

## Assignment 6

### Model Answers

#### Exercise 1

##### Lemma 1.1

For all contexts  $\Gamma$ ,  $\lambda_E$  terms  $t$  and types  $T$ , if  $\Gamma \vdash_E t : T$ , then  $\Gamma \vdash_I e(t) : T$ .

**Proof.** Let  $P(\Gamma, t, T)$  be the predicate between contexts,  $\lambda_E$  terms and types that is defined by:  $P(\Gamma, t, T)$  iff  $\Gamma \vdash_I e(t) : T$ . We use induction on the typing relation of the external language to show that, for all contexts  $\Gamma$ ,  $\lambda_E$  terms  $t$  and types  $T$ , if  $\Gamma \vdash_E t : T$ , then  $P(\Gamma, t, T)$ .

**(T-True)** Suppose  $\Gamma$  is a context. We must show that  $P(\Gamma, \text{true}, \text{Bool})$ . We have that  $\Gamma \vdash_I \text{true} : \text{Bool}$ . And  $e(\text{true}) = \text{true}$ , so that  $\Gamma \vdash_I e(\text{true}) : \text{Bool}$ , i.e.,  $P(\Gamma, \text{true}, \text{Bool})$ .

**(T-False)** Suppose  $\Gamma$  is a context. We must show that  $P(\Gamma, \text{false}, \text{Bool})$ . We have that  $\Gamma \vdash_I \text{false} : \text{Bool}$ . And  $e(\text{false}) = \text{false}$ , so that  $\Gamma \vdash_I e(\text{false}) : \text{Bool}$ , i.e.,  $P(\Gamma, \text{false}, \text{Bool})$ .

**(T-If)** Suppose  $\Gamma$  is a context,  $t_1$ ,  $t_2$  and  $t_3$  are  $\lambda_E$  terms,  $T$  is a type,  $\Gamma \vdash_E t_1 : \text{Bool}$ ,  $\Gamma \vdash_E t_2 : T$  and  $\Gamma \vdash_E t_3 : T$ , and assume the inductive hypothesis,  $P(\Gamma, t_1, \text{Bool})$ ,  $P(\Gamma, t_2, T)$  and  $P(\Gamma, t_3, T)$ . We must show that  $P(\Gamma, \text{if } t_1 \text{ then } t_2 \text{ else } t_3, T)$ . By the inductive hypothesis, we have that  $\Gamma \vdash_I e(t_1) : \text{Bool}$ ,  $\Gamma \vdash_I e(t_2) : T$  and  $\Gamma \vdash_I e(t_3) : T$ . Thus  $\Gamma \vdash_I \text{if } e(t_1) \text{ then } e(t_2) \text{ else } e(t_3) : T$ . But  $e(\text{if } t_1 \text{ then } t_2 \text{ else } t_3) = \text{if } e(t_1) \text{ then } e(t_2) \text{ else } e(t_3)$ , and thus  $\Gamma \vdash_I e(\text{if } t_1 \text{ then } t_2 \text{ else } t_3) : T$ , i.e.,  $P(\Gamma, \text{if } t_1 \text{ then } t_2 \text{ else } t_3, T)$ .

**(T-Var)** Suppose  $\Gamma$  is a context,  $x$  is a variable,  $T$  is a type, and  $(x, T) \in \Gamma$ . We must show that  $P(\Gamma, x, T)$ . Since  $(x, T) \in \Gamma$ , we have that  $\Gamma \vdash_I x : T$ . But  $e(x) = x$ , and thus  $\Gamma \vdash_I e(x) : T$ , i.e.,  $P(\Gamma, x, T)$ .

**(T-Abs)** Suppose  $\Gamma$  is a context,  $x$  is a variable,  $T_1$  and  $T_2$  are types,  $t$  is a  $\lambda_E$  term, and  $\Gamma[x \mapsto T_1] \vdash_E t : T_2$ , and assume the inductive hypothesis,  $P(\Gamma[x \mapsto T_1], t, T_2)$ . We must show that  $P(\Gamma, \lambda x : T_1. t, T_1 \rightarrow T_2)$ . By the inductive hypothesis, we have that  $\Gamma[x \mapsto T_1] \vdash_I e(t) : T_2$ , so that  $\Gamma \vdash_I \lambda x : T_1. e(t) : T_1 \rightarrow T_2$ . But  $e(\lambda x : T_1. t) = \lambda x : T_1. e(t)$ , and thus  $\Gamma \vdash_I e(\lambda x : T_1. t) : T_1 \rightarrow T_2$ , i.e.,  $P(\Gamma, \lambda x : T_1. t, T_1 \rightarrow T_2)$ .

**(T-WildcardAbs)** Suppose  $\Gamma$  is a context,  $T_1$  and  $T_2$  are types,  $t$  is a  $\lambda_E$  term, and  $\Gamma \vdash_E t : T_2$ , and assume the inductive hypothesis,  $P(\Gamma, t, T_2)$ . We must show that  $P(\Gamma, \lambda - : T_1. t, T_1 \rightarrow T_2)$ . By the inductive hypothesis, we have that  $\Gamma \vdash_I e(t) : T_2$ , so that  $\Gamma \vdash_I \lambda - : T_1. e(t) : T_1 \rightarrow T_2$ . But  $e(\lambda - : T_1. t) = \lambda - : T_1. e(t)$ , and thus  $\Gamma \vdash_I e(\lambda - : T_1. t) : T_1 \rightarrow T_2$ , i.e.,  $P(\Gamma, \lambda - : T_1. t, T_1 \rightarrow T_2)$ .

**(T-App)** Suppose  $\Gamma$  is a context,  $t_1$  and  $t_2$  are  $\lambda_E$  terms,  $T_1$  and  $T_2$  are types,  $\Gamma \vdash_E t_1 : T_1 \rightarrow T_2$  and  $\Gamma \vdash_E t_2 : T_1$ , and assume the inductive hypothesis,  $P(\Gamma, t_1, T_1 \rightarrow T_2)$  and  $P(\Gamma, t_2, T_1)$ . We must show that  $P(\Gamma, t_1 t_2, T_2)$ . By the inductive hypothesis, we have that  $\Gamma \vdash_I e(t_1) : T_1 \rightarrow T_2$  and  $\Gamma \vdash_I e(t_2) : T_1$ , so that  $\Gamma \vdash_I e(t_1) e(t_2) : T_2$ . But  $e(t_1 t_2) = e(t_1) e(t_2)$ , and thus  $\Gamma \vdash_I e(t_1 t_2) : T_2$ , i.e.,  $P(\Gamma, t_1 t_2, T_2)$ .

