

Final Examination

Tuesday, May 12, 9:40 a.m.–11:30 a.m.

Question 1 (45 points)

This question is about programming in OCaml.

For the purposes of this question:

- A list xs is a *prefix* of a list ys iff there is a list us such that $xs @ us = ys$.
- A list xs is a *suffix* of a list ys iff there is a list us such that $us @ xs = ys$.
- A list xs is a *sublist* of a list ys iff there are lists us and vs such that $us @ xs @ vs = ys$.
- A list xs is *strictly ascending* iff xs has no sublist of length two whose first element is greater-than-or-equal-to its second element. E.g., `[]`, `[1]` and `[1;2;5;10]` are strictly ascending, but `[1;2;2;4]` and `[1;-1;2]` are not strictly ascending.

Write an OCaml program defining a function

```
val longest : 'a list -> int
```

such that, for all lists xs , `longest xs` returns the largest n such that there is a strictly ascending sublist of xs with length n .

Your program should be well-structured and well-documented. You should write abstract input/output specifications for each of its functions. Your program should be reasonably efficient, but you *don't* need to make your functions tail-recursive.

Question 2 (55 Points)

This question is about a reformulation of the simply typed lambda calculus that is similar—but different in important ways—to the one used in Assignment 5.

Definitions

If f is a function and x, y are elements of our universe, we define the function $f[x \mapsto y]$ from $\text{dom}(f) \cup \{x\}$ to $\text{ran}(f) \cup \{y\}$ by, for all $z \in \text{dom}(f) \cup \{x\}$,

$$f[x \mapsto y](z) = \begin{cases} y, & \text{if } z = x, \\ f(z), & \text{if } z \neq x. \end{cases}$$

Our *types* are defined by:

$T ::=$	types:
Unit	unit type
$T \rightarrow T$	type of functions

Our *terms* are defined by:

$t ::=$	terms:
unit	unit constant
x	variables
$\lambda x. t$	abstraction
$t t$	application

And our *values* are defined by:

$v ::=$	values:
unit	unit constant
$\lambda x. t$	abstraction value

The *free variables* of a term t ($\text{FV}(t)$) is defined recursively as follows:

$$\begin{aligned} \text{FV}(\text{unit}) &= \emptyset, \\ \text{FV}(x) &= \{x\}, \\ \text{FV}(\lambda x. t) &= \text{FV}(t) \setminus \{x\}, \\ \text{FV}(t_1 t_2) &= \text{FV}(t_1) \cup \text{FV}(t_2). \end{aligned}$$

A term is *closed* iff it has no free variables; otherwise it is *open*.

The *substitution* of a closed term s for the free occurrences of a variable x in a term t ($[x \mapsto s]t$) is defined recursively by:

$$\begin{aligned} [x \mapsto s]\text{unit} &= \text{unit}, \\ [x \mapsto s]y &= \begin{cases} s, & \text{if } y = x, \\ y, & \text{if } y \neq x, \end{cases} \\ [x \mapsto s](\lambda y. t) &= \begin{cases} \lambda y. t, & \text{if } y = x, \\ \lambda y. [x \mapsto s]t, & \text{if } y \neq x, \end{cases} \\ [x \mapsto s](t_1 t_2) &= [x \mapsto s]t_1 [x \mapsto s]t_2. \end{aligned}$$

The *evaluation relation* $\boxed{t \rightarrow t'}$ between *closed* terms is defined inductively by:

$$\begin{aligned} \frac{t_1 \rightarrow t'_1}{t_1 t_2 \rightarrow t'_1 t_2} & \quad (\text{E-App1}) \\ \frac{t_2 \rightarrow t'_2}{t_1 t_2 \rightarrow t_1 t'_2} & \quad (\text{E-App2}) \\ (\lambda x. t)t' \rightarrow [x \mapsto t']t & \quad (\text{E-AppAbs}) \end{aligned}$$

So, in the above, t_1, t'_1, t_2, t'_2 and t' range over *closed* terms, whereas $\text{FV}(t) \subseteq \{x\}$. A closed term t is a *normal form* iff there is no closed term t' such that $t \rightarrow t'$. A closed term t is *stuck* iff t is a normal form but t is not a value.

A *typing context* (or just *context*) Γ is a function such that $\text{dom}(\Gamma)$ is a finite subset of the variables, and $\text{ran}(\Gamma)$ is a subset of the types. The *typing relation* $\boxed{\Gamma \vdash t : T}$ between typing contexts, terms and types is defined inductively by:

$$\begin{aligned} \Gamma \vdash \text{unit} : \text{Unit} & \quad (\text{T-Unit}) \\ \frac{(x, T) \in \Gamma}{\Gamma \vdash x : T} & \quad (\text{T-Var}) \\ \frac{\Gamma[x \mapsto T_1] \vdash t : T_2}{\Gamma \vdash \lambda x. t : T_1 \rightarrow T_2} & \quad (\text{T-Abs}) \\ \frac{\Gamma \vdash t_1 : T_1 \rightarrow T_2 \quad \Gamma \vdash t_2 : T_1}{\Gamma \vdash t_1 t_2 : T_2} & \quad (\text{T-App}) \end{aligned}$$

We say that a closed term t is *well-typed* iff $\emptyset \vdash t : T$ for some type T .

An easy induction on the typing relation suffices to show that, for all contexts Γ , terms t and types T , if $\Gamma \vdash t : T$, then $\text{FV}(t) \subseteq \text{dom}(\Gamma)$. And, we have the **typing of substitutions lemma**: for all contexts Γ , variables y , terms t, t' and types T, T' , if $\Gamma[y \mapsto T'] \vdash t : T$ and $\emptyset \vdash t' : T'$, then $\Gamma \vdash [y \mapsto t']t : T$.

Questions

Either *prove* or *disprove* each of the following statements:

Determinacy of Evaluation For all closed terms t, t' and t'' , if $t \rightarrow t'$ and $t \rightarrow t''$, then $t' = t''$.

Uniqueness of Typing For all contexts Γ , terms t and types T and T' , if $\Gamma \vdash t : T$ and $\Gamma \vdash t : T'$, then $T = T'$.

Progress For all closed terms t , if t is well-typed, then t is not stuck.

Preservation For all closed terms t and t' and types T , if $\emptyset \vdash t : T$ and $t \rightarrow t'$, then $\emptyset \vdash t' : T$.

Reverse Preservation For all closed terms t and t' and types T , if $\emptyset \vdash t' : T$ and $t \rightarrow t'$, then $\emptyset \vdash t : T$.