CIS 705 — Programming Languages — Spring 2010

Assignment 4

Model Answers

Exercise 1

See sum-range-untyped.txt.

Exercise 2

Let sumRange be the term from Exercise 1. It is easy to see that it is closed. We carry out the definition of 15 closed values, in order:

- (1) $tru = \lambda x. \lambda y. x;$
- (2) $\mathsf{fls} = \lambda x. \, \lambda y. \, y;$
- (3) if = $\lambda b. \lambda f1. \lambda f2. b f1 f2$ tru;
- (4) fix = λf . λy . $(\lambda x. f(\lambda y. x x y)) (\lambda x. f(\lambda y. x x y)) y = <math>\lambda f$. λy . $N_f N_f y$, where (as in the lecture notes) $N_t = \lambda x. t (\lambda y. x x y)$, when t is a closed term or the variable f;
- (5) zero = $\lambda s. \lambda z. z$;
- (6) one = $\lambda s. \lambda z. sz$;
- (7) plus = $\lambda n. \lambda m. \lambda s. \lambda z. n s (m s z)$;
- (8) isZero = $\lambda n. n (\lambda x. fls)$ tru;
- (9) pair = $\lambda f. \lambda s. \lambda b. b f s$;
- (10) fst = $\lambda p. p \text{ tru}$;
- (11) snd = $\lambda p. p$ fls;
- (13) minus = $\lambda n. \lambda m. m \text{ pred } n;$
- (14) lessThanOrEqualTo = $\lambda n. \lambda m.$ isZero(minus n m);
- $(15) \ \ \mathsf{sumRangeBody} = \lambda sumRange. \ \lambda n. \ \lambda m.$ if (lessThanOrEqualTo $n \ m$) $(\lambda x. \ \mathsf{plus} \ n \ (sumRange \ (\mathsf{plus} \ n \ \mathsf{one}) \ m))$ $(\lambda x. \ \mathsf{zero}).$

Lemma 2.1

sumRange \rightarrow^* fix sumRangeBody.

Proof. Let's write x_1, \ldots, x_{15} for the variables tru, fls, if, fix, zero, one, plus, isZero, pair, fst, snd, pred, minus, lessThanOrEqualTo and sumRangeBody, respectively (note that these are the same names as the left sides of the above definitions), and let's write v_1, \ldots, v_{15} for the closed values tru, fls, if, fix, zero, one, plus, isZero, pair, fst, snd, pred, minus, lessThanOrEqualTo and sumRangeBody, respectively.

We write $\mathsf{sumRange}_i$, for $0 \le i \le 15$, for the term whose free variables are included in x_1 , ..., x_i that is found by going 2i levels down the leftmost path in (the tree) $\mathsf{sumRange}$ (so that $\mathsf{sumRange}_0 = \mathsf{sumRange}$, and $\mathsf{sumRange}_{15} = \mathit{fixsumRangeBody}$.)

For $0 \le i \le 15$, define the closed term

$$sumRangeSub_i = [x_1 \mapsto v_1] \cdots [x_i \mapsto v_i] sumRange_i$$

so that $\mathsf{sumRange} = \mathsf{sumRangeSub}_0$ and $\mathsf{sumRangeSub}_{15} = \mathsf{fix}\,\mathsf{sumRangeBody}.$ For $0 \le i < 15,$

$$sumRangeSub_i = (\lambda x_{i+1}, [x_1 \mapsto v_1] \cdots [x_i \mapsto v_i] sumRange_{i+1})v_{i+1},$$

and we have that $sumRangeSub_i \rightarrow sumRangeSub_{i+1}$. Thus

$$sumRange = sumRangeSub_0 \rightarrow 15 sumRangeSub_{15} = fix sumRangeBody,$$

so that sumRange \rightarrow^* fix sumRangeBody. \Box

From the lectures notes, we know that tru, fls, zero and one are closed values representing true, false, 0 and 1, respectively.