**(T-Ascribe)** Suppose  $\Gamma$  is a context,  $t$  is a  $\lambda_E$  term,  $T$  is a type, and  $\Gamma \vdash_E t : T$ , and assume the inductive hypothesis,  $P(\Gamma, t, T)$ . We must show that  $P(\Gamma, t \text{ as } T, T)$ , i.e.,  $\Gamma \vdash_I e(t \text{ as } T) : T$ . Since  $e(t \text{ as } T) = (\lambda x : \text{Bool} \rightarrow T. x \text{ true})(\lambda - : \text{Bool}. e(t))$ , where  $x$  is the least variable, we must show that  $\Gamma \vdash_I (\lambda x : \text{Bool} \rightarrow T. x \text{ true})(\lambda - : \text{Bool}. e(t)) : T$ . Since  $(x, \text{Bool} \rightarrow T) \in \Gamma[x \mapsto \text{Bool} \rightarrow T]$ , we have that  $\Gamma[x \mapsto \text{Bool} \rightarrow T] \vdash_I x : \text{Bool} \rightarrow T$ . And  $\Gamma[x \mapsto \text{Bool} \rightarrow T] \vdash_I \text{true} : \text{Bool}$ , so that  $\Gamma[x \mapsto \text{Bool} \rightarrow T] \vdash_I x \text{ true} : T$ . Hence  $\Gamma \vdash_I \lambda x : \text{Bool} \rightarrow T. x \text{ true} : (\text{Bool} \rightarrow T) \rightarrow T$ . By the inductive hypothesis, we have that  $\Gamma \vdash_I e(t) : T$ . Thus  $\Gamma \vdash_I \lambda - : \text{Bool}. e(t) : \text{Bool} \rightarrow T$ . Finally, since  $\Gamma \vdash_I \lambda x : \text{Bool} \rightarrow T. x \text{ true} : (\text{Bool} \rightarrow T) \rightarrow T$  and  $\Gamma \vdash_I \lambda - : \text{Bool}. e(t) : \text{Bool} \rightarrow T$ , it follows that  $\Gamma \vdash_I (\lambda x : \text{Bool} \rightarrow T. x \text{ true})(\lambda - : \text{Bool}. e(t)) : T$ .

□

### Lemma 1.2

For all contexts  $\Gamma$ ,  $\lambda_E$  terms  $t$ , and types  $T$ , if  $\Gamma \vdash_I e(t) : T$ , then  $\Gamma \vdash_E t : T$ .

**Proof.** Let  $P(t)$  be the predicate on  $\lambda_E$  terms defined by:  $P(t)$  iff, for all contexts  $\Gamma$  and types  $T$ , if  $\Gamma \vdash_I e(t) : T$ , then  $\Gamma \vdash_E t : T$ . We use structural induction on  $\lambda_E$  terms to show that, for all  $\lambda_E$  terms  $t$ ,  $P(t)$ .

**(Constant True)** We must show that  $P(\text{true})$ . Suppose  $\Gamma$  is a context,  $T$  is a type, and  $\Gamma \vdash_I e(\text{true}) : T$ . We must show that  $\Gamma \vdash_E \text{true} : T$ . Since  $e(\text{true}) = \text{true}$ , we have that  $\Gamma \vdash_I \text{true} : T$ . Thus, by the inversion lemma for the typing relation of  $\lambda_I$ , we have that  $T = \text{Bool}$ . Hence  $\Gamma \vdash_E \text{true} : \text{Bool} = T$ .

**(Constant False)** We must show that  $P(\text{false})$ . Suppose  $\Gamma$  is a context,  $T$  is a type, and  $\Gamma \vdash_I e(\text{false}) : T$ . We must show that  $\Gamma \vdash_E \text{false} : T$ . Since  $e(\text{false}) = \text{false}$ , we have that  $\Gamma \vdash_I \text{false} : T$ . Thus, by the inversion lemma for the typing relation of  $\lambda_I$ , we have that  $T = \text{Bool}$ . Hence  $\Gamma \vdash_E \text{false} : \text{Bool} = T$ .

**(Conditional)** Suppose  $t_1$ ,  $t_2$  and  $t_3$  are  $\lambda_E$  terms, and assume the inductive hypothesis,  $P(t_1)$ ,  $P(t_2)$  and  $P(t_3)$ . We must show that  $P(\text{if } t_1 \text{ then } t_2 \text{ else } t_3)$ . Suppose  $\Gamma$  is a context,  $T$  is a type, and  $\Gamma \vdash_I e(\text{if } t_1 \text{ then } t_2 \text{ else } t_3) : T$ . We must show that  $\Gamma \vdash_E \text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T$ . Since  $e(\text{if } t_1 \text{ then } t_2 \text{ else } t_3) = \text{if } e(t_1) \text{ then } e(t_2) \text{ else } e(t_3)$ , we have that  $\Gamma \vdash_I \text{if } e(t_1) \text{ then } e(t_2) \text{ else } e(t_3) : T$ . By the inversion lemma for the typing relation of  $\lambda_I$ , we have that  $\Gamma \vdash_I e(t_1) : \text{Bool}$ ,  $\Gamma \vdash_I e(t_2) : T$  and  $\Gamma \vdash_I e(t_3) : T$ . Thus, by the inductive hypothesis, it follows that  $\Gamma \vdash_E t_1 : \text{Bool}$ ,  $\Gamma \vdash_E t_2 : T$  and  $\Gamma \vdash_E t_3 : T$ . Hence  $\Gamma \vdash_E \text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T$ .

