

4.9: Chomsky Normal Form

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Any grammar that doesn't generate ϵ can be put in CNF. And, if G is a grammar that does generate ϵ , it can be turned into a grammar in CNF that generates $L(G) - \{\epsilon\}$. In the next section, we will use this fact when proving the pumping lemma for context-free languages, a method for showing the certain languages are not context-free.

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When converting a grammar to CNF, we will first eliminate productions of the form $q \rightarrow \epsilon$ and $q \rightarrow r$.

Eliminating ϵ -Productions

A ϵ -production is a production of the form $q \rightarrow \epsilon$. We will show by example how to turn a grammar G into a simplified grammar with no ϵ -productions that generates $L(G) - \{\epsilon\}$.

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Suppose G is the grammar

$$A \rightarrow 0A1 \mid BB,$$

$$B \rightarrow \epsilon \mid 2B.$$

First, we determine which variables q are *nullable* in the sense that they generate ϵ .

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Clearly, B is nullable. And, since $A \rightarrow BB \in P_G$, it follows that A is nullable.

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Since A is nullable, we replace the production $A \rightarrow 0A1$ with the productions $A \rightarrow 0A1$ and $A \rightarrow 01$. The idea is that this second production will make up for the fact that A won't be nullable in the new grammar.

Since B is nullable, we replace the production $A \rightarrow BB$ with the productions $A \rightarrow BB$ and $A \rightarrow B$ (the result of deleting either one of the B 's).

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Since B is nullable, we replace the production $A \rightarrow BB$ with the productions $A \rightarrow BB$ and $A \rightarrow B$ (the result of deleting either one of the B 's).

The production $B \rightarrow \%$ is deleted.

Since B is nullable, we replace the production $B \rightarrow 2B$ with the productions $B \rightarrow 2B$ and $B \rightarrow 2$.

(If a production has n occurrences of nullable variables in its right side, then there will be 2^n new right sides, corresponding to all ways of deleting or not deleting those n variable occurrences. But if a right side of $\%$ would result, we don't include it.)

Eliminating ϵ -Productions

This give us the grammar

$$A \rightarrow 0A1 \mid 01 \mid BB \mid B,$$

$$B \rightarrow 2B \mid 2.$$

In general, we finish by simplifying our new grammar. The new grammar of our example is already simplified, however.

Eliminating Unit Productions

A *unit production* for a grammar G is a production of the form $q \rightarrow r$, where r is a variable (possibly equal to q). We now show by example how to turn a grammar G into a simplified grammar with no ϵ -productions or unit productions that generates $L(G) - \{\epsilon\}$.

Eliminating Unit Productions

A *unit production* for a grammar G is a production of the form $q \rightarrow r$, where r is a variable (possibly equal to q). We now show by example how to turn a grammar G into a simplified grammar with no ϵ -productions or unit productions that generates $L(G) - \{\epsilon\}$. Suppose G is the grammar

$$A \rightarrow 0A1 \mid 01 \mid BB \mid B,$$

$$B \rightarrow 2B \mid 2.$$

We begin by applying our algorithm for eliminating ϵ -productions to our grammar; the algorithm has no effect in this case.

Eliminating Unit Productions

Our new grammar will have the same variables and start variable as G . Its set of productions is the set of all $q \rightarrow w$ such that q is a variable of G , $w \in \mathbf{Str}$ doesn't consist of a single variable of G , and there is a variable r such that

- r is parsable from q , and
- $r \rightarrow w$ is a production of G .

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(Determining whether r is parsable from q is easy, since we are working with a grammar with no ϵ -productions.)

This process results in the grammar

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This process results in the grammar

$$A \rightarrow 0A1 \mid 01 \mid BB \mid 2B \mid 2,$$

$$B \rightarrow 2B \mid 2.$$

Finally, we simplify our grammar, which gets rid of the production $A \rightarrow 2B$.