Given $n, m \in \mathbb{N}$, we write $+[n:m] \in \mathbb{N}$ for the sum of

$$\{i \in \mathbb{N} \mid n < i \text{ and } i < m\}.$$

Given $n, m \in \mathbb{N}$, we define $n - \mathbb{N}$ $m \in \mathbb{N}$ by:

$$n -_{\mathbb{N}} m = \left\{ \begin{array}{ll} n - m, & \text{if } m \leq n, \\ 0, & \text{if } m > n. \end{array} \right.$$

Lemma 2.2

For all closed terms t and $n \in \mathbb{N}$, if t converges to a closed value representing n, then:

- if n = 0, then is Zero t converges to a closed value representing true;
- if n > 0, then is Zero t converges to a closed value representing false.

Proof. Suppose t is a closed term, $n \in \mathbb{N}$, and t converges to a closed value representing n. Because is Zero is a value, we have that

isZero
$$t \to^*$$
 isZero $\bar{t} \to \bar{t} (\lambda x. \text{ fls}) \text{ tru}$.

To see that $(\lambda x. \mathsf{fls}, \mathsf{tru}) \in \mathsf{SZ}$, we use ordinary induction to prove that, for all $n \in \mathbb{N}$, $(\lambda x. \mathsf{fls})^n(\mathsf{tru})$ converges.

(Basis Step) We have that $(\lambda x. fls)^0(tru) = tru$ converges.

(Inductive Step) Suppose $n \in \mathbb{N}$, and assume the inductive hypothesis, $(\lambda x. \operatorname{fls})^n(\operatorname{tru})$ converges. Thus

$$(\lambda x.\,\mathsf{fls})^{n+1}(\mathsf{tru}) = (\lambda x.\,\mathsf{fls})((\lambda x.\,\mathsf{fls})^n(\mathsf{tru})) \to^* (\lambda x.\,\mathsf{fls}) \,\overline{(\lambda x.\,\mathsf{fls})^n(\mathsf{tru})} \to \mathsf{fls},$$

so that $(\lambda x. \mathsf{fls})^{n+1}(\mathsf{tru})$ converges.

There are two parts to prove.

- Suppose n = 0. Since \overline{t} represents 0, we have that $\overline{t}(\lambda x. \mathsf{fls}) \mathsf{tru} \to^* \overline{(\lambda x. \mathsf{fls})^0(\mathsf{tru})} = \overline{\mathsf{tru}} = \mathsf{tru}$. Hence is Zero t converges to a closed value representing true.
- Suppose n > 0. Since \bar{t} represents n, Proposition F tells us that

$$\overline{t} (\lambda x. \, \mathsf{fls}) \, \mathsf{tru} \to^* \overline{(\lambda x. \, \mathsf{fls})^n (\mathsf{tru})} = \overline{(\lambda x. \, \mathsf{fls}) ((\lambda x. \, \mathsf{fls})^{n-1} (\mathsf{tru}))} = \overline{(\lambda x. \, \mathsf{fls})} \, \overline{(\lambda x. \, \mathsf{fls})^{n-1} (\mathsf{tru})} = \overline{(\lambda x. \, \mathsf{fls}) \, \overline{(\lambda x. \, \mathsf{fls})^{n-1} (\mathsf{tru})}} = \mathsf{fls}.$$

Hence is Zero t converges to a closed value representing false.

Lemma 2.3

For all closed terms t and $n \in \mathbb{N}$, if t converges to a closed value representing n, then pred t converges to a closed value representing $n - \mathbb{N} 1$.

Proof. Suppose t is a closed term, $n \in \mathbb{N}$ and t converges to a closed value representing n. Define closed terms ss and zz by:

$$ss = \lambda p$$
. pair $(snd p)$ (plus one $(snd p)$), $zz = pair zero zero$.

Because pred is a value, we have that pred $t \to^* \operatorname{pred} \bar{t} \to \operatorname{fst}(\bar{t} \operatorname{ss} \operatorname{zz})$.

We say that a closed value v represents a pair (n, m) of natural numbers iff there are closed values v_1 and v_2 such that v represents (v_1, v_2) , v_1 represents n, and v_2 represents m. By Proposition H, we have that zz converges to a closed value representing (0,0). Since fst is a value, $fst(\bar{t}sszz) \to^* fst(\bar{t}sszz)$. Thus $pred\ t \to^* fst(\bar{t}sszz)$.

We use ordinary induction to prove that, for all $m \in \mathbb{N}$, $ss^m(\overline{zz})$ converges to a closed value representing $(m - \mathbb{N}, 1, m)$ (so that $(ss, \overline{zz}) \in SZ$).