**(Variable)** Suppose  $x$  is a variable. We must show that  $P(x)$ . Suppose  $\Gamma$  is a context,  $T$  is a type, and  $\Gamma \vdash_I e(x) : T$ . We must show that  $\Gamma \vdash_E x : T$ . Since  $e(x) = x$ , we have that  $\Gamma \vdash_I x : T$ . By the inversion lemma for the typing relation of  $\lambda_I$ , we have that  $(x, T) \in \Gamma$ . Thus  $\Gamma \vdash_E x : T$ .

**(Abstraction)** Suppose  $x$  is a variable,  $T$  is a type, and  $t$  is a  $\lambda_E$  term, and suppose the inductive hypothesis,  $P(t)$ . We must show that  $P(\lambda x : T. t)$ . Suppose  $\Gamma$  is a context,  $U$  is a type, and  $\Gamma \vdash_I e(\lambda x : T. t) : U$ . We must show that  $\Gamma \vdash_E \lambda x : T. t : U$ . Since  $e(\lambda x : T. t) = \lambda x : T. e(t)$ , we have that  $\Gamma \vdash_I \lambda x : T. e(t) : U$ . By the inversion lemma for the typing relation of  $\lambda_I$ , it

follows that there is a type  $U'$  such that  $U = T \rightarrow U'$  and  $\Gamma[x \mapsto T] \vdash_I e(t) : U'$ . Thus, by the inductive hypothesis, it follows that  $\Gamma[x \mapsto T] \vdash_E t : U'$ , so that  $\Gamma \vdash_E \lambda x : T. t : T \rightarrow U' = U$ .

**(Wildcard Abstraction)** Suppose  $T$  is a type and  $t$  is a  $\lambda_E$  term, and assume the inductive hypothesis,  $P(t)$ . We must show that  $P(\lambda - : T. t)$ . Suppose  $\Gamma$  is a context,  $U$  is a type, and  $\Gamma \vdash_I e(\lambda - : T. t) : U$ . We must show that  $\Gamma \vdash_E \lambda - : T. t : U$ . Since  $e(\lambda - : T. t) = \lambda - : T. e(t)$ , we have that  $\Gamma \vdash_I \lambda - : T. e(t) : U$ . By the inversion lemma for the typing relation of  $\lambda_I$ , it follows that there is a type  $U'$  such that  $U = T \rightarrow U'$  and  $\Gamma \vdash_I e(t) : U'$ . Thus, by the inductive hypothesis, it follows that  $\Gamma \vdash_E t : U'$ , so that  $\Gamma \vdash_E \lambda - : T. t : T \rightarrow U' = U$ .

**(Application)** Suppose  $t_1$  and  $t_2$  are  $\lambda_E$  terms, and assume the inductive hypothesis,  $P(t_1)$  and  $P(t_2)$ . We must show that  $P(t_1 t_2)$ . Suppose  $\Gamma$  is a context,  $T$  is a type, and  $\Gamma \vdash_I e(t_1 t_2) : T$ . We must show that  $\Gamma \vdash_E t_1 t_2 : T$ . Since  $e(t_1 t_2) = e(t_1) e(t_2)$ , we have that  $\Gamma \vdash_I e(t_1) e(t_2) : T$ . By the inversion lemma for the typing relation of  $\lambda_I$ , we have that there is a type  $T'$  such that  $\Gamma \vdash_I e(t_1) : T' \rightarrow T$  and  $\Gamma \vdash_I e(t_2) : T'$ . Thus, by the inductive hypothesis, it follows that  $\Gamma \vdash_E t_1 : T' \rightarrow T$  and  $\Gamma \vdash_E t_2 : T'$ , so that  $\Gamma \vdash_E t_1 t_2 : T$ .

**(Ascription)** Suppose  $t$  is a  $\lambda_E$  term and  $T$  is a type, and assume the inductive hypothesis,  $P(t)$ . We must show that  $P(t \text{ as } T)$ . Suppose  $\Gamma$  is a context,  $U$  is a type, and  $\Gamma \vdash_I e(t \text{ as } T) : U$ . We must show that  $\Gamma \vdash_E t \text{ as } T : U$ . Since  $e(t \text{ as } T) = (\lambda x : \text{Bool} \rightarrow T. x \text{ true})(\lambda - : \text{Bool}. e(t))$ , where  $x$  is the least variable, we have that  $\Gamma \vdash_I (\lambda x : \text{Bool} \rightarrow T. x \text{ true})(\lambda - : \text{Bool}. e(t)) : U$ . By the inversion lemma for the typing relation of  $\lambda_I$ , we have that there is a type  $U'$  such that  $\Gamma \vdash_I \lambda x : \text{Bool} \rightarrow T. x \text{ true} : U' \rightarrow U$  and  $\Gamma \vdash_I \lambda - : \text{Bool}. e(t) : U'$ . Since  $(x, \text{Bool} \rightarrow T) \in \Gamma[x \mapsto \text{Bool} \rightarrow T]$ , we have that  $\Gamma[x \mapsto \text{Bool} \rightarrow T] \vdash_I x : \text{Bool} \rightarrow T$ . And  $\Gamma[x \mapsto \text{Bool} \rightarrow T] \vdash_I \text{true} : \text{Bool}$ , so that  $\Gamma[x \mapsto \text{Bool} \rightarrow T] \vdash_I x \text{ true} : T$ . Hence  $\Gamma \vdash_I \lambda x : \text{Bool} \rightarrow T. x \text{ true} : (\text{Bool} \rightarrow T) \rightarrow T$ . Thus, by the Uniqueness of Typing Proposition for  $\lambda_I$ , it follows that  $(\text{Bool} \rightarrow T) \rightarrow T = U' \rightarrow U$ , so that  $U' = \text{Bool} \rightarrow T$  and  $U = T$ . Thus it will suffice to show that  $\Gamma \vdash_E t \text{ as } T : T$ . Since  $\Gamma \vdash_I \lambda - : \text{Bool}. e(t) : U' = \text{Bool} \rightarrow T$ , the inversion lemma for the typing relation on  $\lambda_I$  tells us that  $\Gamma \vdash_I e(t) : T$ . Thus, by the inductive hypothesis, we have that  $\Gamma \vdash_E t : T$ , so that  $\Gamma \vdash_E t \text{ as } T : T$ .

