otherwise } t_2 \rightarrow^* v$, i.e., $P(t_1 \text{ otherwise } t_2, v)$.

(OtherwiseError) Suppose t_1 and t_2 are terms, a is an answer, $t_1 \Rightarrow \text{error}$ and $t_2 \Rightarrow a$, and assume the inductive hypothesis, $P(t_1, \text{error})$ and $P(t_2, a)$. We must show that $P(t_1 \text{ otherwise } t_2, a)$. Because $P(t_1, \text{error})$ and $P(t_2, a)$, we have that $t_1 \rightarrow^* \text{error}$ and $t_2 \rightarrow^* a$. Thus, by Lemma 3.4(5) and rule (E-OtherwiseError), we have that

$$t_1 \text{ otherwise } t_2 \rightarrow^* \text{error otherwise } t_2 \rightarrow t_2 \rightarrow^* a.$$

Hence, using (RTCE-Eval) and (RTCE-Trans), we have that $t_1 \text{ otherwise } t_2 \rightarrow^* a$, i.e., $P(t_1 \text{ otherwise } t_2, a)$.

□

To prove that $\rightsquigarrow = \Rightarrow$, it will suffice to show that, for all terms t and answers a , $t \rightsquigarrow a$ iff $t \Rightarrow a$. Suppose t is a term and a is an answer.

- Suppose $t \rightsquigarrow a$. Then $t \rightarrow^* a$. By Lemma 3.1, we have that $a \Rightarrow a$. Thus $t \rightarrow^* a \Rightarrow a$, so that $t \Rightarrow a$, by Lemma 3.3.
- Suppose $t \Rightarrow a$. Lemma 3.5 shows us that $t \rightarrow^* a$, so that $t \rightsquigarrow a$.