Basis Step We have that $ss^0(\overline{zz}) = \overline{zz}$, which represents $(0,0) = (0 -_{\mathbb{N}} 1, 0)$. Thus $ss^0(\overline{zz})$ converges to a closed value representing $(0 -_{\mathbb{N}} 1, 0)$.

Inductive Step Suppose $m \in \mathbb{N}$, and assume the inductive hypothesis, $\mathsf{ss}^m(\overline{\mathsf{zz}})$ converges to a closed value representing $(m -_{\mathbb{N}} 1, m)$. We must show that $\mathsf{ss}^{m+1}(\overline{\mathsf{zz}})$ converges to a closed value representing $((m+1) -_{\mathbb{N}} 1, m+1)$. Let u be the closed value such that $\mathsf{ss}^m(\overline{\mathsf{zz}}) \to^* u$,

fst u converges to a closed value representing $m - \mathbb{N} 1$, and snd u converges to a closed value representing m. Since ss is a value,

$$\begin{split} \mathsf{ss}^{m+1}(\overline{\mathsf{z}}\overline{\mathsf{z}}) &= & \mathsf{ss}(\mathsf{ss}^m(\overline{\mathsf{z}}\overline{\mathsf{z}})) \\ &\to^* \; \mathsf{ss} \, u \\ &\to & \mathsf{pair} \, (\mathsf{snd} \, u) \, (\mathsf{plus} \, \mathsf{one} \, (\mathsf{snd} \, u)). \end{split}$$

Since one is a closed value representing 1, and snd u converges to a closed value representing m, Proposition J tells us that plus one (snd u) converges to a closed value representing m+1. Thus, by Proposition H, pair (snd u) (plus one (snd u)) converges to a closed value representing (m, m+1). Thus $ss^{m+1}(\overline{zz})$ converges to a closed value representing (m, m+1). So it remains to show that $(m+1) -_{\mathbb{N}} 1 = m$, and this follows since $1 \leq m+1$, and thus $(m+1) -_{\mathbb{N}} 1 = (m+1) - 1 = m$.

Thus \overline{t} ss \overline{zz} converges to $\overline{ss^n(\overline{zz})}$, which is a closed value representing $(n-_{\mathbb{N}}1,n)$. Hence fst $\overline{ss^n(\overline{zz})}$ converges to a closed value representing $n-_{\mathbb{N}}1$. Since fst is a value, it follows that $fst(\overline{t} ss \overline{zz})$ converges to a closed value representing $n-_{\mathbb{N}}1$, so that pred t converges to a closed value representing $n-_{\mathbb{N}}1$.

Lemma 2.4

For all closed terms t and t' and $n, m \in \mathbb{N}$, if t converges to a closed value representing n, and t' converges to a closed value representing m, then minus tt' converges to a closed value representing $n - \mathbb{N}$ m.

Proof. Since minus is a value, by Proposition G, it will suffice to show that, for all closed values v and v', and $n, m \in \mathbb{N}$, if v represents n, and v' represents m, then minus vv' converges to a closed value representing $n - \mathbb{N} m$. (To see this, suppose t and t' are closed terms, $n, m \in \mathbb{N}$, t converges to a closed value representing m, and t' converges to a closed value representing m. Thus $\overline{\min t} t' = \min t t'$ converges to a closed value representing $n - \mathbb{N} m$. Hence, by Proposition G, minus t t' converges and

$$\overline{\min \operatorname{us} t \, t'} = \overline{\overline{\min \operatorname{us}} \, \overline{t} \, \overline{t'}},$$

and thus minus tt' converges to a closed value representing $n - \mathbb{N} m$.) Suppose v and v' are closed values, $n, m \in \mathbb{N}$, v represents n, and v' represents m. We have that minus $vv' \to^* v'$ pred v.

We use ordinary induction to prove that, for all $l \in \mathbb{N}$, $\operatorname{pred}^{l}(v)$ converges to a closed value representing $n -_{\mathbb{N}} l$ (so that $(\operatorname{pred}, v) \in \mathsf{SZ}$).

(Basis Step) We have that $\operatorname{pred}^0(v) = v$ represents $n = n -_{\mathbb{N}} 0$, so that $\operatorname{pred}^0(v)$ converges to a closed value representing $n -_{\mathbb{N}} 0$.