□

Suppose  $t$  is a  $\lambda_E$  term. We must show that

$$\emptyset \vdash_E t : \text{Bool} \quad \text{iff} \quad \emptyset \vdash_I e(t) : \text{Bool}.$$

There are two directions to show.

- Suppose  $\emptyset \vdash_E t : \text{Bool}$ . By Lemma 1.1, we have that  $\emptyset \vdash_I e(t) : \text{Bool}$ .
- Suppose  $\emptyset \vdash_I e(t) : \text{Bool}$ . By Lemma 1.2, we have that  $\emptyset \vdash_E t : \text{Bool}$ .

## Exercise 2

### Lemma 2.1

- (1) For all closed  $\lambda_I$  terms  $t_1, t'_1, t_2$  and  $t_3$ , if  $t_1 \rightarrow_I^* t'_1$ , then if  $t_1$  then  $t_2$  else  $t_3 \rightarrow_I^*$  if  $t'_1$  then  $t_2$  else  $t_3$ .

(2) For all closed  $\lambda_I$  terms  $t_1, t'_1$  and  $t_2$ , if  $t_1 \rightarrow_I^* t'_1$ , then  $t_1 t_2 \rightarrow_I^* t'_1 t_2$ .

(3) For all closed  $\lambda_I$  values  $v_1$ , and closed  $\lambda_I$  terms  $t_2$  and  $t'_2$ , if  $t_2 \rightarrow_I^* t'_2$ , then  $v_1 t_2 \rightarrow_I^* v_1 t'_2$ .

**Proof.** Simple inductions on  $\rightarrow_I^*$ .  $\square$

### Lemma 2.2

For all closed  $\lambda_E$  terms  $t$  and  $t'$ , if  $t \rightarrow_E t'$ , then  $e(t) \rightarrow_I^* e(t')$ .

**Proof.** Let  $P(t, t')$  be the predicate on closed  $\lambda_E$  terms defined by:  $P(t, t')$  iff  $e(t) \rightarrow_I^* e(t')$ . We use induction on the evaluation relation of the external language to show that, for all closed  $\lambda_E$  terms  $t$  and  $t'$ , if  $t \rightarrow_E t'$ , then  $P(t, t')$ .

**(E-IfTrue)** Suppose  $t_2$  and  $t_3$  are closed  $\lambda_E$  terms. We must show that  $P(\text{if true then } t_2 \text{ else } t_3, t_2)$ . We have that  $e(\text{if true then } t_2 \text{ else } t_3) = \text{if } e(\text{true}) \text{ then } e(t_2) \text{ else } e(t_3) = \text{if true then } e(t_2) \text{ else } e(t_3) \rightarrow_I e(t_2)$ , showing that  $e(\text{if true then } t_2 \text{ else } t_3) \rightarrow_I^* e(t_2)$ .

**(E-IfFalse)** Suppose  $t_2$  and  $t_3$  are closed  $\lambda_E$  terms. We must show that  $P(\text{if false then } t_2 \text{ else } t_3, t_3)$ . We have that  $e(\text{if false then } t_2 \text{ else } t_3) = \text{if } e(\text{false}) \text{ then } e(t_2) \text{ else } e(t_3) = \text{if false then } e(t_2) \text{ else } e(t_3) \rightarrow_I e(t_3)$ , showing that  $e(\text{if false then } t_2 \text{ else } t_3) \rightarrow_I^* e(t_3)$ .

**(E-If)** Suppose  $t_1, t'_1, t_2$  and  $t_3$  are closed  $\lambda_E$  terms, and  $t_1 \rightarrow_E t'_1$ , and assume the inductive hypothesis,  $P(t_1, t'_1)$ , i.e.,  $e(t_1) \rightarrow_I^* e(t'_1)$ . We must show that  $P(\text{if } t_1 \text{ then } t_2 \text{ else } t_3, \text{if } t'_1 \text{ then } t_2 \text{ else } t_3)$ . We have that  $e(\text{if } t_1 \text{ then } t_2 \text{ else } t_3) = \text{if } e(t_1) \text{ then } e(t_2) \text{ else } e(t_3) \rightarrow_I^* \text{if } e(t'_1) \text{ then } e(t_2) \text{ else } e(t_3) = e(\text{if } t'_1 \text{ then } t_2 \text{ else } t_3)$ , by Lemma 2.1(1), showing that  $e(\text{if } t_1 \text{ then } t_2 \text{ else } t_3) \rightarrow_I^* e(\text{if } t'_1 \text{ then } t_2 \text{ else } t_3)$ .

**(E-App1)** Suppose  $t_1, t'_1$  and  $t_2$  are closed  $\lambda_E$  terms, and  $t_1 \rightarrow_E t'_1$ , and assume the inductive hypothesis,  $P(t_1, t'_1)$ , i.e.,  $e(t_1) \rightarrow_I^* e(t'_1)$ . We must show that  $P(t_1 t_2, t'_1 t_2)$ . We have that  $e(t_1 t_2) = e(t_1) e(t_2) \rightarrow_I^* e(t'_1) e(t_2) = e(t'_1 t_2)$ , by Lemma 2.1(2), showing that  $e(t_1 t_2) \rightarrow_I^* e(t'_1 t_2)$ .

**(E-App2)** Suppose  $v_1$  is a closed  $\lambda_E$  value,  $t_2$  and  $t'_2$  are closed  $\lambda_E$  terms, and  $t_2 \rightarrow_E t'_2$ , and assume the inductive hypothesis,  $P(t_2, t'_2)$ , i.e.,  $e(t_2) \rightarrow_I^* e(t'_2)$ . We must show that  $P(v_1 t_2, v_1 t'_2)$ . Since  $v_1$  is a closed  $\lambda_E$  value, we have that  $e(v_1)$  is a closed  $\lambda_I$  value. Thus  $e(v_1 t_2) = e(v_1) e(t_2) \rightarrow_I^* e(v_1) e(t'_2) = e(v_1 t'_2)$ , by Lemma 2.1(3), showing that  $e(v_1 t_2) \rightarrow_I^* e(v_1 t'_2)$ .