Eliminating ϵ -Productions and Unit Productions in Forlan

The Forlan module `Gram` defines the following functions:

```
val eliminateEmptyProductions      : gram -> gram  
val eliminateEmptyAndUnitProductions : gram -> gram
```

For example, if `gram` is the grammar

$$\begin{aligned} A &\rightarrow \epsilon A1 \mid BB, \\ B &\rightarrow \epsilon \mid 2B. \end{aligned}$$

then we can proceed as follows.

Elimination in Forlan

```
- val gram' = Gram.eliminateEmptyProductions gram;
val gram' = - : gram
- Gram.output("", gram');
{variables} A, B {start variable} A
{productions} A -> B | 01 | BB | 0A1; B -> 2 | 2B
val it = () : unit
- val gram'' =
=      Gram.eliminateEmptyAndUnitProductions gram;
val gram'' = - : gram
- Gram.output("", gram'');
{variables} A, B {start variable} A
{productions} A -> 2 | 01 | BB | 0A1; B -> 2 | 2B
val it = () : unit
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Generating a Grammar's Language When Finite

We can now give an algorithm that takes in a grammar G and generates $L(G)$, when it is finite, and reports that $L(G)$ is infinite, otherwise.

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If there is recursion in the productions of G' —either direct or mutual—then there is a variable q of G' and a valid parse tree pt for G' , such that the height of pt is at least one, q is the root label of pt , and the yield of pt has the form xqy , for strings x and y , each of whose symbols is in **alphabet** $G' \cup Q_{G'}$. Because G' lacks ϵ - and unit-productions, it follows that $x \neq \epsilon$ or $y \neq \epsilon$.

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Because each variable of G' is generating, we can turn pt into a valid parse tree pt' whose root label is q , and whose yield has the form uqv , for $u, v \in (\mathbf{alphabet} G')^*$, where $u \neq \epsilon$ or $v \neq \epsilon$.

Generating a Grammar's Language When Finite

Thus we have that uqv is parsable from q in G' , and an easy mathematical induction shows that u^nqv^n is parsable from q in G' , for all $n \in \mathbb{N}$. Because $u \neq \epsilon$ or $v \neq \epsilon$, and q is generating, it follows that there are infinitely many strings that are generated from q in G' . And, since q is reachable, and every variable of G' is generating, it follows that $L(G')$, and thus $L(G)$, is infinite.

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And when G' has no recursion in its productions, we can calculate $L(G')$ from the bottom-up, and add ϵ iff G generates ϵ .

Generating a Grammar's Language in Forlan

The Forlan module `Gram` defines the following function:

```
val toStrSet : gram -> str set
```

Suppose `gram` is the grammar

$$\begin{aligned} A &\rightarrow BB, \\ B &\rightarrow CC, \\ C &\rightarrow \% \mid 0 \mid 1, \end{aligned}$$

and `gram'` is the grammar

$$\begin{aligned} A &\rightarrow BB, \\ B &\rightarrow CC, \\ C &\rightarrow \% \mid 0 \mid 1 \mid A. \end{aligned}$$

Then we can proceed as follows.

Generating a Grammar's Language in Forlan

```
- StrSet.output("", Gram.toStrSet gram);  
%, 0, 1, 00, 01, 10, 11, 000, 001, 010, 011, 100, 101,  
110, 111, 0000, 0001, 0010, 0011, 0100, 0101, 0110,  
0111, 1000, 1001, 1010, 1011, 1100, 1101, 1110, 1111  
val it = () : unit  
- StrSet.output("", Gram.toStrSet gram');  
language is infinite  
  
