

## *Chapter 2: Formal Languages*

In this chapter, we

- say what symbols, strings, alphabets and (formal) languages are,
- show how to use various induction principles to prove language equalities, and
- give an introduction to the Forlan toolset.

In subsequent chapters, we will study four more restricted kinds of languages: the regular (Chapter 3), context-free (Chapter 4), recursive and recursively enumerable (Chapter 5) languages.

## *2.1: Symbols, Strings, Alphabets and (Formal) Languages*

In this section, we define the basic notions of the subject: symbols, strings, alphabets and (formal) languages.

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For example,  $\langle id \rangle$  and  $\langle \langle a, \rangle b \rangle$  are symbols. On the other hand,  $\langle a \rangle \rangle$  is not a symbol since  $\langle$  and  $\rangle$  are not properly nested in  $a \rangle$ .

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We write **Sym** for the set of all symbols. It is countably infinite. (Any set whose elements can be unambiguously described as finite sequences of ASCII characters is countable, since we can enumerate them first by length and then in dictionary order.)

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$\%$  is the identity for concatenation: for all  $x \in \mathbf{Str}$ ,

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## *Raising a String to a Power*

We define the string  $x^n$  resulting from *raising* a string  $x$  to a power  $n \in \mathbb{N}$  by recursion on  $n$ :

$$\begin{aligned}x^0 &= \%, \text{ for all } x \in \mathbf{Str}; \\x^{n+1} &= xx^n, \text{ for all } x \in \mathbf{Str} \text{ and } n \in \mathbb{N}.\end{aligned}$$

We assign this operation higher precedence than concatenation, so that  $xx^n$  means  $x(x^n)$  in the above definition.

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### **Proposition 2.1.2**

For all  $x \in \mathbf{Str}$  and  $n, m \in \mathbb{N}$ ,  $x^{n+m} = x^n x^m$ .



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### **Proposition 2.1.2**

For all  $x \in \mathbf{Str}$  and  $n, m \in \mathbb{N}$ ,  $x^{n+m} = x^n x^m$ .

**Proof.** An easy mathematical induction on  $n$ . The string  $x$  and the natural number  $m$  can be fixed at the beginning of the proof.

□

## *Prefixes, Suffixes and Substrings*

Suppose  $x$  and  $y$  are strings. We say that:

- $x$  is a *prefix* of  $y$  iff  $y = xv$  for some  $v \in \mathbf{Str}$ ;
- $x$  is a *suffix* of  $y$  iff  $y = ux$  for some  $u \in \mathbf{Str}$ ;
- $x$  is a *substring* of  $y$  iff  $y = uxv$  for some  $u, v \in \mathbf{Str}$ .

A prefix, suffix or substring of a string other than the string itself is called *proper*.

For example:

- 12 is a prefix of 1234;
- 234 is a suffix of 1234;
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$$\mathbf{alphabet} \% = \emptyset;$$

$$\mathbf{alphabet}(ax) = \{a\} \cup \mathbf{alphabet} x, \text{ for all } a \in \mathbf{Sym} \text{ and } x \in \mathbf{Str}.$$



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If  $\Sigma$  is an alphabet, then we write  $\Sigma^*$  for **List**  $\Sigma$ .

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Here are some example languages (all are  $\{0, 1\}$ -languages):

- $\emptyset$ ;
- $\{0, 1\}^*$ ;
- $\{010, 1001, 1101\}$ ;
- $\{0^n 1^n \mid n \in \mathbb{N}\}$ ;
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