**(E-AppAbs)** Suppose  $x$  is a variable,  $T$  is a type,  $t$  is a  $\lambda_E$  term such that  $\text{FV}(t) \subseteq \{x\}$ , and  $v$  is a closed  $\lambda_E$  value. We must show that  $P((\lambda x : T. t)v, [x \mapsto v]t)$ . We have that  $\text{FV}(e(t)) \subseteq \{x\}$ . Since  $v$  is a closed  $\lambda_E$  value, we have that  $e(v)$  is a closed  $\lambda_I$  value. Thus  $e((\lambda x : T. t)v) = e(\lambda x : T. t) e(v) = (\lambda x : T. e(t)) e(v) \rightarrow_I [x \mapsto e(v)](e(t)) = e([x \mapsto v]t)$ , by the Elaboration of Substitutions Proposition, showing that  $e((\lambda x : T. t)v) \rightarrow_I^* e([x \mapsto v]t)$ .

**(E-AppWildcardAbs)** Suppose  $T$  is a type,  $t'$  is a closed  $\lambda_E$  term, and  $v$  is a closed  $\lambda_E$  value. We must show that  $P((\lambda - : T. t')v, t')$ . Since  $v$  is a closed  $\lambda_E$  value, we have that  $e(v)$  is a closed  $\lambda_I$  value. Thus  $e((\lambda - : T. t')v) = e(\lambda - : T. t') e(v) = (\lambda - : T. e(t')) e(v) \rightarrow_I e(t')$ , showing that  $e((\lambda - : T. t')v) \rightarrow_I^* e(t')$ .

**(E-AscribeEager)** Suppose  $t$  is a closed  $\lambda_E$  term and  $T$  is a type. We must show that  $P(t \text{ as } T, t)$ . We have that  $e(t \text{ as } T) = (\lambda x : \text{Bool} \rightarrow T. x \text{ true})(\lambda - : \text{Bool}. e(t))$ , where  $x$  is the least variable. Thus  $e(t \text{ as } T) \rightarrow_I (\lambda - : \text{Bool}. e(t)) \text{true} \rightarrow_I e(t)$ , showing that  $e(t \text{ as } T) \rightarrow_I^* e(t)$ .

□

**Lemma 2.3**

For all  $\lambda_E$  terms  $t$ , for all closed  $\lambda_I$  terms  $u$ , if  $t$  is closed and  $e(t) \rightarrow_I u$ , then there is a closed  $\lambda_E$  term  $t'$  such that  $u \rightarrow_I^* e(t')$  and  $t \rightarrow_E t'$ .

**Proof.** Let  $P(t)$  be the predicate on  $\lambda_E$  terms defined by:  $P(t)$  iff, for all closed  $\lambda_I$  terms  $u$ , if  $t$  is closed and  $e(t) \rightarrow_I u$ , then there is a closed  $\lambda_E$  term  $t'$  such that  $u \rightarrow_I^* e(t')$  and  $t \rightarrow_E t'$ . We use structural induction on  $\lambda_E$  terms to show that, for all  $\lambda_E$  terms  $t$ ,  $P(t)$ .

**(Constant True)** We must show that  $P(\text{true})$ . Suppose  $u$  is a closed  $\lambda_I$  term,  $\text{true}$  is closed, and  $e(\text{true}) \rightarrow_I u$ . We must show that there is a closed  $\lambda_E$  term  $t'$  such that  $u \rightarrow_I^* e(t')$  and  $\text{true} \rightarrow_E t'$ . But  $e(\text{true}) = \text{true}$ , and thus  $\text{true} \rightarrow_I u$ —contradiction. Thus there is a closed  $\lambda_E$  term  $t'$  such that  $u \rightarrow_I^* e(t')$  and  $\text{true} \rightarrow_E t'$ .

**(Constant False)** We must show that  $P(\text{false})$ . Suppose  $u$  is a closed  $\lambda_I$  term,  $\text{false}$  is closed, and  $e(\text{false}) \rightarrow_I u$ . We must show that there is a closed  $\lambda_E$  term  $t'$  such that  $u \rightarrow_I^* e(t')$  and  $\text{false} \rightarrow_E t'$ . But  $e(\text{false}) = \text{false}$ , and thus  $\text{false} \rightarrow_I u$ —contradiction. Thus there is a closed  $\lambda_E$  term  $t'$  such that  $u \rightarrow_I^* e(t')$  and  $\text{false} \rightarrow_E t'$ .

**(Conditional)** Suppose  $t_1$ ,  $t_2$  and  $t_3$  are  $\lambda_E$  terms, and assume the inductive hypothesis,  $P(t_1)$ ,  $P(t_2)$  and  $P(t_3)$ . We must show that  $P(\text{if } t_1 \text{ then } t_2 \text{ else } t_3)$ . Suppose  $u$  is a closed  $\lambda_I$  term, if  $t_1$  then  $t_2$  else  $t_3$  is closed, and  $e(\text{if } t_1 \text{ then } t_2 \text{ else } t_3) \rightarrow_I u$ . We must show that there is a closed  $\lambda_E$  term  $t'$  such that  $u \rightarrow_I^* e(t')$  and if  $t_1$  then  $t_2$  else  $t_3 \rightarrow_E t'$ . Since if  $t_1$  then  $t_2$  else  $t_3$  is closed, we have that  $t_1$ ,  $t_2$  and  $t_3$  are closed, so that  $e(t_1)$ ,  $e(t_2)$  and  $e(t_3)$  are also closed. Since  $e(\text{if } t_1 \text{ then } t_2 \text{ else } t_3) = \text{if } e(t_1) \text{ then } e(t_2) \text{ else } e(t_3)$ , we have that if  $e(t_1)$  then  $e(t_2)$  else  $e(t_3) \rightarrow_I u$ . By the inversion lemma for the evaluation relation of  $\lambda_I$ , there are three cases to consider.