(Inductive Step) Suppose $l \in \mathbb{N}$, and assume the inductive hypothesis, $\operatorname{pred}^l(v)$ converges to a closed value representing $n -_{\mathbb{N}} l$. We must show that $\operatorname{pred}^{l+1}(v)$ converges to a closed value representing $n -_{\mathbb{N}} (l+1)$. By the inductive hypothesis and Lemma 2.3, we have that $\operatorname{pred}^{l+1}(v) = \operatorname{pred}(\operatorname{pred}^l(v))$ converges to a closed value representing $(n -_{\mathbb{N}} l) -_{\mathbb{N}} 1$. So it remains to show that $(n -_{\mathbb{N}} l) -_{\mathbb{N}} 1 = n -_{\mathbb{N}} (l+1)$. There are two cases to consider.

• Suppose $l+1 \le n$. Then $l \le n$ and $1 \le n-l$, so that (n-n) - 1 = (n-l) -

• Suppose l+1 > n. Thus $n - \mathbb{N}(l+1) = 0$, so it will suffice to show that $(n - \mathbb{N}l) - \mathbb{N}1 = 0$. If l > n, then $(n - \mathbb{N}l) - \mathbb{N}1 = 0 - \mathbb{N}1 = 0$. Otherwise, l = n, so that $(n - \mathbb{N}l) - \mathbb{N}1 = 0 - \mathbb{N}1 = 0$.

Thus we have that v' pred v converges to $\overline{\mathsf{pred}^m(v)}$, which is a closed value representing $n -_{\mathbb{N}} m$. Hence minus v v' converges to a closed value representing $n -_{\mathbb{N}} m$. \square

Lemma 2.5

For all closed terms t and t' and $n, m \in \mathbb{N}$, if t converges to a closed value representing n, and t' converges to a closed value representing m, then:

- if $n \le m$, then lessThanOrEqualTo tt' converges to a closed value representing true;
- if n > m, then less Than Or Equal To tt' converges to a closed value representing false.

Proof. By Proposition G, it will suffice to show that, for all closed values v and v', and $n, m \in \mathbb{N}$, if v represents n, and v' represents m, then:

- if $n \leq m$, then lessThanOrEqualTo vv' converges to a closed value representing true;
- if n > m, then lessThanOrEqualTo vv' converges to a closed value representing false.

Suppose v and v' are closed values, $n, m \in \mathbb{N}$, v represents n, and v' represents m. We have that

lessThanOrEqualTo
$$v v' \rightarrow^* isZero(minus v v')$$
.

By Lemma 2.4, we have that minus vv' converges to a closed value representing $n - \mathbb{N} m$. There are two parts to prove.

- Suppose $n \leq m$. Thus $m \geq n$, so that $n \mathbb{N}$ m = 0. Since minus v v' converges to a closed value representing 0, Lemma 2.2 tells us that isZero(minus v v') converges to a closed value representing true. Thus lessThanOrEqualTo v v' converges to a closed value representing true.
- Suppose n > m. Thus m < n, so that $n \mathbb{N}$ m = n m > 0. Since minus $v \, v'$ converges to a closed value representing a non-zero natural number, Lemma 2.2 tells us that isZero(minus $v \, v'$) converges to a closed value representing false. Thus lessThanOrEqualTo $v \, v'$ converges to a closed value representing false.

Let sumRangeBodyFix be the closed value

 $\lambda y.\,N_{\rm sumRangeBody}\,N_{\rm sumRangeBody}\,y.$

Lemma 2.6

- (1) sumRange \rightarrow^* sumRangeBodyFix.
- (2) For all closed values v, sumRangeBodyFix $v \rightarrow^*$ sumRangeBody sumRangeBodyFix v.

Proof.