uncaught exception Error
```

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Suppose we have a grammar G and a natural number n . How can we generate the set of all elements of $L(G)$ of length n ?

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Of course, we could generate all strings over the alphabet of G of length n , and use our algorithm for checking whether a grammar generates a string to filter-out those strings that are not generated by G .

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Suppose we have a grammar G and a natural number n . How can we generate the set of all elements of $L(G)$ of length n ?

Of course, we could generate all strings over the alphabet of G of length n , and use our algorithm for checking whether a grammar generates a string to filter-out those strings that are not generated by G .

Alternatively, we can start by creating an EFA M accepting all strings over the alphabet of G with length n . Then, we can intersect G with M , and apply `Gram.toStrSet` to the resulting grammar.

Chomsky Normal Form

A grammar G is in *Chomsky Normal Form* (CNF) iff each of its productions has one of the following forms:

- $q \rightarrow a$, where a is not a variable; and
- $q \rightarrow pr$, where p and r are variables.

We explain by example how a grammar G can be turned into a simplified grammar in CNF that generates $L(G) - \{\epsilon\}$.

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Suppose G is the grammar

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We begin by applying our algorithm for eliminating ϵ -productions and unit productions to this grammar. In this case, it has no effect.

Conversion into CNF

Since the productions $A \rightarrow BB$, $A \rightarrow 2$ and $B \rightarrow 2$ are legal CNF productions, we simply transfer them to our new grammar.

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Next we add the variables $\langle 0 \rangle$, $\langle 1 \rangle$ and $\langle 2 \rangle$ to our grammar, along with the productions

$$\langle 0 \rangle \rightarrow 0, \quad \langle 1 \rangle \rightarrow 1, \quad \langle 2 \rangle \rightarrow 2.$$

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$$\langle 0 \rangle \rightarrow 0, \quad \langle 1 \rangle \rightarrow 1, \quad \langle 2 \rangle \rightarrow 2.$$

Now, we can replace the production $A \rightarrow 01$ with $A \rightarrow \langle 0 \rangle \langle 1 \rangle$. And, we can replace the production $B \rightarrow 2B$ with the production $B \rightarrow \langle 2 \rangle B$.

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Finally, we replace the production $A \rightarrow 0A1$ with the productions

$$A \rightarrow \langle 0 \rangle C, \quad C \rightarrow A \langle 1 \rangle,$$

and add C to the set of variables of our new grammar.

Conversion into CNF

Summarizing, our new grammar is

$$A \rightarrow BB \mid 2 \mid \langle 0 \rangle \langle 1 \rangle \mid \langle 0 \rangle C,$$

$$B \rightarrow 2 \mid \langle 2 \rangle B,$$

$$\langle 0 \rangle \rightarrow 0,$$

$$\langle 1 \rangle \rightarrow 1,$$

$$\langle 2 \rangle \rightarrow 2,$$

$$C \rightarrow A \langle 1 \rangle.$$

The official version of our algorithm names variables in a different way.

Converting into CNF in Forlan

The Forlan module `Gram` defines the following function:

```
val chomskyNormalForm : gram -> gram
```

Suppose `gram` of type `gram` is bound to the grammar with variables `A` and `B`, start variable `A`, and productions

$$A \rightarrow 0A1 \mid BB,$$
$$B \rightarrow \% \mid 2B.$$

CNF in Forlan

Here is how Forlan can be used to turn this grammar into a CNF grammar that generates the nonempty strings that are generated by `gram`:

```
- val gram' = Gram.chomskyNormalForm gram;
val gram' = - : gram
- Gram.output("", gram');
{variables} <1,A>, <1,B>, <2,0>, <2,1>, <2,2>, <3,A1>
{start variable} <1,A>
{productions}
<1,A> -> 2 | <1,B><1,B> | <2,0><2,1> | <2,0><3,A1>;
<1,B> -> 2 | <2,2><1,B>; <2,0> -> 0; <2,1> -> 1;
<2,2> -> 2; <3,A1> -> <1,A><2,1>
val it = () : unit
```

CNF in Forlan

```
- val gram'' = Gram.renameVariablesCanonically gram';  
val gram'' = - : gram  
- Gram.output("", gram'');  
{variables} A, B, C, D, E, F {start variable} A  
{productions}  
A -> 2 | BB | CD | CF; B -> 2 | EB; C -> 0; D -> 1;  
E -> 2; F -> AD  
val it = () : unit
```