**(E-IfTrue)** Suppose  $e(t_1) = \text{true}$  and  $u = e(t_2)$ . By the definition of the elaboration function, we have that  $t_1 = \text{true}$ . Thus  $u \rightarrow_I^* e(t_2)$  and if  $t_1$  then  $t_2$  else  $t_3 = \text{if true then } t_2 \text{ else } t_3 \rightarrow_E t_2$ , showing that if  $t_1$  then  $t_2$  else  $t_3 \rightarrow_E t_2$ .

**(E-IfFalse)** Suppose  $e(t_1) = \text{false}$  and  $u = e(t_3)$ . By the definition of the elaboration function, we have that  $t_1 = \text{false}$ . Thus  $u \rightarrow_I^* e(t_3)$  and if  $t_1$  then  $t_2$  else  $t_3 = \text{if false then } t_2 \text{ else } t_3 \rightarrow_E t_3$ , showing that if  $t_1$  then  $t_2$  else  $t_3 \rightarrow_E t_3$ .

**(E-If)** Suppose there is a closed  $\lambda_I$  term  $u'$  such that  $e(t_1) \rightarrow_I u'$  and  $u = \text{if } u' \text{ then } e(t_2) \text{ else } e(t_3)$ . By  $P(t_1)$ , we have that there is a closed  $\lambda_E$  term  $t'_1$  such that  $u' \rightarrow_I^* e(t'_1)$  and  $t_1 \rightarrow_E t'_1$ . Thus  $u = \text{if } u' \text{ then } e(t_2) \text{ else } e(t_3) \rightarrow_I^* \text{if } e(t'_1) \text{ then } e(t_2) \text{ else } e(t_3) = e(\text{if } t'_1 \text{ then } t_2 \text{ else } t_3)$ , by Lemma 2.1(1), showing that  $u \rightarrow_I^* e(\text{if } t'_1 \text{ then } t_2 \text{ else } t_3)$ . And if  $t_1$  then  $t_2$  else  $t_3 \rightarrow_E \text{if } t'_1 \text{ then } t_2 \text{ else } t_3$ .

**(Variable)** Suppose  $x$  is a variable. We must show that  $P(x)$ . Suppose  $u$  is a closed  $\lambda_I$  term,  $x$  is closed, and  $e(x) \rightarrow_I u$ . We must show that there is a closed  $\lambda_E$  term  $t'$  such that  $u \rightarrow_I^* e(t')$  and  $x \rightarrow_E t'$ . But  $x$  is not closed—contradiction. Thus there is a closed  $\lambda_E$  term  $t'$  such that  $u \rightarrow_I^* e(t')$  and  $x \rightarrow_E t'$ .

**(Abstraction)** Suppose  $x$  is a variable,  $T$  is a type, and  $t$  is a  $\lambda_E$  term, and suppose the inductive hypothesis,  $P(t)$ . We must show that  $P(\lambda x : T. t)$ . Suppose  $u$  is a closed  $\lambda_I$  term,  $\lambda x : T. t$  is closed, and  $e(\lambda x : T. t) \rightarrow_I u$ . We must show that there is a closed  $\lambda_E$  term  $t'$  such that  $u \rightarrow_I^* e(t')$  and  $\lambda x : T. t \rightarrow_E t'$ . Since  $e(\lambda x : T. t) = \lambda x : T. e(t)$ , we have that  $\lambda x : T. e(t) \rightarrow_I u$ —contradiction. Thus there is a closed  $\lambda_E$  term  $t'$  such that  $u \rightarrow_I^* e(t')$  and  $\lambda x : T. t \rightarrow_E t'$ .

**(Wildcard Abstraction)** Suppose  $T$  is a type and  $t$  is a  $\lambda_E$  term, and assume the inductive hypothesis,  $P(t)$ . We must show that  $P(\lambda - : T. t)$ . Suppose  $u$  is a closed  $\lambda_I$  term,  $\lambda - : T. t$  is closed, and  $e(\lambda - : T. t) \rightarrow_I u$ . We must show that there is a closed  $\lambda_E$  term  $t'$  such that  $u \rightarrow_I^* e(t')$  and  $\lambda - : T. t \rightarrow_E t'$ . Since  $e(\lambda - : T. t) = \lambda - : T. e(t)$ , we have that  $\lambda - : T. e(t) \rightarrow_I u$ —contradiction. Thus there is a closed  $\lambda_E$  term  $t'$  such that  $u \rightarrow_I^* e(t')$  and  $\lambda - : T. t \rightarrow_E t'$ .

**(Application)** Suppose  $t_1$  and  $t_2$  are  $\lambda_E$  terms, and assume the inductive hypothesis,  $P(t_1)$  and  $P(t_2)$ . We must show that  $P(t_1 t_2)$ . Suppose  $u$  is a closed  $\lambda_I$  term,  $t_1 t_2$  is closed, and  $e(t_1 t_2) \rightarrow_I u$ . We must show that there is a closed  $\lambda_E$  term  $t'$  such that  $u \rightarrow_I^* e(t')$  and  $t_1 t_2 \rightarrow_E t'$ . Since  $t_1 t_2$  is closed, we have that  $t_1$  and  $t_2$  are closed, so that  $e(t_1)$  and  $e(t_2)$  are also closed. Because  $e(t_1 t_2) = e(t_1) e(t_2)$ , we have that  $e(t_1) e(t_2) \rightarrow_I u$ . By the inversion lemma for the evaluation relation of  $\lambda_I$ , there are four cases to consider.

**(E-App1)** Suppose there is a closed  $\lambda_I$  term  $u'$  such that  $e(t_1) \rightarrow_I u'$  and  $u = u' e(t_2)$ . By  $P(t_1)$ , we have that there is a closed  $\lambda_E$  term  $t'_1$  such that  $u' \rightarrow_I^* e(t'_1)$  and  $t_1 \rightarrow_E t'_1$ . Thus  $u = u' e(t_2) \rightarrow_I^* e(t'_1) e(t_2) = e(t'_1 t_2)$ , by Lemma 2.1(2), showing that  $u \rightarrow_I^* e(t'_1 t_2)$ . And  $t_1 t_2 \rightarrow_E t'_1 t_2$ .