(1) By Lemma 2.1, we have that

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\begin{array}{ll} \mathsf{sumRange} \to^* \mathsf{fix} \, \mathsf{sumRangeBody} \\ & \to & \lambda y. \, N_{\mathsf{sumRangeBody}} \, N_{\mathsf{sumRangeBody}} \, y \\ & = & \mathsf{sumRangeBodyFix}. \end{array}
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(2) Suppose v is a closed value. Then

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\begin{split} \mathsf{sumRangeBodyFix}\, v &\to N_{\mathsf{sumRangeBody}}\, N_{\mathsf{sumRangeBody}}\, v \\ &= \left(\lambda x.\, \mathsf{sumRangeBody}\left(\lambda y.\, x\, x\, y\right)\right) N_{\mathsf{sumRangeBody}}\, v \\ &\to \mathsf{sumRangeBody}\left(\lambda y.\, N_{\mathsf{sumRangeBody}}\, N_{\mathsf{sumRangeBody}}\, y\right) v \\ &= \mathsf{sumRangeBody}\, \mathsf{sumRangeBodyFix}\, v. \end{split}
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Lemma 2.7

For all closed values v and v', and $n, m \in \mathbb{N}$, if v represents n, and v' represents m, then:

• if $n \leq m$, then

sumRangeBodyFix $vv' \rightarrow^* plus v$ (sumRangeBodyFix (plus v one) v');

• if n > m, then

sumRangeBodyFix $vv' \rightarrow^*$ zero.

Proof. Suppose v and v' are closed values, $n, m \in \mathbb{N}$, v represents n, and v' represents m. By Lemma 2.6(2), we have that sumRangeBodyFix v v' \to^* sumRangeBody sumRangeBodyFix v v', which is related by \to^* to

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\begin{aligned} &\text{if (lessThanOrEqualTo } v \ v') \\ & (\lambda x. \ \text{plus} \ v \ (\text{sumRangeBodyFix (plus} \ v \ \text{one}) \ v')) \\ & (\lambda x. \ \text{zero}). \end{aligned}
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There are two parts to prove.

• Suppose $n \leq m$. By Lemma 2.5, we have that lessThanOrEqualTo $vv' \to^* u$, where u is a closed value representing true. Thus

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\begin{split} &\text{if (lessThanOrEqualTo } v\,v')\\ &\quad (\lambda x.\, \mathsf{plus}\,v\,(\mathsf{sumRangeBodyFix}\,(\mathsf{plus}\,v\,\mathsf{one})\,v'))\\ &\quad (\lambda x.\, \mathsf{zero}) \end{split} is related by \to^* to &\text{if } u\\ &\quad (\lambda x.\, \mathsf{plus}\,v\,(\mathsf{sumRangeBodyFix}\,(\mathsf{plus}\,v\,\mathsf{one})\,v'))\\ &\quad (\lambda x.\, \mathsf{zero}), \end{split}
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which is related by \rightarrow^* to
                                  u(\lambda x. \operatorname{plus} v (\operatorname{sumRangeBodyFix} (\operatorname{plus} v \operatorname{one}) v'))(\lambda x. \operatorname{zero}) \operatorname{tru},
        which (because u represents true) is related by \rightarrow^* to
                                           (\lambda x. \operatorname{plus} v (\operatorname{sumRangeBodyFix} (\operatorname{plus} v \operatorname{one}) v')) \operatorname{tru},
        which evaluates to
                                                  plus v (sumRangeBodyFix (plus v one) v').
        Thus
                              sumRangeBodyFix vv' \rightarrow^* plus v (sumRangeBodyFix (plus v one) v').
     • Suppose n > m. By Lemma 2.5, we have that lessThanOrEqualTo vv' \to^* u, where u is a
        closed value representing false. Thus
                 if (lessThanOrEqualTo v v')
                    (\lambda x. \operatorname{plus} v (\operatorname{sumRangeBodyFix} (\operatorname{plus} v \operatorname{one}) v'))
                    (\lambda x. zero)
        is related by \rightarrow^* to
                 if u
                    (\lambda x. \operatorname{plus} v (\operatorname{sumRangeBodyFix} (\operatorname{plus} v \operatorname{one}) v'))
                    (\lambda x. zero),
        which is related by \rightarrow^* to
                                  u(\lambda x. \operatorname{plus} v (\operatorname{sumRangeBodyFix} (\operatorname{plus} v \operatorname{one}) v'))(\lambda x. \operatorname{zero}) \operatorname{tru},
        which (because u represents false) is related by \rightarrow^* to
                                                                      (\lambda x. zero) tru,
        which evaluates to zero. Thus
                                                        sumRangeBodyFix vv' \rightarrow^* zero.
Lemma 2.8
For all closed values v and v', and n, m \in \mathbb{N}, if v represents n, and v' represents m, then
                                                           sumRangeBodyFix vv'
converges to a closed value representing +[n:m].
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Proof. Define a predicate P(l) on \mathbb{N} by: for all closed values v and v', and $n, m \in \mathbb{N}$, if $m - \mathbb{N} n = l$, v represents n, and v' represents m, then