**(E-App2)** Suppose  $e(t_1)$  is a  $\lambda_I$  value, and there is a closed  $\lambda_I$  term  $u'$  such that  $e(t_2) \rightarrow_I u'$  and  $u = e(t_1) u'$ . By  $P(t_2)$ , we have that there is a closed  $\lambda_E$  term  $t'_2$  such that  $u' \rightarrow_I^* e(t'_2)$  and  $t_2 \rightarrow_E t'_2$ . Thus  $u = e(t_1) u' \rightarrow_I^* e(t_1) e(t'_2) = e(t_1 t'_2)$ , by Lemma 2.1(3), showing that  $u \rightarrow_I^* e(t_1 t'_2)$ . Since  $e(t_1)$  is a  $\lambda_I$  value, it follows that  $t_1$  is a  $\lambda_E$  value. Hence  $t_1 t_2 \rightarrow_E t_1 t'_2$ .

**(E-AppAbs)** Suppose  $e(t_2)$  is a  $\lambda_I$  value, and there is a variable  $x$ , a type  $T$  and a  $\lambda_I$  term  $u'$  with the property that  $\text{FV}(u') \subseteq \{x\}$  such that  $e(t_1) = \lambda x : T. u'$  and  $u = [x \mapsto e(t_2)]u'$ . By the definition of the elaboration function, it follows that  $t_1 = \lambda x : T. t'$  for some  $\lambda_E$  term  $t'$  such that  $e(t') = u'$ , so that  $\text{FV}(t') \subseteq \{x\}$ . Thus  $u = [x \mapsto e(t_2)]u' = [x \mapsto e(t_2)](e(t')) = e([x \mapsto t_2]t')$ , by the Elaboration of Substitution Proposition, showing that  $u \rightarrow_I^* e([x \mapsto t_2]t')$ . Since  $e(t_2)$  is a  $\lambda_I$  value, it follows that  $t_2$  is a  $\lambda_E$  value. Thus  $t_1 t_2 = (\lambda x : T. t')t_2 \rightarrow_E [x \mapsto t_2]t'$ .

**(E-AppWildcardAbs)** Suppose  $e(t_2)$  is a  $\lambda_I$  value, and there is a type  $T$  and a closed  $\lambda_I$  term  $u'$  such that  $e(t_1) = \lambda - : T. u'$  and  $u = u'$ . By the definition of the elaboration function, it follows that  $t_1 = \lambda - : T. t'$  for some  $\lambda_E$  term  $t'$  such that  $e(t') = u'$ , so that  $t'$  is also closed. Thus  $u = u' = e(t')$ , showing that  $u \rightarrow_I^* e(t')$ . Since  $e(t_2)$  is a  $\lambda_I$  value, it follows that  $t_2$  is a  $\lambda_E$  value. Thus  $t_1 t_2 = (\lambda - : T. t')t_2 \rightarrow_E t'$ .

**(Ascription)** Suppose  $t$  is a  $\lambda_E$  term and  $T$  is a type, and assume the inductive hypothesis,  $P(t)$ . We must show that  $P(t \text{ as } T)$ . Suppose  $u$  is a closed  $\lambda_I$  term,  $t \text{ as } T$  is closed and  $e(t \text{ as } T) \rightarrow_I u$ . We must show that there is a closed  $\lambda_E$  term  $t'$  such that  $u \rightarrow_I^* e(t')$  and  $t \text{ as } T \rightarrow_E t'$ . Since  $t \text{ as } T$  is closed, we have that  $t$  is closed. Since  $e(t \text{ as } T) = (\lambda x : \text{Bool} \rightarrow T. x \text{ true})(\lambda - : \text{Bool}. e(t))$ , where  $x$  is the least variable, we have that  $(\lambda x : \text{Bool} \rightarrow T. x \text{ true})(\lambda - : \text{Bool}. e(t)) \rightarrow_I u$ , and thus that  $u = (\lambda - : \text{Bool}. e(t))\text{true}$ . Thus  $u \rightarrow_I e(t)$ , showing that  $u \rightarrow_I^* e(t)$ . And  $t \text{ as } T \rightarrow_E t$ .

□

**Lemma 2.4**

For all closed  $\lambda_E$  terms  $t$  and  $t'$ , if  $t \rightarrow_E^* t'$ , then  $e(t) \rightarrow_I^* e(t')$ .

**Proof.** Define a predicate  $P(t, t')$  on closed  $\lambda_E$  terms by:  $P(t, t')$  iff  $e(t) \rightarrow_I^* e(t')$ . We use induction on  $\rightarrow_E^*$  to show that, for all closed  $\lambda_E$  terms  $t$  and  $t'$ , if  $t \rightarrow_E^* t'$ , then  $P(t, t')$ .

**(Eval)** Suppose  $t$  and  $t'$  are closed  $\lambda_E$  terms and  $t \rightarrow_E t'$ . We must show that  $P(t, t')$ . By Lemma 2.2, we have that  $e(t) \rightarrow_I^* e(t')$ , i.e.,  $P(t, t')$ .

**(Refl)** Suppose  $t$  is a closed  $\lambda_E$  term. Then  $e(t) \rightarrow_I^* e(t)$ , i.e.,  $P(t, t)$ .

**(Trans)** Suppose  $t_1, t_2$  and  $t_3$  are closed  $\lambda_E$  terms,  $t_1 \rightarrow_E^* t_2$  and  $t_2 \rightarrow_E^* t_3$ , and assume the inductive hypothesis,  $P(t_1, t_2)$  and  $P(t_2, t_3)$ . We must show that  $P(t_1, t_3)$ . By the inductive hypothesis, we have that  $e(t_1) \rightarrow_I^* e(t_2) \rightarrow_I^* e(t_3)$ . Thus  $e(t_1) \rightarrow_I^* e(t_3)$ , i.e.,  $P(t_1, t_3)$ .

□

**Lemma 2.5**

For all closed  $\lambda_I$  terms  $u$  and  $u'$ , if  $u \rightarrow_I^* u'$ , then, for all closed  $\lambda_E$  terms  $t$ , if  $u \rightarrow_I^* e(t)$ , then there is a closed  $\lambda_E$  term  $t'$  such that  $u' \rightarrow_I^* e(t')$  and  $t \rightarrow_E^* t'$ .

**Proof.** Define a predicate  $P(u, u')$  on closed  $\lambda_I$  terms by:  $P(u, u')$  iff, for all closed  $\lambda_E$  terms  $t$ , if  $u \rightarrow_I^* e(t)$ , then there is a closed  $\lambda_E$  term  $t'$  such that  $u' \rightarrow_I^* e(t')$  and  $t \rightarrow_E^* t'$ . We use induction on  $\rightarrow_I^*$  to show that, for all closed  $\lambda_I$  terms  $u$  and  $u'$ , if  $u \rightarrow_I^* u'$ , then  $P(u, u')$ .

**(Eval)** Suppose  $u$  and  $u'$  are closed  $\lambda_I$  terms and  $u \rightarrow_I u'$ . We must show that  $P(u, u')$ . Suppose  $t$  is a closed  $\lambda_E$  term and  $u \rightarrow_I^* e(t)$ . We must show that there is a closed  $\lambda_E$  term  $t'$  such that  $u' \rightarrow_I^* e(t')$  and  $t \rightarrow_E^* t'$ . Since  $u \rightarrow_I^* e(t)$ , we have that  $u \rightarrow_I^n e(t)$  for some  $n \in \mathbb{N}$ . There are two cases to consider.

- Suppose  $n = 0$ . Thus  $u \rightarrow_I^0 e(t)$ , so that  $u = e(t)$ . Then  $e(t) = u \rightarrow_I u'$ , and thus Lemma 2.3 tells us that there is a closed  $\lambda_E$  term  $t'$  such that  $u' \rightarrow_I^* e(t')$  and  $t \rightarrow_E^* t'$ . Hence  $t \rightarrow_E^* t'$ .
- Suppose  $n \geq 1$ . Thus there is a closed  $\lambda_I$  term  $u''$  such that  $u \rightarrow_I u'' \rightarrow_I^* e(t)$ . By the Determinacy of Evaluation Proposition for  $\lambda_I$ , since  $u \rightarrow_I u'$  and  $u \rightarrow_I u''$ , we have that  $u' = u''$ . Thus  $u' \rightarrow_I^* e(t)$  and  $t \rightarrow_E^* t$ .

**(Refl)** Suppose  $u$  is a closed  $\lambda_I$  term. We must show that  $P(u, u)$ . Suppose  $t$  is a closed  $\lambda_E$  term, and  $u \rightarrow_I^* e(t)$ . We must show that there is a closed  $\lambda_E$  term  $t'$  such that  $u \rightarrow_I^* e(t')$  and  $t \rightarrow_E^* t'$ . We have that  $u \rightarrow_I^* e(t)$  and  $t \rightarrow_E^* t$ .

**(Trans)** Suppose  $u_1, u_2$  and  $u_3$  are closed  $\lambda_I$  terms,  $u_1 \rightarrow_I^* u_2$  and  $u_2 \rightarrow_I^* u_3$ , and assume the inductive hypothesis,  $P(u_1, u_2)$  and  $P(u_2, u_3)$ . We must show that  $P(u_1, u_3)$ . Suppose  $t_1$  is a closed  $\lambda_E$  term, and  $u_1 \rightarrow_I^* e(t_1)$ . We must show that there is a closed  $\lambda_E$  term  $t_3$  such that  $u_3 \rightarrow_I^* e(t_3)$  and  $t_1 \rightarrow_E^* t_3$ . Since  $P(u_1, u_2)$  and  $u_1 \rightarrow_I^* e(t_1)$ , there is a closed  $\lambda_E$  term  $t_2$  such that  $u_2 \rightarrow_I^* e(t_2)$  and  $t_1 \rightarrow_E^* t_2$ . Since  $P(u_2, u_3)$  and  $u_2 \rightarrow_I^* e(t_2)$ , there is a closed  $\lambda_E$  term  $t_3$  such that  $u_3 \rightarrow_I^* e(t_3)$  and  $t_2 \rightarrow_E^* t_3$ . Since  $t_1 \rightarrow_E^* t_2 \rightarrow_E^* t_3$ , we have that  $t_1 \rightarrow_E^* t_3$ . Thus  $u_3 \rightarrow_I^* e(t_3)$  and  $t_1 \rightarrow_E^* t_3$ .

□

Suppose  $t$  is a closed  $\lambda_E$  term and  $b \in \{\mathbf{true}, \mathbf{false}\}$ . We must show that

$$t \rightarrow_E^* b \quad \text{iff} \quad e(t) \rightarrow_I^* b.$$

There are two directions to show.

- Suppose  $t \rightarrow_E^* b$ . By Lemma 2.4, we have that  $e(t) \rightarrow_I^* e(b)$ . Since  $e(\mathbf{true}) = \mathbf{true}$  and  $e(\mathbf{false}) = \mathbf{false}$ , we have that  $e(b) = b$ . Thus  $e(t) \rightarrow_I^* e(b) = b$ .
- Suppose  $e(t) \rightarrow_I^* b$ . By Lemma 2.5, since  $t$  is closed and  $e(t) \rightarrow_I^* e(t)$ , we have that there is a closed  $\lambda_E$  term  $t'$  such that  $b \rightarrow_I^* e(t')$  and  $t \rightarrow_E^* t'$ . Since  $b \rightarrow_I^* e(t')$ , there is an  $n \in \mathbb{N}$  such that  $b \rightarrow_I^n e(t')$ . If  $n \geq 1$ , then  $b$  is not a normal form, which is impossible. Thus  $n = 0$ , and  $b \rightarrow_I^0 e(t')$ , i.e.,  $b = e(t')$ . By the definition of the elaboration function, we can see that, if  $b = \mathbf{true}$ , then  $t' = \mathbf{true}$ , and, if  $b = \mathbf{false}$ , then  $t' = \mathbf{false}$ . Thus  $t' = b$ , so that  $t \rightarrow_E^* t' = b$ .