sumRangeBodyFix v v'

converges to a closed value representing +[n:m]. It will suffice to show using ordinary induction that, for all $l \in \mathbb{N}$, P(l). (To see this, suppose v and v' are closed values, $n, m \in \mathbb{N}$, v represents n, and v' represents m. Then $P(m -_{\mathbb{N}} n)$, so that

sumRangeBodyFix v v'

converges to a closed value representing +[n:m].)

(Basis Step) We must show P(0). Suppose v and v' are closed values, $n, m \in \mathbb{N}$, $m -_{\mathbb{N}} n = 0$, v represents n, and v' represents m. We must show that

sumRangeBodyFix vv'

converges to a closed value representing +[n:m]. Since $m-\mathbb{N}$ n=0, there are two cases to consider.

- Suppose n=m. Then +[n:m]=n. Since $n\leq m$, Lemma 2.7 tells us that sumRangeBodyFix $vv'\to^*$ plus v (sumRangeBodyFix (plus v one) v'). By Proposition J, we have that plus v one $\to^* u$, for a closed value u representing n+1. Because u and v' are closed values representing n+1 and m, respectively, and n+1>m, Lemma 2.7 tells us that sumRangeBodyFix $uv'\to^*$ zero. Thus sumRangeBodyFix (plus v one) $v'\to^*$ zero, by Proposition G. Since v and zero are closed values representing v and v one) v one respectively, Proposition J tells us that plus v (sumRangeBodyFix (plus v one) v converges to a closed value representing v one v one representing v one rep
- Suppose n > m. Then +[n : m] = 0. By Lemma 2.7, we have that sumRangeBodyFix $vv' \to^*$ zero, and zero represents 0 = +[n : m].

(Inductive Step) Suppose $l \in \mathbb{N}$, and assume the inductive hypothesis, P(l). We must show P(l+1). Suppose v and v' are closed values, $n, m \in \mathbb{N}$, $m -_{\mathbb{N}} n = l+1$, v represents n, and v' represents m. We must show that

sumRangeBodyFix vv'

converges to a closed value representing +[n:m]. Since $m-_{\mathbb{N}} n=l+1>0$, we have that n< m and m-n=l+1. Hence $n+1\leq m, +[n:m]=n+[n+1:m]$, and $m-_{\mathbb{N}} (n+1)=m-(n+1)=m-n-1=l$. Since n< m, Lemma 2.7 tells us that sumRangeBodyFix $vv'\to^*$ plus v (sumRangeBodyFix (plus v one) v'). By Proposition J, we have that plus v one $\to^* u$, for a closed value u representing n+1. Since u and v' are closed values representing n+1 and m, respectively, and $m-_{\mathbb{N}} (n+1)=l$, the inductive hypothesis, P(l), tells us that sumRangeBodyFix $uv'\to^* u'$ for a closed value u' representing +[n+1:m]. By Proposition G, it follows that sumRangeBodyFix (plus v one) $v'\to^* u'$. Since v and v' are closed values representing n and +[n+1:m], respectively, Proposition J tells us that plus v (sumRangeBodyFix (plus v one) v') converges to a closed value representing n+[n+1:m]=+[n:m]. Thus sumRangeBodyFix v converges to a closed value representing v in v

Now, to prove the result of the exercise, suppose t and t' are closed terms, $n, m \in \mathbb{N}$, t converges to a closed value representing m. We must show that $\mathsf{sumRange}\,t\,t'$ converges to a closed value representing +[n:m]. By Lemmas 2.6(1) and 2.8, we have that

$$\begin{split} \operatorname{sumRange} \overline{t} \, \overline{t'} &\to^* \operatorname{sumRangeBodyFix} \overline{t} \, \overline{t'} \\ &\to^* v, \end{split}$$

where v is a closed value representing +[n:m]. By Proposition G, it follows that sumRange $t\,t'$ converges to v.